$\overline{\{y\mid f(y)\neq 0\}}$ ; observe that y is not in the support of f if and only if y has a nbd on which f vanishes identically.

- **4.1 Definition** Let Y be a Hausdorff space. A family  $\{\kappa_{\alpha} \mid \alpha \in \mathscr{A}\}$  of continuous maps  $\kappa_{\alpha}$ :  $Y \to I$  is called a partition of unity on Y if:
  - (1). The supports of the  $\kappa_{\alpha}$  form a nbd-finite closed covering of Y.
  - (2).  $\sum \kappa_{\alpha}(y) = 1$  for each  $y \in Y$  (this sum is well-defined because each y lies in the support of at most finitely many

If  $\{U_{\beta} \mid \beta \in \mathscr{B}\}$  is a given open covering of Y, we say that a partition  $\{\kappa_{\beta} \mid \beta \in \mathscr{B}\}$  of unity is subordinated to  $\{U_{\beta}\}$  if the support of each  $\kappa_{\beta}$  lies in the corresponding  $U_{\beta}$ .

Clearly, every space has a partition of unity subordinated to the covering by the single set itself.

4.2 Theorem Let Y be paracompact. Then for each open covering  $\{U_{\alpha}\mid \alpha\in\mathscr{A}\}$  of Y there is a partition of unity subordinated to  $\{U_{\alpha}\}.$ 

*Proof:* Shrink a precise nbd-finite refinement of  $\{U_{\alpha}\}$  to get a nbdfinite open covering  $\{V_\alpha\}$  with  $\overline{V}_\alpha\subset U_\alpha$  for each  $\alpha$ . Now shrink  $\{V_\alpha\}$ to get a nbd-finite open covering  $\{W_\alpha\}$  satisfying  $\overline{W}_\alpha\subset V_\alpha$ . For each  $\alpha \in \mathcal{A}$ , VII, 4.1, gives a continuous  $g_{\alpha} : Y \to I$ , which is identically 1 on  $\overline{W}_{\alpha}$  and vanishes on  $\mathscr{C}V_{\alpha}$  (we take  $g_{\alpha}\equiv 0$  if  $V_{\alpha}=\varnothing$ ); each  $g_{\alpha}$  has its support in  $U_{\alpha}$ . Since  $\{\overline{W}_{\alpha}\}$  is a nbd-finite covering, it follows that for each  $y \in Y$  at least one, and at most finitely many,  $g_{\alpha}$  are not zero, consequently  $\sum g_{\alpha}$  is a well-defined real-valued function on Y and is never zero.  $\sum g_{\alpha}$  is continuous on Y: every point has a nbd on which all but at most finitely many  $g_{\alpha}$  vanish identically, so the continuity of  $\sum g_{\alpha}$  on this nbd follows from that of each  $g_{\alpha}$ , and by III, 9.4,  $\sum g_{\alpha}$  is therefore continuous on Y. The required partition of unity is given by the family of functions  $\{\kappa_{\alpha} \mid \alpha \in \mathscr{A}\}\$ , where

$$\kappa_{\alpha}(y) = \frac{g_{\alpha}(y)}{\sum_{\alpha} g_{\alpha}(y)}.$$

We remark that in a normal space Y, the proof shows that a partition of unity subordinated to a given nbd-finite open cover exists; C. H. Dowker has shown that their existence for each open cover is equivalent to paracompactness of Y [cf. 5.5(2)].

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To give an application of 4.2, note that if  $\{\kappa_{\alpha} \mid \alpha \in \mathscr{A}\}$  is a partition of unity on Y, and if  $\{\varphi_{\alpha} \mid \alpha \in \mathscr{A}\}$  is any family of continuous maps  $\varphi_{\alpha}$ :  $Y \to E^1$ , then the map  $Y \to E^1$  given by  $y \to \sum_{\alpha} \varphi_{\alpha}(y) \kappa_{\alpha}(y)$  is also continuous.

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**4.3** (C. H. Dowker) Let Y be paracompact. Assume that g is a lower, and G an upper, semicontinuous real-valued function on Y such that G(y) < g(y) for each  $y \in Y$ . Then there exists a continuous  $\varphi \colon Y \to E^1$  such that  $G(y) < \varphi(y) < g(y)$  for each  $y \in Y$ .

*Proof:* For each rational r, let  $U_r = \{y \mid G(y) < r\} \cap \{y \mid g(y) > r\};$ due to the semicontinuities, this is open; and because for each y there is some rational  $\bar{r}$  with  $G(y) < \bar{r} < g(y)$ , the family  $\{U_r\}$  is in fact an open covering of Y. Let  $\{\kappa_r\}$  be a partition of unity subordinated to  $\{U_r\}$ ; the required continuous function is  $\varphi(y) = \sum r \cdot \kappa_r(y)$ . For, let  $y \in Y$  be given, and let  $\kappa_{r_1}, \dots, \kappa_{r_n}$  be all those functions whose support contains y; then  $y \in U_{r_1} \cap \cdots \cap U_{r_n}$  so that  $G(y) < r_i < g(y)$  for each i = 1,  $\cdots$ , n, and therefore

$$G(y) = G(y) \cdot \sum \kappa_{r_i}(y) < \sum r_i \kappa_{r_i}(y) = \varphi(y) < g(y) \cdot \sum \kappa_{r_i}(y) = g(y).$$

## 5. Complexes; Nerves of Coverings

The concept of a partition of unity subordinated to a given open covering has an alternative, more geometrical, interpretation. To develop this, we need two preliminary notions.

- (1). Let  $\mathscr{A}$  be any set. By an *n*-simplex  $\sigma^n$  in  $\mathscr{A}$  is meant a set  $(\alpha_0, \dots, \alpha_n)$ of n+1 distinct elements of  $\mathcal{A}$ ;  $\alpha_0, \dots, \alpha_n$  are called the vertices of  $\sigma^n$ , and any  $\sigma^q \subset \sigma^n$  is termed a q-face of  $\sigma^n$ .
- **Definition** An abstract simplicial complex  $\mathcal{K}$  over  $\mathcal{A}$  is a set of simplexes in  $\mathscr{A}$  with the property that each face of a  $\sigma \in \mathscr{K}$  also belongs to  $\mathscr{K}$ .

With each abstract simplicial complex we will associate a standard topological space. For this we need

(2). Given (n + 1) independent points  $p_0, \dots, p_n$  in an affine space, the open geometric *n*-simplex  $\sigma^n$  spanned by  $p_0, \dots, p_n$  is

$$\left\{\sum_{i=0}^{n} \lambda_{i} p_{i} \middle| \sum_{i=0}^{n} \lambda_{i} = 1, \quad 0 < \lambda_{i} \leq 1, \quad i = 0, \cdots, n\right\};$$

it is denoted by  $(p_0, \dots, p_n)$ .

 $\sigma^n$  is the interior of the convex hull of  $\{p_0, \dots, p_n\}$  in the *n*-dimensional Euclidean space that these vertices span; for example,  $(p_0, p_1)$  is a segment without its end

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points, and  $(p_0, p_1, p_2)$  is a triangle without its boundary. The  $\lambda_i$ ,  $i = 0, \dots, n$ , are called the barycentric coordinates of

$$x=\sum_{0}^{n}\lambda_{i}p_{i};$$

the closed geometric *n*-simplex  $\tilde{\sigma}^n = (\overline{p_0, \dots, p_n})$  consists of  $\sigma^n$  with its boundary, and is obtained by allowing  $0 \le \lambda_i \le 1$  for  $i = 0, \dots, n$ .

**5.2 Definition** Given any set  $\mathscr{A}$ , let  $L(\mathscr{A})$  be a real vector space with finite topology, having a basis  $\{b_{\alpha}\}$  in fixed 1-to-1 correspondence  $b_{\alpha}$ :  $\alpha$  with the elements of  ${\mathscr A}$ , and let  $u_{\alpha}$  be the unit point on the vector  $b_{\alpha}$ . Given any complex  ${\mathscr K}$ over  $\mathscr{A}$ , let  $K \in L(\mathscr{A})$  be the union of all open geometric simplexes  $(u_{\alpha_0}, \cdots, u_{\alpha_n})$  for which  $(\alpha_0, \cdots, \alpha_n)$  is a simplex in  $\mathcal{K}$ . The subspace  $K \in L(\mathscr{A})$  is called a polytope with vertex scheme  $\mathscr{K}$  (or a standard geometrical realization of  $\mathcal{K}$ ).

It is evident that the space K has the weak topology determined by the Euclidean topology on its closed simplexes, so that an  $f: K \to Y$  is continuous if and only if it is so on each  $\bar{\sigma}^n$ . This implies that any two standard geometrical realizations  $K_1,\,K_2$  of a given  ${\mathscr K}$  are homeomorphic: for, to each  $\sigma^n\in{\mathscr K}$  there correspond unique  $\sigma_1^n=(p_0^1,\dots,p_n^1)$  and  $\sigma_2^n=(p_0^2,\dots,p_n^2)$  in  $K_1,\ K_2$ , respectively, and by barycentrically mapping each  $\sigma_1^n$  on the corresponding  $\sigma_2^n$  (that is  $\sum_{i=1}^n \lambda_i p_i^1 \to \sum_{i=1}^n \lambda_i p_i^2$ ), the desired homeomorphism is obtained. Thus we can speak of the geometric realization

In a polytype, the star, St  $u_0$ , of a vertex  $u_0$  is the set of all open geometric simplexes having  $u_0$  as vertex. It is important to note that St  $u_0$  is an open set in K: given any closed  $\tilde{\sigma} = (u_{\alpha_0}, \dots, u_{\alpha_n})$ , its intersection with  $K - \operatorname{St} u_0$  is either  $\tilde{\sigma}$  if no  $u_{\alpha_i} = u_0$  or a face of  $\sigma$  if some  $u_{\alpha_i} = u_0$ ; in either case, this intersection is closed in  $\bar{\sigma}$ , so  $K - \operatorname{St} u_0$  is closed in K.

The process of associating with each open covering of a space a complex called its nerve is very important because it is one method for relating the topological to the algebraic properties of spaces; intuitively geometric realizations of nerves approximate the space with the finer covering giving the better approximation.

**5.3 Definition** Let  $\{U_{\alpha} \mid \alpha \in \mathscr{A}\}$  be any covering of a space. Define a complex  $\mathscr{N}$ over  $\mathscr A$  by the following condition:  $(\alpha_0,\cdots,\alpha_n)$  is a simplex of  $\mathscr N$  if and only if  $U_{\alpha_0} \cap \cdots \cap U_{\alpha_n} \neq \emptyset$ . It is evident that  $\mathscr N$  is indeed a complex, called the nerve of  $\{U_{\alpha} \mid \alpha \in \mathscr{A}\}$ . The standard geometric realization of  $\mathscr{N}$  is called the geometric nerve of  $\{U_{\alpha} \mid \alpha \in \mathscr{A}\}$  and is denoted by  $N(U_{\alpha})$ .

The vertex of  $N(U_{\alpha})$  corresponding to the set  $U_{\alpha}$  is denoted by  $u_{\alpha}$ .

**Theorem** Let Y be any space and  $\{U_{\alpha} \mid \alpha \in \mathscr{A}\}$  be an open covering. Then for each partition of unity subordinated to  $\{U_a\}$  there exists a continuous  $\kappa \colon Y \to N(U_{\alpha})$  such that  $\kappa^{-1}(\operatorname{St} u_{\alpha}) \subset U_{\alpha}$  for each  $\alpha$ .

*Proof*: Let  $\{\kappa_{\alpha} \mid \alpha \in \mathscr{A}\}$  be a partition of unity subordinated to  $\{U_{\alpha}\}$ , and define

 $\kappa \colon Y \to N(U_a)$  by  $\kappa(y) = \sum \kappa_a(y)u_a$ . This is continuous: each  $y \in Y$  has a nbd on which all but at most finitely many  $\kappa_{\alpha}$  vanish, and since this nbd is mapped into a finite-dimensional flat in  $L(\mathcal{A})$ , the addition is continuous (cf. Appendix I, 4), so  $\kappa$  is continuous on that nbd and its continuity on Y results from III, **8.3.** Since  $\sum \kappa_{\alpha}(y) = 1$ ,  $\kappa(y)$  is in fact a point of the closed geometric simplex spanned by  $\{u_{\alpha} \mid \kappa_{\alpha}(y) \neq 0\}$ . The inverse image of St  $u_{\alpha_0}$  consists of all y for which  $\kappa_{\alpha_0}(y) \neq 0$ , and because the support of  $\kappa_{\alpha_0}$  is in  $U_{\alpha_0}$ , we have

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$$\kappa^{-1}(\operatorname{St} u_{\alpha_0})\subset U_{\alpha_0}$$

as required.

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It should be observed that if  $V \subset Y$  is an open set intersecting the supports of only the finitely many  $\kappa_{\alpha_1}, \dots, \kappa_{\alpha_n}$ , then  $\kappa(V) \subset (\overline{u_{\alpha_0}, \dots, u_{\alpha_n}})$ .

- 5.5 Remark It is known (cf. Appendix I, 5.2) that the geometric nerve  $N(U_{\alpha})$ is always a paracompact space. Using this fact, we can prove
  - (1). A continuous  $\kappa: Y \to N(U_{\alpha})$  satisfying  $\kappa^{-1}(\operatorname{St} u_{\alpha}) \subset U_{\alpha}$  for each  $\alpha$ exists if and only if there is a partition of unity subordinated to  $\{U_n\}$ .

The "if" is 5.4; the "only if" follows by finding a partition of unity  $\{\kappa_{\alpha} \mid \alpha \in \mathcal{A}\}\$ subordinated to the open cover  $\{St u_{\alpha} \mid \alpha \in \mathcal{A}\}\$  of the paracompact  $N(U_{\alpha})$  and defining  $\lambda_{\alpha} \colon Y \to I$  by  $\lambda_{\alpha} = \kappa_{\alpha} \circ \kappa$ .

(2). Y is paracompact if and only if for each open covering  $\{U_{\alpha}\}$  there is a subordinated partition of unity.

The "only if" is 4.2; the "if" follows by finding a nbd-finite refinement  $\{V_{\beta} \mid \beta \in \mathcal{B}\}\$  of the open covering  $\{\operatorname{St} u_{\alpha} \mid \alpha \in \mathcal{A}\}\$  in  $N(U_{\alpha})$ ; then

$$\{\kappa^{-1}(V_{\beta}) \mid \beta \in \mathcal{B}\}$$

is the desired nbd-finite refinement of  $\{U_{\alpha}\}$ . There is a simpler proof of "if" which uses the geometry, rather than the paracompactness, of  $N(U_a)$ : letting N' be the barycentric subdivision of  $N(U_{\alpha})$  [cf. Appendix I, 5] and using stars in N', we have that  $\{\kappa^{-1}(\operatorname{St} p') \mid p' \text{ a vertex of } N'\}$  is a barycentric refinement of  $\{U_{\alpha} \mid \alpha \in \mathscr{A}\}$ . This indicates the origin of the term barycentric refinement.

## 6. Second-countable Spaces; Lindelöf Spaces

In this section, we study two properties of spaces related to the behavior of their open coverings; it turns out that when any one of them is present, weak separation properties become very strong.

**6.1 Definition** A Hausdorff space is 2° countable (or, satisfies the second axiom of countability) if it has a countable basis.

In recent literature, the least cardinal of a basis for a space X is called the weight of X; thus, X is  $2^{\circ}$  countable if it has weight  $\leq \aleph_0$ .

Ex. 1  $E^n$  is  $2^{\circ}$  countable, as seen in III, 2, Ex. 3. A countable discrete space is