# V. F. R. JONES: THE TYPE OF CROSSED PRODUCT VON NEUMANN ALGEBRAS

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ABSTRACT. This is an exposition of a section in the lecture notes by Jones. We discuss the type of von Neumann algebras obtained from the group-measure space construction.

Throughout the notes we consider only  $\sigma$ -finite measure spaces. Moreover  $\Gamma$  will always be a discrete group. For most purposes it is convenient to assume  $\Gamma$  to be countable, but we will indicate the steps where this is needed.

### 1. A TYPE *III*-ACTION

Let us recall the following definitions.

**Definition 1.1.** Let  $\Gamma$  be a discrete group acting on the measure space  $(X, \mu)$ . The action is called

a) (essentially) transitive if there exists  $x \in X$  such that  $\mu(\Gamma \cdot x) = \mu(X)$ .

b) (essentially) free if for every  $e \neq \gamma \in \Gamma$  we have

$$\mu(\{x \in X | \gamma \cdot x = x\}) = 0$$

c) ergodic if for every measurable subset  $A \subset X$  satisfying

$$(A\Delta(\gamma \cdot A)) = 0$$

for all  $\gamma \in \Gamma$  we have either  $\mu(A) = 0$  or  $\mu(X \setminus A) = 0$ .

If  $\Gamma$  acts ergodically on X and  $Y \subset X$  is a  $\Gamma$ -invariant measurable subset then we have either  $\mu(Y) = 0$  or  $\mu(X \setminus Y) = 0$ . Although not needed in the sequel, let us verify that ergodicity is in fact equivalent to this apparently weaker condition if the group is countable.

**Lemma 1.2.** If  $\Gamma$  is a countable group acting on the measure space  $(X, \mu)$  then the action is ergodic iff for every  $\Gamma$ -invariant measurable subset  $Y \subset X$  we have either  $\mu(Y) = 0$  or  $\mu(X \setminus Y) = 0$ .

*Proof.* Assume first that the action is ergodic and let  $A \subset$  be measurable with  $\mu(A\Delta(\gamma \cdot A)) = 0$  for all  $\gamma \in \Gamma$ . Let

 $B = \{ x \in A | \gamma \cdot x \in A \text{ for all } \gamma \in \Gamma \} = \{ x \in X | \forall \gamma \in \Gamma \exists x_{\gamma} \in A : \gamma \cdot x_{\gamma} = x \}.$ 

Then

$$\mu(B) = \mu\left(\bigcap_{\gamma \in \Gamma} \gamma \cdot A\right) = \mu\left(A \setminus \bigcup_{\gamma \in \Gamma} A\Delta(\gamma \cdot A)\right) = \mu(A)$$

since  $\bigcup_{\gamma \in \Gamma} A\Delta(\gamma \cdot A)$  is a  $\mu$ -null set. Here we use that  $\Gamma$  is countable. Since B is  $\Gamma$ -invariant we obtain  $\mu(A) = 0$  or  $\mu(X \setminus A) = 0$  as claimed.

Conversely let  $Y \subset X$  be  $\Gamma$ -invariant. Then  $Y \Delta \gamma \cdot Y = \emptyset$  for all  $\gamma \in \Gamma$ . Hence the condition implies  $\mu(Y) = 0$  or  $\mu(X \setminus Y) = 0$ .

**Lemma 1.3.** If the discrete group  $\Gamma$  acts ergodically on the measure space  $(X, \mu)$  preserving the  $\sigma$ -finite measure  $\mu$  then any other  $\Gamma$ -invariant measure  $\nu$  on X which is absolutely continuous to  $\mu$  is of the form  $\nu = \lambda \mu$  for some  $\lambda > 0$ .

*Proof.* Since  $\nu$  is assumed to be absolutely continuous to  $\mu$  we can consider the Radon-Nikodym derivative  $f = d\nu/d\mu$ . Recall that  $f: X \to [0, \infty)$  is a measurable function such that

$$\nu(A) = \int_A f d\mu$$

for all measurable sets  $A \subset X$ . Since both  $\mu$  and  $\nu$  are  $\Gamma$ -invariant we have

$$\int_{A} (\gamma \cdot f) d\mu = \int_{\gamma^{-1} \cdot A} f d\mu = \nu(\gamma^{-1} \cdot A) = \nu(A) = \int_{A} f d\mu$$

for all A and every  $\gamma \in \Gamma$ . By the uniqueness assertion of the Radon-Nikodym theorem we conclude that  $\gamma \cdot f = f$  almost everywhere for all  $\gamma \in \Gamma$ . Since f is takes values in  $[0, \infty)$  we find c > 0 such that

$$A = \{x \in X | f(x) \le c\}$$

has measure  $\mu(A) > 0$ . By our above considerations  $\mu((\gamma \cdot A)\Delta A) = 0$  for all  $\gamma \in \Gamma$ . Since the action is ergodic and  $\mu(A) > 0$  we conclude that  $\mu(X \setminus A) = 0$ . This means that f is essentially bounded by c. In particular,  $f \in L^{\infty}(X, \mu)^{\Gamma} = \mathbb{C}$ . Hence  $f = \lambda$  is a constant function, and this yields the claim.  $\Box$ Let now  $\Gamma = \mathbb{Q} \rtimes \mathbb{Q}^*$  be the ax + b-group. That is,  $\Gamma = \mathbb{Q} \times \mathbb{Q}^*$  as a set with multiplication

$$(b_1, a_1) \cdot (b_2, a_2) = (b_1 + a_1 b_2, a_1 a_2).$$

Let  $\lambda$  denote the Lebesgue measure on  $\mathbb{R}$  and consider the action of  $\Gamma$  on  $(\mathbb{R}, \lambda)$  given by

$$(b,a) \cdot x = ax + b.$$

We collect some properties of this action in the following lemma.

**Lemma 1.4.** The natural action of the ax + b-group  $\Gamma = \mathbb{Q} \rtimes \mathbb{Q}^*$  on  $(\mathbb{R}, \lambda)$  defined above is free and ergodic, and there is no  $\Gamma$ -invariant measure on  $\mathbb{R}$  equivalent to the Lebesgue measure  $\lambda$ .

Proof. We show that the additive subgroup  $\mathbb{Q} \subset \Gamma$  acts ergodically on  $(\mathbb{R}, \lambda)$ . Assume that  $f \in L^{\infty}(\mathbb{R})$  is invariant under translations by  $\mathbb{Q}$ . Then f satisfies in particular f(x) = f(x+1) almost everywhere, and it suffices to show that the corresponding function on  $\mathbb{T}$ , again denoted by f, is constant. Applying Fourier decomposition to  $f \in L^{\infty}(\mathbb{T}) \subset L^{2}(\mathbb{T})$  we can write

$$f = \sum_{n \in \mathbb{Z}} f_n z^n$$

for some  $l^2$ -sequence  $f_n$ . Now  $r \in \mathbb{Q}/\mathbb{Z}$  acts by

$$r \cdot f = \sum_{n \in \mathbb{Z}} f_n e^{2\pi i n r} z^n$$

We conclude  $f_n e^{2\pi i nr} = f_n$  for all  $r \in \mathbb{Q}$  and hence  $f_n = 0$  for  $n \neq 0$ . This means  $f = f_e$  is a constant function.

For freeness of the action observe that  $(a, b) \cdot x = ax + b = x$  means (a - 1)x = b. If a = 1 we obtain b = 0 and hence (a, b) = e. For  $a \neq 1$  we see that x is uniquely determined. In particular, for  $(a, b) \neq e$  the set  $\{x \in X | (a, b) \cdot x = x\}$  contains only one element and has therefore measure zero.

Assume that  $\nu$  is a  $\Gamma$ -invariant measure on  $\mathbb{R}$ . Then  $\nu$  is in particular  $\mathbb{Q}$ -invariant. We have seen above that  $\mathbb{Q}$  acts ergodically on  $(\mathbb{R}, \lambda)$ . According to lemma 1.3 this means that  $\nu$  is a scalar multiple of Lebesgue measure  $\lambda$ . However, the Lebesgue measure is not  $\Gamma$ -invariant since the multiplicative subgroup  $\mathbb{Q}^* \subset \Gamma$  does not preserve  $\lambda$ .

### 2. Conditional expectations

Let  $\Gamma$  be a discrete group acting on the von Neumann algebra M. Then the projection  $p_e : \mathcal{H} \otimes l^2(\Gamma) \to \mathcal{H} \otimes l^2(\Gamma)$  onto the closed subspace  $\mathcal{H} \otimes \mathbb{C}e$  induces an ultraweakly continuous linear map  $E : M \rtimes \Gamma \to M$  given by  $E(x) = p_e x p_e$ . Explicitly we find

$$E\left(\sum_{\gamma\in\Gamma}x_{\gamma}\gamma\right)=x_{e},$$

and hence E takes indeed values in M. The map E is called the conditional expectation from  $M \rtimes \Gamma$  onto M.

**Lemma 2.1.** Let  $\Gamma$  be a discrete group acting on a von Neumann algebra M. The conditional expectation  $E: M \rtimes \Gamma \to M$  has the following properties.

- a) E is unital and faithful, that is E(1) = 1 and  $E(x^*x) = 0$  implies x = 0.
- b) E is a projection of norm one in the Banach space sense, that is,  $E^2 = E$  and E has norm one as Banach space operator.
- c) E is an M-bimodule map, that is E(axb) = aE(x)b for all  $x \in M \rtimes \Gamma$  and  $a, b \in M \subset M \rtimes \Gamma$ .

*Proof.* a) Clearly we have E(1) = 1. Assume that  $x \in M \rtimes \Gamma$  satisfies  $E(x^*x) = 0$ . We may write  $x = \sum_{\gamma \in \Gamma} x_{\gamma} \gamma$  for some  $x_{\gamma} \in M$  and find

$$E(x^*x) = \sum_{\gamma \in \Gamma} x^*_{\gamma} x_{\gamma}.$$

Hence  $E(x^*x) = 0$  implies  $x_{\gamma} = 0$  for all  $\gamma$  and hence x = 0.

b) The formula  $E^2 = E$  is obvious. From  $E(x) = p_e x p_e$  we see that E has norm  $||E|| \le 1$ , and since E(1) = 1 it follows that ||E|| = 1.

c) Since  $p_e \in M' \subset \mathbb{L}(\mathcal{H} \otimes l^2(\Gamma))$  we find

$$E(axb) = p_e axbp_e = ap_e xp_e b = aE(x)b$$

for  $x \in M \rtimes \Gamma$  and  $a, b \in M$  as claimed.

# 3. Semifinite crossed products

**Theorem 3.1.** Let  $\Gamma$  be an infinite countable discrete group acting freely and ergodically on the  $\sigma$ -finite measure space  $(X, \mu)$  preserving the measure  $\mu$ .

- a) If  $\mu$  is a finite measure then  $L^{\infty}(X,\mu) \rtimes \Gamma$  is a type II<sub>1</sub>-factor.
- b) If  $\mu$  is an infinite measure and  $\Gamma$  acts non-transitively then  $L^{\infty}(X,\mu) \rtimes \Gamma$  is a type  $II_{\infty}$ -factor.
- c) If  $\mu$  is an infinite measure and  $\Gamma$  acts transitively then  $L^{\infty}(X,\mu) \rtimes \Gamma$  is a type  $I_{\infty}$ -factor.

*Proof.* a) We prove a slightly more general statement. Assume that M is a finite factor with normalized trace tr and assume that  $\Gamma$  preserves tr. Let  $E: M \rtimes \Gamma \to M$  be the conditional expectation and consider  $Tr = \text{tr} \circ E$ . Then Tr is an ultraweakly continuous positive linear map. The computation

$$Tr(xu_{\gamma}yu_{\eta}) = \delta_{\gamma,\eta^{-1}}Tr(x(\gamma \cdot y)) = \delta_{\gamma,\eta^{-1}}\operatorname{tr}(x(\gamma \cdot y)) = \delta_{\gamma,\eta^{-1}}\operatorname{tr}((\gamma \cdot y)x)$$
$$= \delta_{\gamma,\eta^{-1}}\operatorname{tr}(y(\gamma^{-1} \cdot x)) = Tr(yu_{\eta}xu_{\gamma})$$

together with ultraweak continuity shows that Tr is in fact a normalized trace on  $M \rtimes \Gamma$ . Hence the factor  $M \rtimes \Gamma$  is finite. We cannot obtain a finite type *I*-factor since  $\Gamma$  was assumed to be infinite. Hence  $L^{\infty}(X, \mu) \rtimes \Gamma$  is of type  $II_1$ .

b) We have to assume here that  $(X, \mu)$  is a standard measure space. If  $\Gamma$  acts nontransitively, there cannot be atoms in  $(X, \mu)$ . Otherwise  $\Gamma \cdot x$  for  $x \in X$  of positive measure would be a  $\Gamma$ -invariant set so  $\mu(\Gamma \cdot x) = \mu(X)$  by ergodicity, contradicting

the assumption that  $\Gamma$  acts non-transitively. Let  $A \subset X$  be a measurable subset with  $0 < \mu(A) < \infty$  and  $\xi = \chi_A \otimes \delta_e \in L^2(X, \mu) \otimes l^2(\Gamma)$ . Then

$$\omega_{\xi}(fu_{\gamma}) = \langle \xi, fu_{\gamma}\xi \rangle = \delta_{\gamma,e} \int_{A} f(x)d\mu(x)$$

and for  $p = \chi_A u_e \in L^{\infty}(X, \mu) \rtimes \Gamma$  we obtain

$$\begin{split} \omega_{\xi}((pfu_{\gamma}p)(pgu_{\eta}p)) &= \omega_{\xi}(\chi_{A}f(\gamma\cdot\chi_{A})(\gamma\cdot g)u_{\gamma\eta}) \\ &= \delta_{\gamma,\eta^{-1}}\int_{A\cap\gamma\cdot A}f(\gamma\cdot g)d\mu \\ &= \delta_{\gamma,\eta^{-1}}\int_{\gamma^{-1}A\cap A}(\gamma^{-1}\cdot f)gd\mu \\ &= \delta_{\gamma,\eta^{-1}}\int_{A\cap\eta\cdot A}g(\eta\cdot f)d\mu \\ &= \omega_{\xi}((pgu_{\eta}p)(pfu_{\gamma}p)) \end{split}$$

using the  $\Gamma$ -invariance of  $\mu$ . It follows that  $\omega_{\xi}$  is a trace on  $p(L^{\infty}(X,\mu) \rtimes \Gamma)p$ , and hence  $p(L^{\infty}(X,\mu) \rtimes \Gamma)p$  is a finite factor. Since  $(X,\mu)$  is a standard measure space then A, having no atoms, contains subsets of arbitrary measure smaller than  $\mu(A)$ . Hence the factor  $p(L^{\infty}(X,\mu) \rtimes \Gamma)p$  cannot be of type I since it contains  $L^{\infty}(A,\mu)$ . If  $L^{\infty}(X,\mu) \rtimes \Gamma$  itself were finite with finite trace tr then  $\nu(Y) = \operatorname{tr}(\chi_Y)$  would give a finite  $\Gamma$ -invariant measure on X absolutely continuous to  $\mu$ . According to lemma 1.3 this means  $\nu = \lambda \mu$  for some  $\lambda > 0$  and hence  $\nu(X) = \infty$ , a contradiction. Hence  $L^{\infty}(X,\mu) \rtimes \Gamma$  is of type  $II_{\infty}$ .

c) We may assume that  $X = \Gamma$ . Since  $\Gamma$  is countable it follows that  $\mu$  is a multiple of the counting measure. The crossed product  $L^{\infty}(\Gamma, \mu) \rtimes \Gamma$  is unitarily equivalent to  $(L^{\infty}(\Gamma, \mu)\mathcal{L}(\Gamma))'' \subset \mathbb{L}(l^2(\Gamma))$ . Direct computation shows that the latter contains all matrix units  $e_{\gamma\eta}$  for  $\gamma, \eta \in \Gamma$  and hence  $L^{\infty}(\Gamma, \mu) \rtimes \Gamma \cong \mathbb{L}(l^2(\Gamma))$ .  $\Box$ 

## 4. Type *III*-crossed products

We need some prelimitries on lower semicontinuous functions.

**Definition 4.1.** Let X be a topological space. A function  $f : X \to [-\infty, \infty] = \mathbb{R} \cup \{-\infty, \infty\}$  is called lower semicontinuous if

$$f^{-1}((K,\infty]) = \{x \in X | f(x) > K\}$$

is an open set for every  $K \in \mathbb{R}$ .

Let X be a topological space and let  $f: X \to [-\infty, \infty]$  be a function. Clearly f is lower semicontinous iff the set  $f^{-1}([-\infty, K])$  is closed for every  $K \in \mathbb{R}$ . If  $x \in X$  we say that f is lower semicontinuous at x if either  $f(x) = -\infty$  or  $f(x) = \infty$  and for every K > 0 we find an open neighborhood U of x such that f(u) > K for all  $u \in U$ , or  $f(x) \in \mathbb{R}$  such that for every  $\epsilon > 0$  there exists an open neighborhood U of x such that

$$f(u) > f(x) - \epsilon$$

for all  $u \in U$ .

**Lemma 4.2.** Let X be a topological space. For a function  $f : X \to [-\infty, \infty] = \mathbb{R} \cup \{-\infty, \infty\}$  the following conditions are equivalent.

a) f is lower semicontinuous.

b) f is lower semicontinuous at every  $x \in X$ .

*Proof.*  $a \Rightarrow b$  Assume that  $-\infty < f(x) < \infty$  and let  $\epsilon > 0$ . By lower semicontinuity, the set  $U = f^{-1}((f(x) - \epsilon, \infty))$  is an open neighborhood of x.

 $b) \Rightarrow a)$  Let  $K \in \mathbb{R}$  and consider  $x \in f^{-1}((K, \infty))$ . If  $f(x) = \infty$  we find an open neighborhood U of x such that f(u) > K for all  $u \in U$ , hence  $U \subset f^{-1}((K, \infty))$ . If  $f(x) < \infty$  we choose  $\epsilon > 0$  such that  $f(x) - \epsilon > K$ . Then there is an open neighborhhood U of x such that  $f(u) > f(x) - \epsilon > K$  so that  $U \subset f^{-1}((K, \infty))$  as well. Hence  $f^{-1}((K, \infty))$  is open which means that f is lower semicontinuous.  $\Box$ We collect some basic facts on lower semicontinuous functions.

**Lemma 4.3.** Let X be a compact space and let  $f : X \to [-\infty, \infty]$  be a lower semicontinuous function. Then f attains its minimum on X.

Proof. b) If  $-\infty$  is in the image of f of  $f(x) = \infty$  for all  $x \in X$  there is nothing to prove. Hence we may assume that  $f(X) \subset (-\infty, \infty]$  and  $f(x_0) < \infty$  for some  $x_0 \in X$ . The set  $K = \{x \in X | f(x) \le f(x_0)\}$  is closed by lower semicontinuity, and it clearly suffices to show that the restriction of f to K attains its minimum. In other words, we may restrict to the case that  $f: X \to \mathbb{R}$  takes values in  $\mathbb{R}$ . Fix  $\epsilon > 0$  and let  $U_x$  for  $x \in X$  be an open set such that  $f(u) > f(x) - \epsilon$  for all  $u \in U_x$ . Then  $(U_x)_{x \in X}$  is an open cover of X, and since X is compact there exist  $x_1, \ldots, x_n$ such that  $U_{x_1} \cup \cdots \cup U_{x_n} = X$ . It follows that f is bounded below, and we denote by r the infimum of the set f(X). The nonempty sets  $A_n = f^{-1}([r, r+1/n])$  are closed for all  $n \in \mathbb{N}$ . Using again that X is compact we find a point y in the intersection of all  $A_n$ . We conclude f(y) = r and this yields the claim.

**Lemma 4.4.** If  $(f_j)_{j\in J}$  is a family of lower semicontinuous functions from the topological space X to  $[-\infty,\infty]$  and  $\bigvee_{j\in J} f_j: X \to [-\infty,\infty]$  is defined by

$$\bigvee_{j \in J} f_j(x) = \sup_{j \in J} f_j(x),$$

then  $\bigvee_{i \in J} f_j$  is again lower semicontinuous.

*Proof.* Let  $K \in \mathbb{R}$ . Then

$$\left(\bigvee_{j\in J} f_j\right)^{-1}((K,\infty]) = \bigcup_{j\in J} f_j^{-1}((K,\infty])$$

is an open set by lower semicontinuity of the  $f_j$ .

**Lemma 4.5.** Let  $\mathcal{H}$  be a Hilbert space and let  $\xi \in \mathcal{H}$ . Then  $t_{\xi}(x) = ||x\xi||$  defines a lower semicontinuous function from  $\mathbb{L}(\mathcal{H})$  with the weak topology to  $\mathbb{R}$ .

*Proof.* For arbitrary  $K \in \mathbb{R}$  we have to show that the set

$$U_K = \{ x \in \mathbb{L}(\mathcal{H}) || |x\xi|| > K \}$$

is weakly open in  $\mathbb{L}(\mathcal{H})$ . Clearly  $U_K$  is strongly open. Hence

$$C_K = \mathbb{L}(\mathcal{H}) \setminus U_K = \{ x \in \mathbb{L}(\mathcal{H}) || |x\xi|| \le K \}$$

is strongly closed. Since  $C_K$  is convex this means that  $C_K$  is weakly closed by the Hahn-Banach theorem. Hence  $U_K$  is weakly open as desired.

**Lemma 4.6.** Let M be a semifinite factor with unit ball  $M_1$  and let  $\text{tr} : M_+ \to [0,\infty]$  be a semifinite trace on M. Then for each K > 0 the set

$$M(K) = \{x \in M_1 : \operatorname{tr}(x^*x) \le K\}$$

is weakly compact.

*Proof.* Let us first consider the case that M is finite. We may assume without loss of generality that tr is the normalized trace - recall that the normalized trace on a finite factor is unique, see 7.1.19.

Using the GNS-construction for tr we can write

 $\operatorname{tr}(x) = \langle \Lambda(1), x \Lambda(1) \rangle$ 

and hence  $\operatorname{tr}(x^*x) = ||x\Lambda(1)||^2$  for all  $x \in M$ . According to lemma 4.5 we see that the map  $t: M \to [0,\infty)$  given by  $t(x) = \operatorname{tr}(x^*x)$  is weakly lower semicontinuous. Hence  $t^{-1}([0,K]) = \{x \in M | \operatorname{tr}(x^*x) \leq K\} \subset M$  is weakly closed. By the Kaplansky density theorem the unit ball  $M_1$  of M is weakly compact. We conclude that

$$M(K) = M_1 \cap t^{-1}([0, K])$$

is weakly compact. This yields the claim for finite M.

Now assume that M is a type  $I_\infty\text{-}{\rm factor}$  or a type  $II_\infty\text{-}{\rm factor}.$  Then we may write

$$M \cong N \otimes \mathbb{L}(l^2(\mathbb{N})) \subset L^2(M,\tau) \otimes \mathbb{L}(l^2(\mathbb{N}))$$

with  $N = \mathbb{C}$  in the first case or N a type  $II_1$ -factor in the second case. In both cases  $\tau : N \to \mathbb{C}$  denotes the normalized trace. Then, up to a scalar,

$$\operatorname{tr}(x) = \sum_{j=1}^{\infty} \langle (\Lambda(1) \otimes e_j), x(\Lambda(1) \otimes e_j) \rangle$$

for  $x \in M_+$ .

We want to show that the function  $t: M \to [0, \infty]$  given by

$$t(x) = \operatorname{tr}(x^*x) = \sum_{j=1}^{\infty} \langle x(\Lambda(1) \otimes e_j), x(\Lambda(1) \otimes e_j) \rangle = \sum_{j=1}^{n} ||x(\Lambda(1) \otimes e_j)||$$

is weakly lower semicontinuous. For this consider the function  $t_n: M \to [0, \infty]$  given by

$$t_n(x) = \sum_{j=1}^n \langle x(\Lambda(1) \otimes e_j), x(\Lambda(1) \otimes e_j) \rangle = \sum_{j=1}^n ||x(\Lambda(1) \otimes e_j)||$$

Obviously we have

$$\bigvee_{n \in \mathbb{N}} t_n = t,$$

and according to lemma 4.5 the maps  $t_n$  are weakly lower semicontinuous for all n. Hence due to lemma 4.4 the function t is indeed weakly lower semicontinuous. Now the same argument as in the finite case finishes the proof.

**Proposition 4.7.** Let M be a semifinite factor with unit ball  $M_1$  and let  $\text{tr} : M_+ \rightarrow [0, \infty]$  be a semifinite trace on M. As above we write

$$M(K) = \{x \in M_1 : \operatorname{tr}(x^*x) \le K\}$$

for K > 0. Let  $N \subset M$  be a von Neumann subalgebra. If  $x \in M(K)$  let us denote by W(x) the weak closure of all convex combinations of elements of the form  $uxu^*$ for  $u \in N$  unitary. Then  $W(x) \subset M(K)$  and if  $t : W(x) \to [0, \infty]$  is the function

$$t(y) = \operatorname{tr}(y^*y)$$

then t attains its minimum at a unique point e(x) of W(x).

*Proof.* Note that a convex combination of elements  $u_j x u_j^*$  with  $u_j \in N$  unitary is a finite sum of the form

$$c = \sum_{j=1}^{n} \lambda_j u_j x u_j^*$$

where  $\sum_{j=1}^{n} \lambda_j = 1$ ,  $\lambda_i > 0$  for all *i*. It is clear that the norm of such a convex combination is bounded by 1 since  $x \in M_1$ . Moreover the trace of *c* is clearly bounded by *K*. Since M(K) is weakly compact we see that W(x) is a weakly compact convex subset of M(K).

From the proof of lemma 4.6 we know that t is a weakly lower semicontinuous function. Hence according to lemma 4.3 there is a point  $e(x) \in W(x)$  where t attain its minimum.

Next recall that the GNS-construction for tr is the Hilbert space completion  $\mathcal{H}$  of the linear space

$$\mathcal{N} = \{ z \in M : \operatorname{tr}(z^*z) < \infty \} \subset M$$

with respect to the inner product  $\langle y, z \rangle = \operatorname{tr}(y^*z)$ . The function t extends to the function  $t : \mathcal{H} \to [0, \infty)$  given by  $t(\xi) = ||\xi||^2$ . Since  $t(y) \ge t(e(x))$  for all  $y \in W(x)$  and t is continuous for the norm topology of  $\mathcal{H}$ , we also have  $t(\xi) \ge t(e(x))$  for all  $\xi$  in the norm closure  $\overline{W(x)}$  of W(x). Since  $\overline{W(x)} \subset \mathcal{H}$  is a convex closed subset, the function  $t : \overline{W(x)} \to [0, \infty)$  has a unique minimum by basic Hilbert space geometry.

**Proposition 4.8.** Suppose that  $\Gamma$  acts freely and ergodically on  $L^{\infty}(X, \mu)$  such that  $M = L^{\infty}(X, \mu) \rtimes \Gamma$  is a semifinite factor. Let tr be a semifinite trace on M and let  $p \in M$  be a nonzero projection with  $tr(p) < \infty$ . If  $E : L^{\infty}(X, \mu) \rtimes \Gamma \to L^{\infty}(X, \mu)$  denotes the canonical conditional expectation then

$$e(p) = E(p)$$

and

$$0 < \operatorname{tr}(e(p)^2) \le \operatorname{tr}(p)$$

where  $e(p) \in M$  is defined as above.

*Proof.* By the uniqueness of  $e(p) \in M$  it follows that e(p) commutes with every unitary in  $L^{\infty}(X,\mu)$ . Since  $N = L^{\infty}(X,\mu)$  is maximal abelian in the crossed product, by 11.2.11 it follows that  $e(p) \in L^{\infty}(X,\mu)$ . If  $x = \sum_{j=1}^{n} \lambda_j u_j p u_j^* \in W(p)$  for  $u_j \in N$  we clearly have

$$E(x) = \sum_{j=1}^{n} \lambda_j E(u_j p u_j^*) = \sum_{j=1}^{n} \lambda_j u_j E(p) u_j^* = E(p)$$

by the bimodule property of E and the fact that  $L^{\infty}(X, \mu)$  is abelian. Since E is ultraweakly continuous we have in fact E(x) = E(p) for all  $x \in W(p)$ . Moreover we have  $e(p) \in W(p)$  and together with our observation  $e(p) \in L^{\infty}(M, \mu)$  above we therefore obtain

$$e(p) = E(e(p)) = E(p).$$

Since  $E(p) \leq p$  we conclude

$$tr(e(p)^2) = tr(e(p)^*e(p)) = t(e(p)) \le t(p) = tr(p).$$

Finally  $E(p) = E(p^2)$  is a positive non-zero element of M and hence  $e(p)^2 = E(p)^2$  must have non-zero trace.

**Theorem 4.9.** Let the countable discrete group  $\Gamma$  act freely and ergodically on the countably separated  $\sigma$ -finite measure space  $(X, \mu)$ . If the factor  $L^{\infty}(X, \mu) \rtimes \Gamma$ is semifinite there exists a  $\sigma$ -finite  $\Gamma$ -invariant measure on X which is absolutely continuous with respect to  $\mu$ .

Proof. Define a measure  $\nu$  on X by  $\nu(A) = \operatorname{tr}(\chi_A)$  for measurable subsets  $A \subset X$ . Then  $\nu$  has to be finite and nonzero on some A. Indeed, choose a nonzero projection  $p \in L^{\infty}(X, \mu) \rtimes \Gamma$  with  $\operatorname{tr}(p) < \infty$ . Then according to proposition 4.8 the function  $E(p)^2 \in L^{\infty}(X, \mu)$  has finite positive measure with respect to  $\nu$ . By ergodicity of

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the action we see that the complement of the  $\Gamma$ -invariant set  $\bigcup_{\gamma \in \Gamma} \gamma \cdot A$  has measure zero so that  $\nu$  is  $\sigma$ -finite. From the relation

$$\nu(\gamma Y) = \operatorname{tr}(\gamma \cdot \chi_Y) = \operatorname{tr}(u_\gamma \chi_Y u_\gamma^{-1}) = \operatorname{tr}(\chi_Y) = \nu(Y)$$

for measurable  $Y \subset X$  we see that  $\nu$  is  $\Gamma$ -invariant.

As a consequence we obtain examples of factors which are not semifinite. Such factors are sometimes called purely infinite. Since being semifinite is the same things as being type I or II the following terminology is equivalently used.

**Definition 4.10.** A factor is of type III if it is not of type I or II.

According to theorem 4.9 we obtain a type *III*-factor from any example of a free ergodic group action on a countably separated,  $\sigma$ -finite measure space  $(X, \mu)$  such that there is no invariant  $\sigma$ -finite invariant measure absolutely continuous with respect to  $\mu$ . Hence lemma 1.4 gives the following result.

**Corollary 4.11.** The crossed product  $L^{\infty}(\mathbb{R}, \lambda) \rtimes \Gamma$  for the natural action of the ax + b-group  $\Gamma = \mathbb{Q} \rtimes \mathbb{Q}^*$  on  $(\mathbb{R}, \lambda)$  is a type III-factor.

## References

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