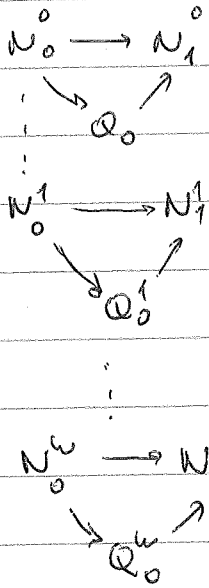


Working in  $N_x^*[Th]$  iterate the picture to make  $\mathbb{R}^*$  generic



$B \in D(N_i^w)$  the new derived models  
 $D(Q_i^w)$

for all  $i$ . At least, it is possible to arrange this. By setting it up so that  $N_x^*$  thinks all of its interpretations of  $B$  are s.t.  $L(B, \mathcal{M}) \models AD^+$ .

So far we have: For every  $B \in \mathcal{B}$  there is a hod pair  $(P, \Sigma)$  s.t.  $\Sigma$  has  $BC$  and  $\mathcal{F}$  is FPR and strongly respects  $B$ .

Note: given  $\langle B_i | i \in \omega \rangle$  we can get a hod pair  $(P, \Sigma)$  s.t.  $\Sigma$  is FPR, has  $BC$  and strongly respects  $\bigoplus_{i \in \mathbb{N}} B_i$  all  $k$ .

Do this by comparing  $(P_i, \Sigma_i)$ 's.

Backtrack Take  $\alpha = -1$ , i.e.  $\text{HOD} / \mathcal{Q}_0$ .

$$\mathcal{B} = \{ A \in \mathcal{O} \mid A \text{ is } OD \}$$

Let  $\langle A_i | i \in \omega \rangle$  be a semiscale on  $\mathcal{O}_1^2$ . By the above, get  $(P, \Sigma)$  s.t.  $P$  is a hod pm,  $\chi^P = 0$ ,  $\Sigma$  is FPR and has  $BC$  and strongly respects  $\bigoplus_{i \in \mathbb{N}} A_i$  all  $k$ .

Then  $\langle A_i | i \in \omega \rangle$  guides  $\Sigma$ .

Proof Given  $\mathcal{T}$  on  $P$ . We have: if  $\Sigma(\mathcal{T}) = b$  then  $(M_b^{\mathcal{T}}) \forall i \ i_b^{\mathcal{T}}(\sigma_{A_i}^P) = \sigma_{A_i}^{\text{HOD}_b}$  but  $\sup_{i \in \omega} \delta_{A_i} = \delta^{\mathcal{T}}(\mathcal{T})$ .

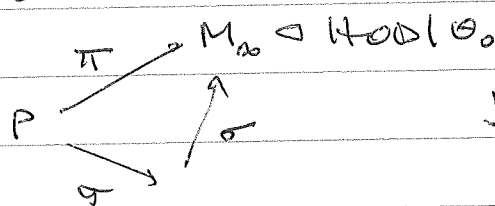
Why: if not then letting  $S = \text{Hull}_{M_b^\sigma} (\{ \sigma_{A_i}^{M_b^\sigma} \mid \text{view } \sigma \})$   
 after collapse with  $i: S \rightarrow M_b^\sigma$ . then  $\text{cr}(\pi) = \sigma$   
 and  $S \models \sigma$  is Woodin. Also  $S$  is full because  
 $\langle A_i \text{ view} \rangle$  is a semiscale on  $\Pi_1^2$ . So  $L_p(S \mid \sigma) \models \sigma$  is Woodin.  
 Hence  $M_b^\sigma \models \sigma$  is Woodin.  $\square$

We can now show  $\int M_\alpha(P, \Sigma) = \Theta_0$

Proof  $\leq$  comes from the covering system  $\mathcal{F}$

$\neq$  because otherwise  $\Sigma$  would be  $\Theta_0$ -Suslin  
 so  $w(\Sigma) < \Theta_0$ . But we know  $w(\Sigma) \geq w(A_i)$  all  $i$ .

Why is  $\Sigma < \Theta_0$  Suslin:



See: Scales in  $\text{HOD}$

John says Enough to look at  
 the tree of ~~the~~ all suitable  
 attempts and is significantly  
 simpler (since we are merely  
 looking for a Suslin representation  
 and not for a scale).

Back to  $\Theta_\alpha$  and  $\Theta_{\alpha+1}$  for  $\alpha \geq 0$ .

We have:  $(P, \Sigma)$  with  $\Sigma$  FPR+BC

$M_\alpha(P^-, \Sigma_{P^-}) \mid \Theta_\alpha = V_{\Theta_\alpha}^{\text{HOD}}$ . Also

$w(\Sigma_{P^-}) = \Theta_\alpha$ . For all  $B \in \mathcal{B}$ : some tail of  $(P, \Sigma)$   
 strongly respects  $B$ .

Consider  $N(P, \Sigma) = \text{dir lim}$  of all  $\Sigma$ -iterates of  $P$  above  $P^+$ .

This is like  $\Theta = \Theta_0$  case. Show by the same argument

that  $N_\alpha(P, \Sigma) = V_{\Theta_{\alpha+1}}^{\text{HOD}} \Sigma_{P^-}$  and get  $\langle A_i \text{ view} \rangle$  semiscale  
 on  $\Pi_1^2(\Sigma_{P^-}) \in \text{OD}_{\Sigma_{P^-}}^{\Theta_{\alpha+1}}$ .

~~Suppose~~  $\sup_{\ell < \omega} \delta_{A_i}^{N_\alpha(P, \Sigma)} = \Theta_{\alpha+1}$

For  $\gamma < \mathcal{O}_{\alpha+1}$  let

$$B^\gamma = \{ (Q, \mathcal{R}), x, (y, z) \} \text{ s.t.}$$

1.  $(Q, \mathcal{R})$  is a hod pair with FPR + BC and  $M_\alpha(Q, \mathcal{R}) = V_{\mathcal{O}_\alpha}^\gamma$
2.  $x$  codes  $Q$
3.  $y$  codes  $R_y$  s.t.  $(R_y, \mathcal{R})$  is a suitable pair
4.  $z$  is in the least  $\mathcal{O}_{\mathcal{E}_\alpha}$ -set  $C$  s.t.  $(R_y, \mathcal{R})$  is  $C$ -iterable in the sense of getting  $\text{HOD}_\mathcal{R}$  and  $\pi_{R_y, z}(\gamma^{R_y}) \geq \gamma$

Let  $\langle \gamma_i : i \in \omega \rangle$  be a sequence s.t.

$$\sup_{i \in \omega} \gamma_i = \mathcal{O}_{\alpha+1}$$

$$\text{let } B_i = B^{\gamma_i}$$

let  $(P, \Sigma)$  be a hod pair with FPR + BC,

$$M_\alpha(P, \Sigma_{P^-}) = V_{\mathcal{O}_\alpha}^{\text{HOD}}, \Sigma \text{ strongly respects } \bigoplus_{i \in \mathbb{N}} B_i \text{ all } k.$$

Claim  $\Sigma$  is guided by  $\langle B_i : i \in \omega \rangle$

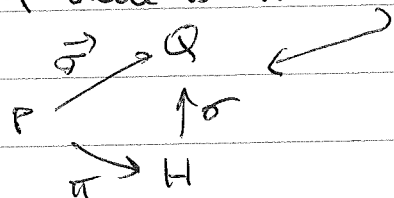
Proof ETS: If  $\mathcal{F}$  is on  $P$  and  $b$  is the branch,  $Q = M_b^\mathcal{F}$

$$\text{then } \sup_{i \in \omega} \gamma_{B_i}^{Q, \Sigma_{Q^-}} = \mathcal{J}^Q.$$

In  $\text{HOD}_{\Sigma_{Q^-}}$ -limit, the  $\gamma_{B_i}^{Q, \Sigma_{Q^-}}$  are cofinal in  $\mathcal{O}_{\alpha+1}$  and  $(Q, \Sigma_{Q^-})$  is a suitable pair which is  $\bigoplus_{i \in \mathbb{N}} B_i$  iterable  $\forall k$ ,  $\gamma_{Q, \mathcal{R}}^{Q, \Sigma_{Q^-}}(\gamma_{B_i}^Q) > \gamma$ : let us backup.

Suppose  $\gamma = \sup \gamma_{B_i}^{Q, \Sigma_{Q^-}}$ . Let  $H = \text{Hull}_{\Sigma}^Q(\gamma \cup \{ \gamma_{B_i}^{Q, \Sigma_{Q^-}} : i \in \omega \})$ .

If there is  $\pi$  s.t. then we are done, because  $H$  is full by our requirement on  $\Sigma$  and  $H \models \gamma$  works. So  $Q \models \gamma$  works,  $\square$ .



$\pi$  exists if  $P = \bigcup_{i \in \omega} H_{B_i}^{P_i, \Sigma_{P_i}}$ . To get such a  $P$  just consider a countable version of HOD:  $N_{\omega}^{L[T, d]}$  where  $T$  is the tree for  $\Sigma_1^{EP}(\text{Code}(\Sigma_{P_i}))$  on a cone of  $d$ . - No! ]

Let  $\Gamma$  be a good pointclass,  $w(\Gamma) > \Theta_{\omega+1}$ . Work in some  $N_x^*$  that can see everything we want. Then let  $\Phi$  be the  $N_x^*$  sets of Wadge rank  $< \Theta_{\omega+1}$ .  
 $\mathcal{N} = W_{\omega}(P, \Sigma_P) \cap (L[\Phi, R]) \cap N_x^*$ .  $\square$

14:00

Remark

Step 1  $V_{\Theta_0}^{\text{HOD}}$

Step 2  $V_{\Theta_1}^{\text{HOD}}$

⋮

Step  $\omega$   $V_{\Theta_{\omega}}^{\text{HOD}}$

If  $\Theta_{\omega+1}$  exists then we can get  $(P, \Sigma)$  s.t.  $\lambda^P = \omega$ ,  $\Sigma$  is FPR+BC  
 $\forall n \ M_n(P(n), \Sigma_{P(n)}) \upharpoonright \Theta_n = V_{\Theta_n}^{\text{HOD}}$   
 Compare all of them.

(If  $\Theta_{\omega+1}$  does not exist take just the union of  $V_{\Theta_n}^{\text{HOD}}$ )

This generalises to arbitrary cof  $\omega$ -step.

Now assume  $\Theta_{\omega+1}$  exists.

Step  $\omega+1$  Let  $\Gamma > w(\Theta_{\omega+1})$ . Let  $A \in \mathcal{R}$  with  $w(A) = \omega_1$ .

$B =$  the set of all  $\sigma$  s.t.  $\sigma^{-1}[A] = \langle P, \Sigma \rangle$ ,  $\Sigma$  FPR+BC,

$M_{\omega}(P, \Sigma) = V_{\Theta_d}^{\text{HOD}}$  all  $d < \omega_1$ .

Get some  $N_x^*$  capturing  $A, B$ , then do hod pair construction in side  $N_x^*$ . We will get  $(P, \Sigma)$  s.t.

•  $\Sigma$  is FPR + BC

•  $\lambda^P$  = the least measurable  $\leq P$

•  $(\forall \alpha < \lambda^P) M_\infty(P, \Sigma) \upharpoonright \Theta_\alpha = V_{\Theta_\alpha}^{\text{HOD}}$

What about  $M_\infty(P, \Sigma)$ .

$B(P, \Sigma) = \{Q \mid Q \triangleleft_{\text{hod}} R \text{ where } R \text{ is a } \Sigma\text{-iterate of } P\}$

$\leq^*$  in  $B(P, \Sigma)$  :

$Q \leq^* R \iff (\exists \gamma \leq \lambda^R) (R(\gamma) \in I(Q, \Sigma_Q))$  and

$i_\gamma^\Sigma : Q \rightarrow R(\gamma)$  the corresponding embedding

Let  $M_\infty^-(P, \Sigma) = \text{dir lim}_{Q, R} (B(P, \Sigma), \leq^*)$  under  $i_\gamma^\Sigma$

Exercise  $M_\infty^-(P, \Sigma) = M_\infty(P, \Sigma) \upharpoonright_{M_\infty(P, \Sigma)}$

It follows:  $M_\infty^-(P, \Sigma) \upharpoonright_{\Theta_{\lambda^P}} = V_{\Theta_{\lambda^P}}^{\text{HOD}}$

Claim  $M_\infty^-(P, \Sigma) = V_{\Theta_{\omega_1}}^{\text{HOD}}$

Proof (Sketch) Let  $\alpha < \omega_1$ . By induction:

$M_\infty^-(P, \Sigma) \upharpoonright_{\Theta_\alpha} = V_{\Theta_\alpha}^{\text{HOD}}$  for  $\alpha < \omega_1$ .

Want this for  $\alpha+1$ . Let  $R \in B(P, \Sigma)$  be s.t.

$M_\infty(R, \Sigma_R) = M_\infty^-(P, \Sigma) \upharpoonright_{\Theta_{\alpha+1}}$

WTS:  $M_\infty(R, \Sigma_R) \upharpoonright_{\Theta_{\alpha+1}} = V_{\Theta_{\alpha+1}}^{\text{HOD}}$

For this: Need that the HYP0 holds for  $(R, \Sigma_R)$ .

So, let  $R^* \in I(R, \Sigma)$  be s.t.  $R \triangleleft_{\text{hod}} R^*$ .

Then use the following fact about derived models:

Notation:  $D^*(P, \Sigma) = D(\text{Ult}(P, \Sigma)(\lambda^P), \Sigma)$  where

$\mu$  is the order 0 measure on  $\text{cf}(\lambda^P)$ .

One can show:

$$(1) \quad D^*(P, \Sigma) = \{A \mid w(A) < \Theta_{\lambda^P}\}$$

Using this,

$P \models$  In my  $M_y$  derived model,  $\forall d < \lambda^P (P(d), \Sigma_{P(d)})$   
 is "good" (i.e. good for computing WOD)

$\downarrow$   
 $R^* \models$       -11-

then

$$D^*(R^*, \Sigma_{R^*}) = \{A \mid w(A) < \Theta_{\lambda^{R^*}}\} \Rightarrow (R, \Sigma_R) \text{ is good}$$

An idea of the proof of (1): Interpretation of strategies to generic extensions.

Given a hod pair  $(P, \Sigma)$  <sup>with BC+FP2</sup> and  $d < \lambda^P$  we can find  $T, S \in P$  s.t.  $(T, S)$  interpret  $\Sigma_{P(d)}$  in generic extensions, i.e. 
$$\left. \begin{aligned} p[T]^{PC[g]} &= \Sigma_{P(d)} \upharpoonright R^{PC[g]} \\ p[S]^{PC[g]} &= \dots \end{aligned} \right\} (2)$$

So for all  $d < \lambda^P : \Sigma_{P(d)} \in D^*(P, \Sigma)$  and for any  $A \in D^*(P, \Sigma) \exists d < \lambda^P$  s.t.  $A \leq_w \text{Code}(\Sigma_{P(d)})$   $\xrightarrow{\text{...}}$   $(T, S) \in PC[g]$  that gives  $A$ . Let  $\beta < \lambda^P$  be the least Woodin in  $PC[g]$ . Let  $T^*, S^*$  be the  $\beta$ -versions of  $T, S$ . Then show that  $\Sigma_{P(\beta+1)}$  gives  $A$  by the following: Iterate to absorb reals  $x \in A \Leftrightarrow x$  is generic of  $P(\beta+1)$  by  $\Sigma_{P(\beta+1)}$  giving  $R$  s.t.  $x \in p[i^\Sigma_{P(\beta+1), R}(T^*)]$

Also:  $\forall d < \lambda^P \quad w(\text{Code}(\Sigma_{P(d)})) < \Theta_{\lambda^P}$   
 $D^*(P, \Sigma) = \{A \mid w(A) < \Theta_{\lambda^P}\}$

Exercise Show

$D^*(P, \Sigma) \models \Sigma_{P(\beta+1)}$  is not OD from  $\Sigma_{P(d)}$ .

An idea toward (2).  $\rightarrow$  Unable to record.

THE PROOF OF MSC

WTS:  $x \in OD_y \Leftrightarrow \exists y$ -model  $M$  s.t.  $x \in M$ .

Assumptions:  $\neg (AD_{\aleph_2} + \Theta \text{ regular})$

Assume not:

Suppose:  $\Gamma$  is the largest s.t.  
 $L_\alpha(\Gamma, \mathbb{R}) \models MC$  &  $L_{\alpha+1}(\Gamma, \mathbb{R}) \models TMC$   
 Then there is  $(P, \Sigma)$  s.t.

Let  $M$  be the largest initial of  $V$  where  $MC$  holds.

~~$\mathbb{R} = L_{\aleph_2}(\mathbb{R})$~~  Let  $\Gamma = \mathcal{P}(\mathbb{R})^M$  where  $M = L_\alpha(\Gamma, \mathbb{R})$   
 and  $L_{\alpha+1}(\Gamma, \mathbb{R}) \models TMC$

Fix  $x \in OD_{\aleph_2}^{L_{\alpha+1}(\Gamma, \mathbb{R})}$   $x$  is not in any  $y$ -model.

Then there is  $(P, \Sigma)$  s.t.

either  $\lambda^P$  is limit and

1.  $\forall Q \in B(P, \Sigma): \Sigma_Q \in \Gamma$
2.  $\Sigma$  is  $\Gamma$ -FPR and has BC
3.  $L(\Sigma, \mathbb{R}) \models x \text{ is OD}$
4.  $\Sigma$  has good properties one needs to compute  $HOD^M$

[this is equivalent to full FPR. This is part of the minimality assumption.]

or else  $\lambda^P$  is a successor ordinal and

1.  $\Sigma_{\bar{p}} \in \Gamma$
2.  $\Sigma$  is  $\Gamma$ -FPR and has BC
3.  $L(\Sigma, \mathbb{R}) \models x \text{ is OD}$
4. As above

By assumption:  $\Gamma \triangleleft (\Sigma_{\bar{p}}^2)^{L(\Sigma, \mathbb{R})}$ . Some have

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a good  $\rho^* > \rho$  s.t.  $(P, \Sigma) \in \Delta_{\rho^*}$ .

We have: For any  $\Sigma$ -iterate  $Q$  of  $P$ :  $x$  is in  $\Sigma_Q$ -mouse  $M_Q$   
Why:  $L(\Sigma, \mathbb{R}) = L(\Sigma_x, \mathbb{R})$  by MC in  $L(\Sigma, \mathbb{R})$ .

We can assume:

$$A = \{ (Q, M_Q) \mid Q \in I(P, \Sigma) \} \in P^*$$

Let  $N_x^*$  be for  $\rho^*$  s.t.  $(N_x^*, \delta_x, \Sigma_x)$  Spector captures  
 $(P, \Sigma), A$ . Let  $N = L[E]_{N_x^*}^{\delta_x}$ .  $\{TS: x \in N$ .

For the above, it is enough to prove:

Lemma: There is  $Q \in I(P, \Sigma) \cap N$  s.t.  $\Sigma_Q \upharpoonright N \in L[N]$ .

Why: Let  $N^* = (L[E, \Sigma_Q][Q])^N$ . By universality  
 $M_Q \subseteq N^*$ . But  $x \in M_Q$  so  $x \in N^* \subseteq N$ .

Proof of the Lemma By induction on  $\overbrace{B(P, \Sigma) \cap N_x^*}^{I(P, \Sigma) \cup \delta_x}$ .

Step 1  $N$  captures a tail of  $(P(\omega), \Sigma_{P(\omega)})$

Proof By what we did before: the least strong  
 cardinal of  $N$  is a limit of Woodin cardinals.  
 [let  $\gamma$  be one of them s.t.  $\gamma$  is a successor Woodin  
 and a cutpoint]

let  $\gamma$  be a Woodin in  $N$  that is not a limit  
 of points  $\zeta$  with  $L_{\hat{P}}(\mathbb{R} \upharpoonright \zeta) \models \zeta$  is Woodin.



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Then  $L[\varepsilon]^{w_1}$  is an iterate of  $P(\varepsilon)$  above the largest

↑ above

$\xi$  as above. We want to construct a direct limit of all iterates of  $P(\varepsilon)$  on " $N$  at  $\kappa$ " (where  $\kappa$  is the least strong) (this is like the construction of  $M_{(\kappa)}$  on  $L[x]$ ). Working on  $N$  define the following system.

$I^* = \{S \mid S \in N/\kappa, S = L[\varepsilon] \text{ is a suitable model}$

( $S$  has 1-wdn  $w$  and etc)

•  $S$  is full (i.e. as certified by  $L[\varepsilon]$ -construction of  $N$ )

• for some cutpoint  $\zeta$ :  $S \in H_{\zeta+\kappa+1}^N$  and  $H_\zeta^N$  is generic for the extendible algebra of  $S$

Let

!  $I = \left[ \begin{array}{l} \text{the set of all } (S, A) \text{ s.t. } S \in I^*, \\ A \in D(N, \kappa) \text{ and } D(N, \kappa) \models S \text{ is } A\text{-iterable} \end{array} \right]$   
 ↑ new derived model

Let  $\mathcal{F} = \{H_A^S \mid (S, A) \in I\}$

$R^* = \text{dirlim}(\mathcal{F}, \leq^*)$  under the  $A$ -iteration maps  
 ( $\leq^*$  as before)

We showed  $R^* = (V_\emptyset^{H_{\emptyset, \emptyset}}, D(N, \kappa))$ .

! Change the definition of  $I$  ! → NEXT PAGE

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$I =$  the set of all  $(s, \tau)$  s.t.

- $\tau$  is a term for a set of reals in  $D(N, \kappa)$
- s.t.  $\Vdash s$  is  $\tau$  iterable

$$\mathcal{F} = \{ H_\tau^s \mid (s, \tau) \in I \}$$

$R^* =$  dir lim of  $\mathcal{F}$  under the partial iteration maps

Let  $R = L_{P_\omega}(R^*)$ .  $R \in N$  as  $N$  is full

Claim  $R$  is an iterate of  $P(0)$

Proof Let  $g \subseteq \text{Col}(\omega, \kappa)$ . In  $N_x^*[g]$  construct

$\langle \sigma_i \mid i \in \omega \rangle$  s.t.

$P(0) \xrightarrow{\sigma_0} \xrightarrow{\sigma_1} \dots \quad R = \text{dir lim along } \langle \sigma_i \rangle_i$

$\exists \langle \gamma_i \mid i \in \omega \rangle$  on  $N_x^*[g]$  s.t.  $\gamma_i$  is a cutpoint of  $N$ ,  $L_P(N \upharpoonright \gamma_i) \models \gamma_i$  is Woodin and  $\gamma_i \rightarrow \kappa$ .

$\gamma_i$  is not a limit of  $L_P$ -Woodins. Let

$\xi_i = \sup \{ \beta \mid \beta < \gamma_i \text{ \& } \beta \text{ is } L_P\text{-Woodin} \}$

$P_i = L[E]^{N \upharpoonright \xi_i}$ . We have  $P_i$  iterates to  $P_{i+1}$

(by universality). Let  $\sigma_i$  be the corresponding tree.

and  $\mathcal{T}_{-1}$  the tree from  $P(0)$  to  $P_0$ .

Let  $\pi_i: \mathcal{T}_{-1} \upharpoonright P_i \rightarrow P_{i+1}$  and  $P_\omega = \text{dir lim } P_i$

under  $\pi_i$ . Then  $P_\omega = R$ :

- $\Sigma_{P(0)}$  is guided by some  $\vec{A} = \langle A_i \mid i \in \omega \rangle$

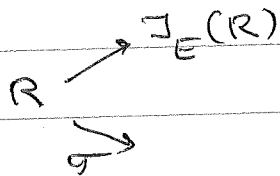
- $A_i \in D(N, \kappa)$

- $\pi_i = \bigcup_{k < \omega} \dot{\cup}_{j \in k} (P_i, \dot{\oplus}_{j \in k} A_j) \rightarrow (P_{i+1}, \dot{\oplus}_{j \in k} A_j)$

Claim  $\Sigma_R \uparrow N \in L[W]$

Proof Given  $\mathcal{T}$  on  $N$ : if  $\mathcal{T}$  is short then  $W \models \mathcal{T}$  is short (by universality) so  $N$  finds the branch as the unique branch with the  $\mathcal{Q}$ -structure.

Now what if  $\mathcal{T}$  is maximal: let  $E$  be an extender with  $\alpha(E) = \kappa$ . Strength of  $E \stackrel{\text{def}}{=} \nu_E > \text{rank}(\mathcal{T})$ . So  $\mathcal{T} \in \bigvee_{\nu(E)} \text{Ult}(N, E)$ . Let  $\mathcal{Q} = L_{P_0}(M(\mathcal{T}))$ .

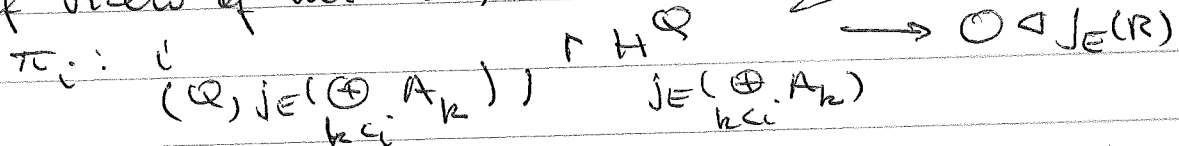


~~Let  $\mathcal{Q} \in \text{Ult}(N, E)$~~

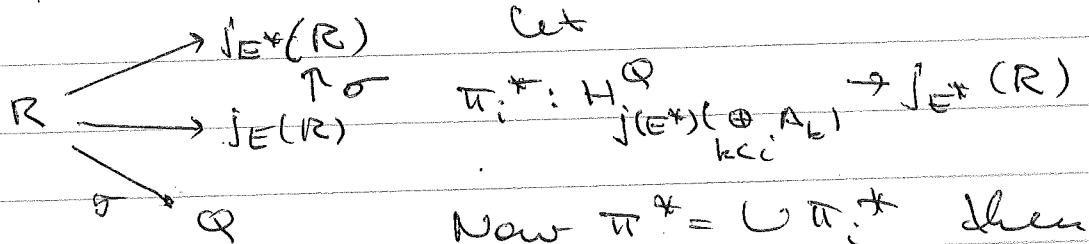
Claim  $\Sigma(\mathcal{T}) = b \iff \exists \sigma: \mathcal{Q} \rightarrow J_E(R)$  s.t.  $j_E = \sigma \circ i_b$ .

Proof Note:  $J_E(R)$  is an iterate of  $\mathcal{Q}$ .

We have  $\mathcal{Q}$  is  $\oplus_{i < \kappa} A_k$  iterable from the point of view of  $\text{Ult}(N, E)$  and  ~~$\mathcal{Q}$~~



Let  $E^*$  be the background certificate of  $E$   
 $N_x^* \longrightarrow \text{Ult}(N_x^*, E^*)$



Now  $\pi^* = \bigcup_{i < \kappa} \pi_i^*$  then

$\pi^*: \mathcal{Q} \longrightarrow J_{E^*}(R)$  is the iteration embedding.

So now  $j_{E^*} = \pi^* \circ i_b^{\mathcal{T}}$  (pulled back by  $\sigma$ ) so  $j_E = \pi \circ i_b^{\mathcal{T}}$ .

□ CASE 1

We prove ① + ② + ③  $\Rightarrow$  ~~AD~~  $(\exists A \in \mathbb{R}) \models AD^+ + \Theta = \Theta_1$

Here:

- ①  $\mathbb{P}_{max}$  axiom  $(\frac{*}{*})$ , in fact is consequence:  
 $I_{NS}$  (i.e. NS ideal on  $w_1$ ) is  $w_2$ -saturated and quasi-homogeneous.

Quasi-homogeneity: if  $X \in \mathcal{P}(w_1)$  and  $X \in \text{OD}_{\mathbb{R}}$  then if there is a stationary-costationary set in  $X$  then every stationary-costationary  $A \in w_1$  is  $\equiv_{NS}$  to some  $A' \in X$ .

- ② Stationarily many amenable closed hulls  $X \triangleleft H_{w_3}$ :  
 let  $H \cong X$ ,  $H$  transitive. If  $A \in w_2^H$  is amenable to  $H$  then  $A \in H$ .

- ③ Every  $f: w_2 \rightarrow w_2$  is bounded by a canonical function on a stationary set. ~~that is~~ (this is implied by  $I_{NS}$  weakly presaturated.  $I_{NS} = I_{w_2}^{w_1}(\text{cof}(w))$ ).

First: ① + ②  $\Rightarrow$   $AD^{K(\mathbb{R})}$

①  $\Rightarrow$   $j: V \rightarrow M[G]$  with  $j(w_1^V) = w_2^V$  and  $V[G] \models \text{MSEM}$   
 $\forall X \in \mathbb{R}$   $j^* HOD_X$  is amenable to  $V$ . (what  $j$  says about  $\text{OD}_{\mathbb{R}}$ -sets is independent of generics)

②  $\Rightarrow$  mouse / strategy reflection <sup>at</sup> on  $w_2$  (extend from  $\mathcal{P}(w_1) \rightarrow \mathcal{P}(w_2)$ ) (Reference: Steel:  $\text{PFA} \Rightarrow AD^{L(\mathbb{R})}$ )

③  $\Rightarrow$   $H \cong X \triangleleft V_\gamma$  ( $\gamma$  large) is AC (=amenable closed) and  $X \cap w_2 \in w_2$ ,  $|X| = w_1$  then  $A \in (H_{w_3})^H$  then  $L_p(A) \in H$ .

To get  $AD^{K(\mathbb{R})}$ . Using  $j$  extend mouse reflection at  $w_2$ . Important point:  $j(K) \in V$  for local  $K = K^{M_{\omega_2}^\#(\mathbb{R})}$ .

Now to get past  $\kappa(\mathbb{R})$ :  
 Required for  $\Theta > \Theta_0 \equiv \Theta^{\kappa(\mathbb{R})}$ .

-  $\text{cof}(\Theta_0) = \omega$

- strategy with condensation at  $\Theta_0$

Let  $H = \text{HOD}^{\kappa(\mathbb{R})} \upharpoonright \Theta_0$ .  $\bar{H}, \bar{\Theta}_0 = \text{collapsed in AC hull} \in \text{AC}^M$

Claim  $\bar{H}^+ \equiv L_{P_\omega}(\bar{H})^{j(\kappa(\mathbb{R}))}$  is suitable in  $j(\kappa(\mathbb{R}))$ .

Proof Let  $T$  be a tree for  $(\Sigma_1^2)^{j(\kappa(\mathbb{R}))}$ .  $T \in V$ .

$$L_P(\kappa(\mathbb{R})) = L_{P_2}(\mathbb{R}) \equiv L_P(L_P(\mathbb{R})) = L_{P_2}(\mathbb{R})^{j(\kappa(\mathbb{R}))}$$

But  $L_{P_2}(\mathbb{R})^{j(\kappa(\mathbb{R}))} \neq \bar{\Theta}_0$  is the only Woodin  $\text{HOD}$

Claim  $\text{cf}(\Theta_0) = \omega$  (Under our hypo:  $2^{\aleph_0} = \aleph_2$ )

Proof  $\text{cof}(\Theta_0) \neq \omega_1, \omega_2$ . Otherwise  $\text{cof}(\bar{\Theta}_0) = \omega_1$  by amenable closure. Let  $\rho = \sup j[\bar{\Theta}_0] < j(\Theta_0)$ .

Let  $E \text{ be } \equiv E_j \upharpoonright \gamma$ .

$$L[T, \bar{H}] \xrightarrow{i} L[i(T), i(\bar{H})] \xrightarrow{\alpha \geq \rho} L[j(T), j(\bar{H})]$$

$$Q = Q(i(\bar{H})) \in \text{Ult}^E. \quad \square$$

To see  $\Theta_0 < \omega_3$ : Let  $h: \omega_2 \xrightarrow{\text{bi}} \mathbb{R}$ . Define  $f: \omega_2 \rightarrow \omega_2$   
 $f(\alpha) = \Theta_\alpha \cap L_P(V_\omega \cup h[\alpha])$

Take  $q: \omega_2 \xrightarrow{\text{bi}} \beta \in \omega_3$  s.t.

$f(\alpha) \leq \text{otp}(q[\alpha])$  for stat many  $\alpha$ .

Take  $Y \triangleleft V_\gamma$ . Let  $\pi: X \xrightarrow{\sim} Y$  with  $X$  transitive.

Here  $|Y| = \omega_1$ ,

$\alpha = Y \cap \omega_2 \in \omega_2$  s.t.  $f(\alpha) \leq \text{otp}(q[\alpha])$

$$\pi^{-1}(\Theta_0) \leq \Theta_\alpha \cap L_P(V_\omega \cup h[\alpha]) \leq \text{otp}(q''\alpha) = \pi^{-1}(\beta) \quad \square$$

Take  $A \subseteq \text{OD} \cap P(\mathbb{R})$  countable,  $\aleph_1$ -cofinal, assume  $A \in X$  (By AC hulls).

In  $K(\mathbb{R})$  we have  $\mathcal{F}, \mathcal{I}$  giving  $M_\infty \mid \delta_\infty = \text{HOD}^{K(\mathbb{R})} \mid \theta_0$ .

Collapse  $\bar{\mathcal{F}}, \bar{\mathcal{I}}, \bar{M}_\infty$  ( $\text{HC}^M$ ).

In  $j(K(\mathbb{R}))$  let  $\langle \mathcal{A}_i, (N_i, A_i) \mid i \in \omega \rangle$  be increasing cofinal in  $\bar{\mathcal{I}}$ . Let  $M_\infty^* = \text{quasi-lim} N_i$  w.r.t all  $A \in \text{OD}^{j(K(\mathbb{R}))}$ .

Quasi-limit: maps are only  $i < \omega$  defined on reasonable hulls

$$\bar{M}_\infty \cong \text{HM}_\infty^* \Big/ j[\text{OD}^{K(\mathbb{R})}, < \omega]$$

$M_\infty^*$  is suitable and ~~A-iterable~~ strongly  $\bar{A}$ -<sup>quasi</sup>iterable for  $\bar{A} \in \overline{\text{OD}^{K(\mathbb{R})}} < \omega$

$$\bar{M}_\infty = M_\infty^* = \bar{H}^+ \quad (\bar{H}^+ = \text{Lp}_\omega(\text{HOD}^{K(\mathbb{R})} \mid \theta_0)^{j(K(\mathbb{R}))})$$

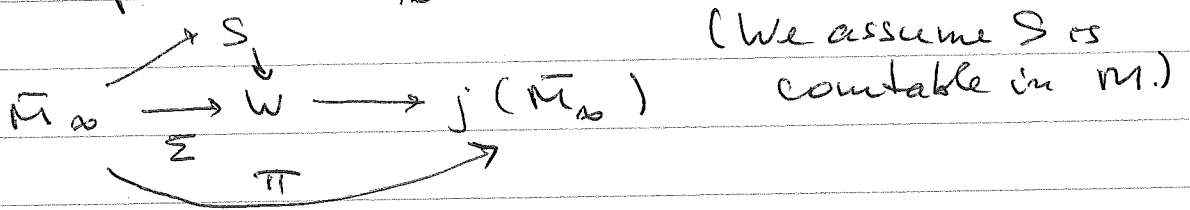
One can check: For every quasi-iterate  $W$  of  $\bar{M}_\infty$ :

$$W = H^W \Big/ j[A < \omega] \quad (\delta\text{-c.c. for extender algebra})$$

So  $j[A]$  guides a strategy  $\Sigma$  for  $\bar{M}_\infty$ .

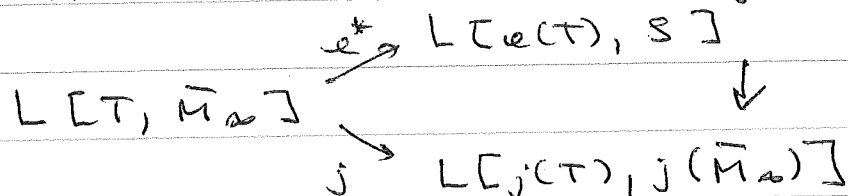
Lemma  $\Sigma$  has weak condensation. That is: If

$e: \bar{M}_\infty \rightarrow S$  factors into a  $\Sigma$ -iteration map then  $\bar{M}_\infty \rightarrow W$  then  $S$  is suitable.



Show:  $\pi = j \upharpoonright \bar{M}_\infty$  (Exercise)

(This is because the elements of the direct limit system are countable, so they do not move.) So  $e$  factors in to  $j$ .

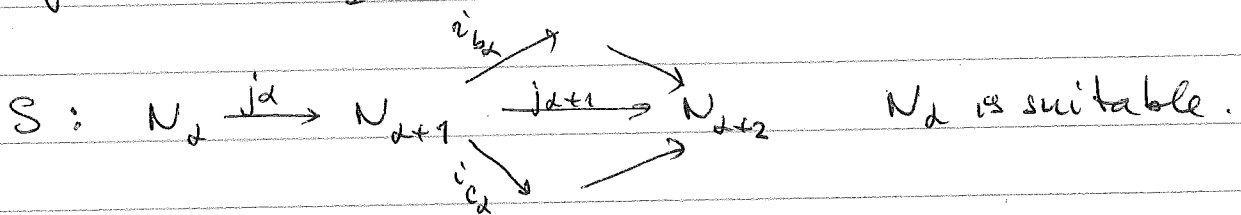


As before,  $S$  is full.

By elementarity of  $j$ :  $\exists N^*$  suitable in  $K(\mathbb{R})$  s.t.  $A$  guides a strategy  $\Sigma$  for  $N^*$  with weak condensation + Dodd-Jensen property.

Claim A tail of  $\Sigma$  has branch condensation.

Proof Otherwise iterate  $N_d \xrightarrow{\Sigma} N_{d+1} \xrightarrow{i_b} MT_b \xrightarrow{t} W$   
 This is an instance of a "bad" sequence and can be obtained for all  $d < \omega_1$ :



Assume:  $S$  is on a  $\mathbb{P}_{\max}$  extension of  $\mathbb{HOD}_{\mathbb{R}}$ .  $K(\mathbb{R})$ .  
 $(\mathbb{P}_{\max}) \Rightarrow \forall X \in \omega_1, X \in L(\mathbb{R})$  or  $X$  is in a  $\mathbb{P}_{\max}$  extension of  $\mathbb{HOD}_{\mathbb{R}}$

Take  $P \triangleleft K(\mathbb{R})$  least s.t.  $\Vdash^P_{\mathbb{P}_{\max}}$  " $\exists$  bad sequence of length  $\omega_1$ "

Take  $U$  a universal  $(\Sigma^2_1)^P$  set. Let  $B \subseteq P$  be a s.j.s,  $U \in B$ ,  $T$  a tree for  $\oplus B$  given by  $B$ .

In  $\mathbb{P}_{\max}$  let  $P \cong X \triangleleft H(\omega_2)$ ,  $X$  is  $U$ -iterable,  $P$  has a bad sequence of length  $\omega_1^P$ . Let  $x$  code  $P$ . In  $L[T, x]$  iterate  $P$ . Get a bad sequence  $(N_\alpha, b_\alpha, e_\alpha, j_\alpha)_{\alpha < \beta < \omega_1^{L[T, x]}}$ . Let  $\gamma = \omega_1^{L[T, x]}$  and  $N_\gamma = \text{dir lim}_{\alpha, \beta} N_\alpha$  ( $\alpha \leq \beta < \gamma$ ) (suitable.)

$L[T, x]$  knows sequence of  $\alpha_{B_i}^{N_i}$ 's.  $\exists \alpha_0 < \gamma$  s.t.  
 $\{\alpha_{B_i}^{N_i} : i \in \omega\} \in \text{rng}(j_{\alpha\beta})$ . By term condensation:  
 $\forall \alpha > \alpha_0$   $j_{\alpha, \alpha+1}$  maps terms correctly (since it comes from a collapse)  $\checkmark$ .

Show  $AD^{L^\Sigma(\mathbb{R})}$  ( $L^\Sigma(\mathbb{R}) = L(\Sigma, \mathbb{R}$ )

By quasihomogeneity get  $j(T') \in V$ ,  $T'$  a tree for  $\oplus B$ .  
 $B$  a sjs at  $\theta_0$  guiding  $\Sigma$ . In  $V^{\text{Col}(u, u_0)}$  use  $T'$  to identify good branches (since  $j(T') \in V$ ). ~~Then~~ Continue as for  $AD^{L(\mathbb{R})}$  in Steel-Zobele: Determinacy from strong reflection.



3.8.2010 9:30 GRIGOR SARGBYAN

RECALL  $(P, \Sigma)$  captured by  $N_x^*$  where  $N = L[E]^{U_x^*} \upharpoonright \mathcal{S}_x$ .  
 $\exists Q \in I(P, \Sigma) \cap N$  s.t.  $\Sigma_Q \upharpoonright N \in L[N]$ .

By induction on  $I(P, \Sigma) \cup B(P, \Sigma)$  we showed capturing for  
 $(P(\alpha), \Sigma_{P(\alpha)})$ ,  $\exists Q \in N \cap I(P(\alpha), \Sigma_{P(\alpha)})$  s.t.  $\Sigma_Q \in L[N]$ .

This is the general case

Suppose  $Q \in I(P, \Sigma) \cup B(P, \Sigma)$  &  $\lambda^Q$  is a successor &  
 $\exists S \in I(Q, \Sigma_Q) \cap N$  s.t.  $\Sigma_S \upharpoonright N \in L[N]$ .

Want: Find  $R \in I(Q, N_Q) \cap N$  s.t.  $\Sigma_R \upharpoonright N \in L[N]$ . Let  
 $N^* = (L[E, \Sigma_S \upharpoonright N])^N$ .

As before, the least strong of  $N^*$  is a limit of Woodins.

Let  $\lambda$  be this strong. Repeat the construction from  
 above, let  $R^* = \text{HOD}^{(N^*)^\lambda} \upharpoonright \mathcal{O}$ . ~~Let  $R^* = \text{HOD} \upharpoonright \mathcal{O}$~~

$R = L_{P(\alpha)}^{\Sigma_{(R^*)}} \upharpoonright (R^*)$ .  $R \in N^*$  because of universality.

Then use extenders with cr.pt.  $\lambda$  to get  $\Sigma_R \upharpoonright N^* \in L[N^*]$ .

So: Given  $\mathcal{T}$  on  $R$  let  $E$  be on  $N^*$  an extender with  
 $cr(E) = \lambda$ ,  $lh(E) > \text{rank}(\mathcal{T})$ . Let  $b$  be the branch  
 of  $\mathcal{T} \cap E \Rightarrow \exists \sigma$  s.t.  $j_E \upharpoonright R = \sigma \circ \pi_b$ .  $R \xrightarrow{j_E \upharpoonright R} \mathcal{O}$   
 $\mathcal{T} \upharpoonright b \uparrow \sigma$

Need  $\Sigma_R \upharpoonright W \in L[W]$

Let  $\mathcal{T}$  be a tree on  $N$ . Let  $\alpha = \text{rank}(\mathcal{T})$ . Let

$N^{**} = (L[E, \Sigma_R])^{N^*}$ . Use extender with cr.pt  $> \alpha$

Then let  $\lambda^*$  be the least strong of  $N^{**}$ . We

have  $R^{**}$ , the version of  $R$  in  $N^{**}$ . Also,  $\mathcal{T}$

is generic over  $N^{**}$  at the least Woodin.

Let  $\pi$  be the iteration map from  $R$  to  $R^{**}$ ,  
 $\pi \in N^*$ . Then, by the same proof as before,

code for  $b$  s.t.  $(\exists \sigma)(\bar{a} = \sigma \circ \tau_b)$   $R \begin{matrix} \nearrow R^{\aleph_\alpha} \\ \searrow \tau_{1,b} \end{matrix} \begin{matrix} \\ \uparrow c \\ \end{matrix} Q$

This finishes the general successor case.

Limit case (General) Suppose  $Q \in (I(P, \Sigma) \cup B(P, \Sigma)) \cap N^{\aleph_\alpha} \upharpoonright \Sigma_\alpha$   
 and  $\forall R \in B(Q, \Sigma_Q) \exists S \in I(R, \Sigma_R) \cap N$  s.t.  $\Sigma_S \upharpoonright N \in L[N]$ .

NTS  $\exists R \in I(Q, \Sigma_Q) \cap N$  s.t.  $\Sigma_R \upharpoonright N \in L[N]$

Assume  $Q$  is countable (otherwise we have to do the same we did in <sup>the</sup> general case above.)

Let  $\kappa$  be the least strong of  $N$  that reflects the set of strong cardinals.

Lemma, if  $S \in B(Q, \Sigma_Q) \cap N^{\aleph_\alpha} \upharpoonright \kappa$  then  $S$  is "captured" below  $\kappa$ . This means:

$\exists R \in I(S, \Sigma_S) \cap N \upharpoonright \kappa$  s.t.  $\Sigma_R \upharpoonright N \in L[N]$

Proof Suppose not. Let  $R \in I(S, \Sigma_S) \cap N$ .

$\Sigma_R \upharpoonright N \in L[N]$ . Let  $\kappa_1 > \text{rank}(R)$  a strong cardinal of  $N$ . Let  $E$  an extender on  $N$  s.t.  $\text{cr}(E) = \kappa$ ,  $\text{lh}(E) > \kappa$ ,  $E$  reflects the set of strongs.

Then  $\kappa_1$  is strong in  $\text{Ult}(N, E)$ . Moreover:

because  $\bigvee_{\kappa_1+1}^N \in \text{Ult}(N, E)$ ,  $\Sigma_R \upharpoonright E \in \bigvee_{\kappa_1}^N \in \text{Ult}(N, E)$

(by capturing). Now let  $\lambda$  be the strategy of  $R$  in  $\text{Ult}(N, E)$  we get by stitching  $\Sigma_R \upharpoonright \bigvee_{\kappa_1}^N$  using the extenders with  $\text{cr}$  pts  $\kappa$  in  $\text{Ult}(N, E)$ .

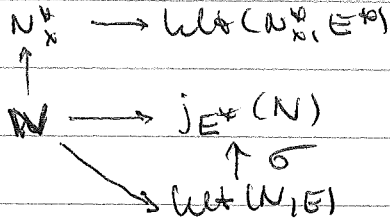
NTS: If  $E_1, E_2$  on  $\text{Ult}(N, E)$  and  $\text{cr}(E_1) = \kappa = \text{cr}(E_2)$

then  $j_{E_1}(\Sigma_R \upharpoonright \bigvee_{\kappa_1}^N) \upharpoonright \lambda_1 = j_{E_2}(\Sigma_R \upharpoonright \bigvee_{\kappa_1}^N) \upharpoonright \lambda_1$

where  $\lambda_1 = \text{lh}(E_1) \leq \text{lh}(E_2)$ . To see hull condensation (Possibly branch condensation?)

Claim  $\mathcal{A} = \sum_{\mathbb{R}} \Gamma \text{Ult}(N, E)$

Proof Let  $E^*$  be the background certificate of  $E$ . Let



$\sigma(\mathcal{A}) =$  the strategy on  $j_{E^*}(N)$  of  $\sigma(R) = R$  given by stretching  $\sum_{\mathbb{R}} \Gamma V_{k+1}^N$  using extenders with  $cr = k$ .

Note:  $V_{k+1}^N = V_{k+1}^{j_{E^*}(N)}$ ,  $\sigma \upharpoonright V_{k+1}^N = \text{id}$

ETS:  $\sigma(\mathcal{A}) = \sum_{\mathbb{R}} \Gamma j_{E^*}(N)$  and then we hull cond.

However, the equality is clear since the extenders on  $j_{E^*}(N)$  do move  $E$  to itself, as they are actual extenders.  $\square$

Now working in  $N$ , form the following limit. Let

$\mathcal{F} =$  the set of all  $(S, \mathcal{A})$  s.t.

- $(S, \mathcal{A})$  is a hod pair
- $\mathcal{A}$  is FPR + BC
- $S \in V_k^N$

Here "FPR" means that it is certified by background constructions. Let  $\leq^*$  be the natural relation

$M_\infty = \text{dirlim}(\mathcal{F}, \leq^*)$  under the iteration maps.

$M_\infty \in \text{Hnt}^N$ . Let

$$\mathcal{A}^* = \bigoplus_{\alpha < \lambda^{M_\infty}} \mathcal{A}_{M_\infty(\alpha)} \quad \text{where}$$

$\mathcal{A}_{M_\infty(\alpha)}$  = the common IS coming from some  $(S, \mathcal{A})$  s.t.  $S$  iterates to  $M_\infty(\alpha)$  via  $\mathcal{A}$ .

let  $R^* = L_{P_w}^{\lambda^*}(M_w)$

Claim For some  $\alpha \in \lambda^{R^*}$ ,  $R^*(\alpha)$  is a  $\Sigma_\alpha$ -iterate of  $\mathcal{Q}$ .

Proof  $M = \text{dir lim}$  of all  $\Sigma_\alpha$ -iterates of  $\mathcal{Q}$  that are in  $V_{\kappa}^{M^*}$ .

Then  $M \trianglelefteq_{\text{hod}} R^*$ . Why: let

$$\mathcal{F}^* = \{ S \mid S \in \mathcal{B}(\mathcal{Q}, \Sigma_\alpha) \cap V_{\kappa}^{M^*} \}$$

let  $M^* = \text{dir lim}$  of  $\mathcal{F}^*$ . Then  $M \upharpoonright \delta^M = M^*$ .

But  $M^* \triangleleft R^*$  because everything in  $\mathcal{B}(\mathcal{Q}, \Sigma_\alpha) \cap V_{\kappa}^{M^*}$  is captured below  $\kappa$  in  $N$ .

let  $\alpha \in \lambda^{R^*}$  be s.t.  $R^*(\alpha)$  an iterate of  $\mathcal{Q}$ .

let  $R = R^*(\alpha)$ . WTS:  $\Sigma_R \upharpoonright N \in L[N]$

Case 1  $\text{cf}(\lambda^R)$  is not measurable.

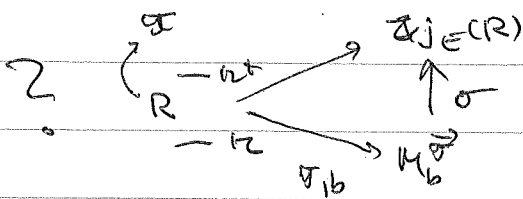
Pf Easy:  $\Sigma_R = \bigoplus_{\alpha < \lambda^R} \Sigma_{R(\alpha)}$  and  $\Sigma_{R(\alpha)} \upharpoonright N \in L[N] \forall \alpha < \lambda^R$

Case 2  $\text{cf}(\lambda^R)$  is measurable.

Notation Given  $S \in \mathcal{B}(\mathcal{Q}, \Sigma_\alpha) \cap N_x^+ \upharpoonright \delta_x$  we let

$(R^S, \Sigma_{R^S}^S) \in L[N]$  be the captured tail of  $S$ .

(i.e.  $R^S \in \mathcal{I}(S, \Sigma_S)$ ,  $\Sigma_{R^S}^S = \Sigma_{R^S} \upharpoonright N$ )



The challenge is to guess the actual embedding

$$M_b^{\vec{\tau}} \rightarrow j \in R$$

let  $E =$  the set of all extenders on the  $E$ -sequence with crit pt  $\kappa$  that reflect the set of all strings.

(+) Working in  $N$  define  $\lambda$  a strategy for  $R$  as follows, given  $\vec{\tau}$  on  $R$  with essential components

$\vec{\sigma} = \langle M_\alpha, M_\alpha^*, \vec{\sigma}_\alpha, i_{\alpha\beta} \mid \alpha, \beta \leq \gamma \rangle$  vs via  $\Lambda$  iff  
 $\exists \langle R_\alpha, \lambda_\alpha \rangle, \langle \pi_\alpha \mid \alpha \leq \gamma \rangle$  s.t.

- ①  $\langle R_\alpha, \lambda_\alpha \rangle$  is a hod pair
- ② if  $E \in \mathcal{E}$  s.t. there is a strong cardinal between  $(\text{rank}(R_\alpha), \text{lh}(E))$

$\lambda_\alpha \upharpoonright \text{Ult}(N, E) \in \text{Ult}(N, E)$

③ if  $\lambda$  is a strong cardinal s.t.  $\lambda > \text{rank}(\vec{\sigma}), \text{rank}(R_\alpha)$   
 $\forall E \in \mathcal{E}$  with  $\text{lh}(E) > \lambda \exists \langle \pi_\alpha^E \mid \alpha \leq \gamma \rangle$  s.t.

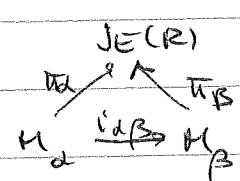
•  $\pi_0^E = j_E \upharpoonright R = M_0$

•  $\pi_\alpha^E : M_\alpha \rightarrow j_E(R)$

•  $\pi_\alpha^E = \pi_\beta^E \circ i_{\alpha\beta}$

•  $R_\alpha$  iterates via  $\lambda_\alpha$  to  $\pi_\alpha^E(M_\alpha^*)$

•  $\vec{\sigma}_\alpha$  is according to  $\lambda_\alpha^E \upharpoonright \pi_\alpha^E(M_\alpha^*)$  ( $\vec{\sigma}_\alpha$  is a tree on  $M_\alpha^*$ )



④ Moreover: if  $\vec{u}$  is a stack on  $M_\alpha^*$ ,  $\alpha < \gamma$ ,  $E \in \mathcal{E}$  as in ③ and  $\langle \pi_\alpha^E \mid \alpha \leq \gamma \rangle$  as in ③ also  $\lambda$  a strong cardinal as in ② then

$b = \lambda_{\pi_\alpha^E}^{\pi_\alpha^E}(\vec{u}) \iff b$  is the unique branch s.t.  $\exists \sigma : M_b^{\vec{u}} \rightarrow j_E(R)$  s.t.  $\pi_\alpha^E = \sigma \circ i_b^{\vec{u}}$

Claim  $\Lambda = \sum_R \upharpoonright N$  also  $\vec{\sigma}$  up to the last branch is according to  $\Sigma$ .

Proof Suppose  $\vec{\sigma}$  is according to  $\Lambda$ ,  $\vec{\sigma} = \langle M_\alpha, M_\alpha^*, \vec{\sigma}_\alpha, i_{\alpha\beta} \mid \alpha, \beta \leq \gamma \rangle$

WTS:  $\vec{\sigma}$  is according to  $\Sigma$ .

Fix  $\langle \langle R_\alpha, \lambda_\alpha \rangle \mid \alpha < \gamma \rangle$  for  $\vec{\sigma}$ . [Let  $\lambda$  be a strong cardinal  $> \text{rank} \{ R_\alpha^* \mid \alpha \leq \gamma \}$ . Let  $E \in \mathcal{E}$  with  $\text{lh}(E) > \lambda$ . We have:  $\Phi_{M_\alpha^*} = \sum_{M_\alpha^*} \upharpoonright N, \vec{\sigma}_{M_\alpha^*} \upharpoonright \text{Ult}(M, E)$

DELETE  $\rightarrow$

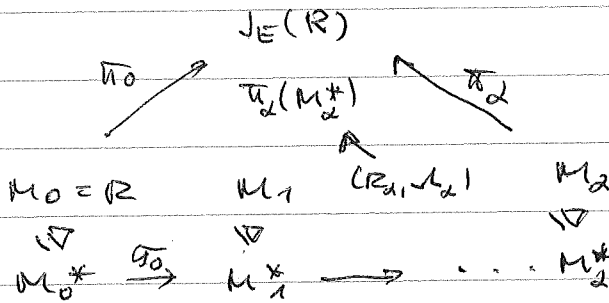
Let  $\langle \pi_\alpha \mid \alpha \leq \gamma \rangle = \langle \pi_\alpha^E \mid \alpha \leq \gamma \rangle$  and let  $E^*$  be the background certificate of  $E$ . Let  $\tau: \text{Ult}(N, E) \rightarrow \text{Ult}(N, E^*)$ .

← DELETE

Want to define a strategy for  $M_\alpha^*$  on  $N$ . Let  $\lambda > \text{rank} \{ R^{M_\alpha^*} \mid \alpha < \gamma \}$ ,  $\lambda$  strong. Given  $\vec{u}$  on  $M_\alpha^*$  let  $\Psi_\alpha$  be a strategy for  $M_\alpha^*$  be defined as:

$$\Psi_\alpha(\vec{u}) = b \iff \forall E \in E \text{ with } \text{lh}(E) > \lambda$$

$$\mathcal{A}_\alpha \left( \mathcal{A}_\alpha \right)_{\pi_\alpha^E(M_\alpha^*)}(\vec{u}) = b, \quad \alpha \leq \gamma$$



Claim  $\Psi_\alpha = \Sigma_{M_\alpha^*} \upharpoonright N$

Proof Induction on  $\alpha$ .

$\alpha = 0$  ~~But~~ Given  $\vec{u}$  on  $M_0^*$  ~~we want to~~ WTS

$$\Psi_0(\vec{u}) = \Sigma_{M_0^*}(\vec{u}) \quad (\text{But } \Sigma_{M_0^*} \upharpoonright N \in \text{Ult}(N))$$

$$\text{Let } E \in E : \Sigma_{M_0^*} \upharpoonright \text{Ult}(N, E) \in \text{Ult}(N, E)$$

so by the above picture for  $\alpha = 0$ :

$(R_0, \mathcal{A}_0)$  and  $(M_0^*, \Sigma_{M_0^*})$  compare to a

common place before going to  $\pi_0(M_0^*)$ .

$$\text{So } \Sigma_{\pi_0(M_0^*)}^{\pi_0} = \Sigma_{M_0^*} \text{ by hull condensation.}$$

then

$$(\mathcal{A}_0)_{\pi_0(M_0^*)} = \Sigma_{\pi_0(M_0^*)}$$

Case  $\alpha+1$  Suppose  $\Psi_\alpha = \sum M_\alpha^*$ .

WTS:  $\Psi_{\alpha+1} = \sum M_{\alpha+1}^*$ .

The problem If  $\pi_\alpha^E: M_\alpha \rightarrow j_E(R)$  is an iteration embedding via  $\Psi_\alpha$ , then the proof for  $\alpha=0$  works here.

Things got messed up now. Go back to (†) and start again

Define a strategy  $\mathcal{L}$  for  $R$  on  $N$ . Given

$$\vec{\sigma} = \langle \vec{\sigma}_\alpha, M_\alpha, M_\alpha^*, \dot{\cup}_\beta \rangle_{\alpha \leq \beta}$$

a tree according to  $\mathcal{L}$  iff  $\exists \langle (R_\alpha, \mathcal{L}_\alpha) \mid \alpha \leq \gamma \rangle, \langle \Psi_\alpha \mid \alpha \leq \gamma \rangle$  s.t.

- ①  $(R_\alpha, \mathcal{L}_\alpha)$  are hod pairs
- ②  $(M_\alpha^*, \Psi_\alpha)$  is a hod pair s.t.  $\vec{\sigma}_\alpha$  is according to  $\Psi_\alpha$
- ③  $\Psi_\beta$  extends  $\Psi_\alpha$  for  $\beta > \alpha$
- ④ For every  $E \in \mathcal{E}$  s.t.  $\exists$  strong cardinal

$\lambda \in (\text{rank}(\mathcal{E}(R_\alpha, \mathcal{L}_\alpha) \mid \alpha \leq \gamma), \text{lh}(E))$  there is a sequence  $\langle \pi_\alpha^E \mid \alpha \leq \gamma \rangle$  s.t.

- Ⓐ  $\pi_\alpha^E: M_\alpha^* \rightarrow j_E(R)$
- Ⓑ  $\pi_\alpha^E = \pi_\beta^E \circ \dot{\cup}_\beta$
- Ⓒ  $\pi_\alpha^E \upharpoonright M_\alpha^*$  is the iteration map by  $\Psi_\alpha$
- Ⓓ  $R_\alpha$  iterates to  $\pi_\alpha^E(M_\alpha^*)$  via  $\mathcal{L}_\alpha$
- Ⓔ  $(\mathcal{L}_\alpha)_{\pi_\alpha^E(M_\alpha^*)}^{\pi_\alpha^E} = \Psi_\alpha$ .

- ⑤ For every  $\alpha \leq \gamma$  and  $E$  as in ④ s.t.  $\text{lh}(E) > \text{rank}(b)$   
 $\Psi_\alpha(\vec{u}) = b \iff b$  is unique s.t.  $\exists \sigma \pi_\alpha^E \upharpoonright M_\alpha^* = \sigma \circ \dot{\cup}_\beta$

Claim  $\lambda = \Sigma$

Proof Let  $\vec{T}$  be according to  $\lambda$  and  $\vec{T}$  without its last branch be according to  $\Sigma$

Let  $\langle \mathbb{R}_\alpha, \mathcal{N}_\alpha \rangle | \alpha < \eta \rangle$ ,  $\langle \Psi_\alpha | \alpha \in \gamma \rangle$  be as in the def for  $\vec{T}$

Claim  $\Psi_\alpha = \Sigma_{M_\alpha^*} \upharpoonright N$

Proof By induction on  $\alpha$ .

$\alpha=0$  Trivial

$\alpha+1$  We have  $\Sigma_{M_\alpha^*} \upharpoonright N = \Psi_\alpha$ . Let  $\vec{u}$  be a tree of limit length according to both  $\Psi_{\alpha+1}$  and  $\Sigma_{M_{\alpha+1}^*}$ . WTS  $\Psi_{\alpha+1}(\vec{u}) = \Sigma_{M_{\alpha+1}^*}(\vec{u})$ . Let  $E \in \mathcal{E}$

be s.t. there is a strong cardinal in the interval  $(\text{rank}(\mathbb{R}_{\xi}^{M_\xi^*} | \xi \leq \gamma), \text{lh}(E))$ .

$\xrightarrow{\text{define}}$  [then  $\forall \xi \leq \alpha$   $\pi_\xi^E: M_\xi \rightarrow j_E(R)$  is the embedding]

Claim  $\pi_{\alpha+1}^E \upharpoonright M_{\alpha+1}^* : M_{\alpha+1}^* \rightarrow \pi_{\alpha+1}^E(M_{\alpha+1}^*)$  is the iteration embedding according to  $\Sigma_{M_{\alpha+1}^*}$

Proof Let  $x \in M_{\alpha+1}^*$ . Then

$$x = i_{\alpha, \alpha+1}^E(f)(a) \text{ where } a \text{ is a generator of } \vec{T}.$$

$$\text{So } \pi_{\alpha+1}^E(x) = \pi_{\alpha+1}^E(i_{\alpha, \alpha+1}^E(f)(\pi_{\alpha+1}^E(a))) = \pi_\alpha(f) \pi_{\alpha+1}^E(a)$$

But  $\pi_{\alpha+1}^E(a)$  comes from the iteration embedding according to  $\Psi_\alpha$ .

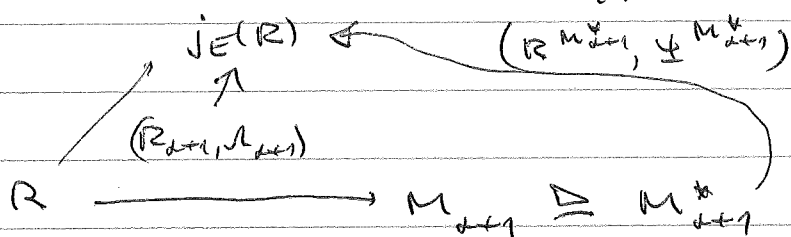
$$\text{By the IH: } (\Psi_\alpha)_{i_{\alpha, \alpha+1}^E} \upharpoonright M_\alpha^* = \Sigma_{M_\alpha^*} \upharpoonright M_\alpha^* \quad \square$$

Now we have  $R^{M_{\alpha+1}^*} \in \text{Ult}(N, E)$ ,

$$\Psi_{M_{\alpha+1}^*} \upharpoonright \text{Ult}(N, E) \in \text{Ult}(N, E)$$

$$= \Sigma_{M_{\alpha+1}^*} \upharpoonright \text{Ult}(N, E)$$





$R^{M_{d+1}^*}$  is via  $\Psi^{M_{d+1}^*}$  to  $\pi_{d+1}(M_{d+1}^*)$  and

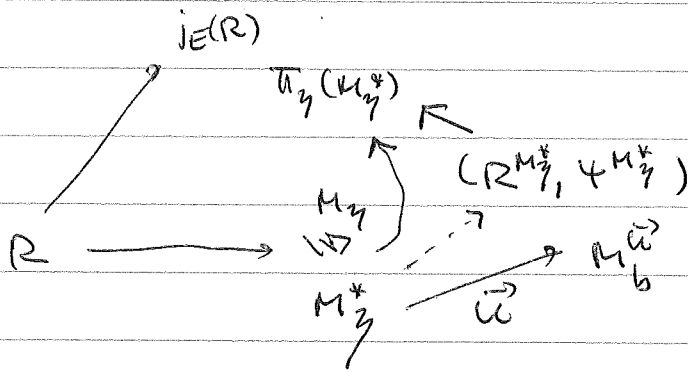
$$(\mathcal{U}_{d+1}) \upharpoonright_{\pi_{d+1}(M_{d+1}^*)} = (\Psi^{M_{d+1}^*}) \upharpoonright_{\pi_{d+1}(M_{d+1}^*)} = \Sigma \upharpoonright_{\pi_{d+1}(M_{d+1}^*)}$$

Since  $\pi_{d+1} \upharpoonright M_{d+1}^*$  is the iteration embedding according to  $\Sigma_{M_{d+1}^*}$  we get  $\Psi_{d+1} = (\Sigma \upharpoonright_{\pi_{d+1}(M_{d+1}^*)})^{\pi_{d+1}} = \Sigma \upharpoonright_{M_{d+1}^*}$

a limit Easy (Exercise)

thus shows that  $\vec{F}$  is via  $\Sigma$  because  $\vec{F}_\gamma$  is according to  $\Psi_\gamma = \Sigma \upharpoonright_{M_\gamma^*}$ .  $\square$

To finish, need to show that given  $\vec{F}$  according to  $\mathcal{L}$  s.t.  $\vec{F} = \langle M_\alpha, M_\alpha^*, \vec{F}_\alpha, i_\alpha^\beta \mid \alpha < \beta \leq \gamma \rangle$  with  $M_\gamma^*, \vec{F}_\gamma$  undefined then we can [find  $M_\gamma^*$ ] continue  $\vec{F}$ .  
 Let  $M_\gamma^* \subseteq \mathcal{Q}M_\gamma$  be the least limit segment for which we haven't defined  $\mathcal{L}$ . Let  $\{ \langle R_\alpha, \mathcal{L}_\alpha \rangle \mid \alpha < \gamma \}$ ,  $\langle \Psi_\alpha \mid \alpha < \gamma \rangle$  witness that  $\vec{F}$  is according to  $\mathcal{L}$ . Define a strategy for  $M_\gamma^*$  as follows. Given  $\vec{u}$  on  $M_\gamma^*$  let  $E \in \mathcal{E}$  be an extender with  $\text{lh}(E) > \text{rank}(\vec{u})$  and  $\exists$  strong cardinal  $\lambda \leq \text{lh}(E)$ ,  $\lambda > \text{rank}(\vec{u})$  ( $\mathcal{Q}M_\gamma^*$ ). Let  $b$  be the branch of  $\vec{u}$  iff  $\exists \sigma : M_\gamma^* \rightarrow \pi_\gamma(M_\gamma^*)$  s.t.  $\pi_\gamma \upharpoonright M_\gamma^* = \sigma \circ i_b^*$ . There is always such a  $b$  and is according to  $\Sigma_{M_\gamma^*}$ .  
 Why:



$\pi_n \uparrow M_n^*$  is acc to  $\Sigma_{M_n^*}$ .  
 Let  $b = (\psi^{M_n^*})_{\pi_n^E(M_n^*)}$   
 by hull condensation

$b = \Sigma_{M_n^*}(\vec{u})$  and  $\pi_n(M_n^*)$  is an iteration of  $M_b^{\vec{u}}$ .  
 So let  $\sigma: M_b^{\vec{u}} \rightarrow \pi_n^E(M_n^*)$  be the iteration map  
 then  $\pi_n \uparrow M_n^* = \sigma \circ i_b^{\vec{u}}$  (By commutativity.)  $\square$

Derived models of Mice

Direction 1

\*  $M$  a mouse with  $\lambda$  a limit of Woodins. Study  $D(M, \lambda)$

Direction 2

Given a model  $V$  of  $AD^+$  find (Putney force)

a premouse  $M$  s.t.  $V = D(M, \lambda)$ .

Connect the theory of  $M$  with that of  $D(M, \lambda)$ .

Mouse operators

Examples

(1)  $a$  is countable transitive, most often self-wellordered <sup>①</sup>

$M_{adv}^\#(a) =$  the minimal active mouse  $M$  over  $a$   
 s.t.  $M \models AD_{IR} \text{ hypo}$

$AD_{IR} \text{ hypo} = \exists \lambda$   $\lambda$  limit of Woodins and  $\langle \lambda \rangle$  strokes.

Remark  $M \models AD_{IR} \text{ hypo} \Rightarrow D(M, \lambda) \models AD_{IR}$

We already proved this without requiring  $M$  a mouse  
 We will show: If  $AD_{IR}$  holds then there is a "Putney  
 generic"  $G$  s.t. in  $V[G]$  there is a class premouse  $M \models AD_{IR} \text{ hypo}$   
 $a \rightarrow M_{adv}^\#(a)$  is an operator of interest.

① Means  $a$  has a well-ordering that is  $\text{rud}(a \cap \{a\})$  ~~is a well-ordering~~

(2)  $M_{dc}^{\#}(a)$  = minimal mouse satisfying  
 $\exists \lambda$   $\lambda$  limit of Woodins and  
 $\text{otp}(\{ \gamma < \lambda \mid \exists \delta < \lambda \text{-strong } \gamma \}) = \lambda$   
 $D(M_{dc}(a)) \models \text{AD}_{\aleph_2} + \text{DC} \Rightarrow \text{cf}(\theta) > \omega$

(3)  $M_{\text{wlim}}^{\#}$   $\approx$  :  $\exists \lambda$   $\lambda$  inaccessible limit of Woodins  
 and  $< \lambda$ -strongs  
 $D(M, \lambda) \models \theta = \theta_{\omega_1}$  still

(4)  $M_{\text{wlim}}^{\#}(a)$  : minimal mouse with a Woodin limit  
 of Woodins

Will show:  $D(M_{\text{wlim}}(a), \lambda) \models \text{AD}_{\aleph_2} + \theta = \theta_{\aleph_2}$

So  $\theta_{\omega_1} < \theta$ .

Open question : Does  $D(M_{\text{wlim}}(a), \lambda) \models \theta$  regular?

Known some  $L(\Gamma, \mathbb{R})$  satisfies  $\text{AD}_{\aleph_2} + \theta$  regular  
 where  $L(\Gamma, \mathbb{R}) \in D(M, \lambda)$ . (Sargsyan)

Reference Steel: Derived models associated to mice.

Operators have the form  $a \mapsto (M(a), \lambda(a))$

Basic important property: are "tractable".

If  $M = M(a)$   $g$  is  $\text{col}(\omega, \nu)$ -generic ( $\nu < \lambda$ )

and  $b \in HC^{M[g]}$  then  $M[g]$  can rebuild  $M(b)$  using  
 its sequence of certificates. So " $M(a)$  reconstructs  
 itself below  $\lambda$ ".

Today: Start with one of these operators  $a \mapsto (M(a), \lambda(a))$   
 Investigate  $\mathcal{D}(M(a), \lambda(a))$ . We are assuming that each  
 $M(a)$  has a  $\text{Hom}_\infty$  iteration strategy <sup>(1)</sup>. Since  $M(a)$   
 projects to  $\underline{a}$ , such a strategy is unique. Assume  
 there are arbitrary large Woodin cardinals in  $V$ .

For such  $M = M(a)$  and  $I$  an  $\mathbb{R}$ -genericity iteration  
 of  $M$ :  $M = M_0^I \xrightarrow{\sigma_0} M_1^I \xrightarrow{\sigma_1} M_2^I \dots \rightarrow M_\infty^I$  where

- $\sigma_0 \wedge \sigma_1 \wedge \dots \wedge \sigma_n \wedge \dots$  is normal by  $\Sigma = \Sigma_M$  (unique)
- $\mathbb{R}^V = \mathbb{R}_G^*$  for some  $G$  on  $\text{col}(w, \langle \lambda_\infty^I \rangle)$
- $I$  itself is generic over the natural poset of  
 $\langle \sigma_0 \dots \sigma_k \rangle$ 's.

Then we have, letting  $\text{Hom}_I^* = \text{Hom}_G^*$  for any and all  
 $G$  s.t.  $\mathbb{R}_G^* = \mathbb{R}^V$ .

(a)  $\text{Hom}_I^* \leq V$  ( $a, \Sigma_M \in V$ )

(b)  $\text{Hom}_I^* = \text{Hom}_J^*$  even if  $J$  is for some  $M(b)$ ,  $b \neq a$ .

(c) See the paper.

Lemma For any of our  $a \mapsto M(a)$ :  $\mathcal{D}(M(a), \lambda(a)) \models \text{MC}$ ,  
 i.e.  $x \in \text{OD}(g)$  iff  $x$  is <sup>an element of</sup> a  $y$ -mouse.

$M(a)$  satisfies:  $M(a) \upharpoonright \omega_1^{M(a)} \models \omega_1$ -iterable.

$M(a) \models \text{UBH}$  for the trees based on its extender  
 sequence; this uses the  $\mathbb{R}$ -iterability of  $M(a)$  in  $V$ .

$M(a)$  re-builds  $M(a) \upharpoonright \gamma$  ( $\gamma < \omega_1^{M(a)}$ ) with background  
 extenders arbitrarily high (this generalizes  
 for  $M(a) \upharpoonright g$  for small  $g$ .)

(1) Denote it by  $\Sigma_{M(a)}$

So  $M(a)$  can iterate  <sup>$M(a)$</sup>  using UBH and CBH for trees of size  $< \kappa$ .

Gives  $\Sigma$  for  $M(a)$  /  $\rho$  which is  $\text{Hom}_{< \kappa}$ .

Corollary If  $a \mapsto (M(a), \lambda(a))$  is a tractable operator s.t.  $M(a) \models \lambda(a)$  is a limit of cutpoints then  $D(M(a), \lambda(a)) \models \theta_0 = \theta$ .

Proof if not: have  $f \in D(M_a^I, \lambda_a^I) = L(\text{Hom}_{\theta}^*, \mathbb{R}_{\theta}^*)$  s.t.  $f: \mathbb{R} \rightarrow \mathbb{R}$ ,  $f(x) \notin \text{OD}(x)^{D(M, \lambda)}$  all  $x$  and  $f \in \text{Hom}^*$ .

then have:  $\eta$  cutpoint of  $M$ ,  $(T, \nu) \in M[\eta]$  with  $p[T] = \{(x, m, n) \mid f(x)(m) = n\}$ . Let  $z \in \mathbb{R}$  be a code of  $\langle M[\eta], \eta \rangle$ . then  $f(z) \in M(z)$ :

$f(z) \in M[\eta]$  because of  $T$ .

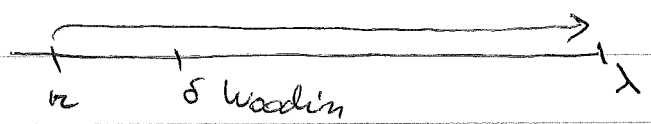
$M[\eta] \upharpoonright \omega_1^{M[\eta]} = M(z) \upharpoonright \omega_1^{M(z)}$  so  $f(z)$  is OD in  $D(M(a), \lambda(a))$ .

$M(a) \upharpoonright \omega_1^{M(a)}$  can be computed in  $D$  for any tractable countable transitive  $a$ .  $\square$

Next goal: Identify the sets with Wadge rank  $\theta_2$  for  $\theta_2 < \theta$  in  $D(M_a^I, \lambda_a^I)$ .

For one of our  $M$ -operators:

Set "at"  $\theta_0$ : its operator  $a \mapsto M^\#(a)$  in  $D$ , otherwise  $M^\#(a) \in M(a)$ . Why it is in  $D$ ? Use  $\kappa$  &  $\delta$  that is strong up to  $\lambda$ .



$M^\#(M[\kappa])$  make element of  $M[\eta]$   $\eta$  on col  $(\omega, \eta)$   $\eta$  next woodin  $> \kappa$ . Now sketch  $M^\#(M_\#[\kappa])$

using extenders with critical pts  $\kappa$ . (Do rebuilding of the stretched model inside  $M$ .) this gives iteration strategy below the bottom woodin.

3.8.2010 5:00 DISCUSSION JOHN STEEL

~~Redundant~~

Given  $\Sigma$  an IS for  $P$  and a transitive self-well-ordered  $\underline{a} \in P$   
 $\Sigma$  satisfies hull condensation and condenses well =  
 = support closed subtrees of  $\mathcal{T}$  by  $\Sigma$  are also by  $\Sigma$ .

$F^\Sigma(M)$  is defined for  $M$  a model over  $\underline{a}$  and is itself  
 a model over  $\underline{a}$   $(M, \epsilon, B, \bar{\epsilon}, S) = M$   
 where  $S_\xi^M$  = the  $\xi$ -th level of  $M$ .

$F^\Sigma$  is a model operator

Given a model  $M$  over  $\underline{a}$  we get  $F^\Sigma(M)$  as follows:  
 Let  $\mathcal{T}$  be the least tree on  $P$  in the canonical well-order  
 of  $M$  ( $\underline{a}$  is self-well-ordered) such that  
 $\rightarrow$  for  $\lambda$  limit  $< \text{lh}(\mathcal{T}) \exists \xi, [\sigma, \lambda] = B_\xi^{S^M}$   
 and no  $B_\xi^{S^M}$  is itself a cofinal branch of  $\mathcal{T}$ .  
 $\rightarrow$  let  $\lambda = \text{lh}(\mathcal{T})$

Case 1 let  $\gamma$  be least s.t.  $\rho_\omega(\mathcal{T}_\gamma(M)) < \rho_\omega(M)$  ~~or  $\gamma = \lambda$~~

$$F^\Sigma(M) = (\mathcal{T}_\gamma^M, \epsilon, \phi, \phi, S^{\gamma+1}(M))$$

Case 2  $\gamma = \lambda$

$$F^\Sigma(M) = (\mathcal{T}_\lambda^M, \epsilon, \{\sigma(M) + \xi \mid \xi \in \Sigma(\mathcal{T})\}, \phi, S^{\lambda+1}(M))$$

this structure is amenable. Check conditions on  $F^\Sigma$

Here we assume  $M \neq \text{cf}(\lambda)$  not measurable.

With this, one can do  $K^{CF}$  constructions and  $K^F$ -dichotomy.

① Things that take more: generic interpretability: Take  
 an  $F^\Sigma$ -mouse  $M$ . Does  $M$  have a term for  $\Sigma \cap M[\xi]$ .

② Another related topic: translations of  $\Sigma$ -mouse  $M$  over some  $a$  to  $\Sigma$ -mouse over  $M[\langle a, g \rangle]$  where  $g$  is  $\text{col}(w, a)$ -generic.

③ a not self-well-ordered, e.g.  $\Sigma$ -mouse over  $\mathbb{R}$ .

One approach: "tell the mouse it is  $\Sigma_1$ -mouse of  $\text{HOD}$ ".

Or if  $(P, \Sigma)$  is a hod mouse

Sample problem let  $(P, \Sigma)$  be a hod pair ~~with  $M_\infty(P, \Sigma) = \text{HOD}(\theta_\alpha)$~~  with  $M_\infty(P, \Sigma) = \text{HOD}(\theta_\alpha)$ . Show  $\kappa^\Sigma(\mathbb{R}) = V(\theta_{\alpha+2})$ .

Special case we know this: ASSUMING MSC:

$$\kappa(\mathbb{R}) = V(\theta_0).$$

Get fine structure of scales this way.

← FROM D36 →

Remark To see that  $(\beta, \omega, \delta)$  codes at most one real.

Suppose  $(N_\xi^1)_\xi, (N_\xi^2)_\xi$  ~~code~~ witness coding  $r, s$ .

If  $\xi$  is a limit pt of the club on which these sequences agree:  $m(N_\xi^1, N_\xi^2) \rightarrow w$  as  $\eta \rightarrow \xi$ . This is the key.

~~Next page~~

Corollary If  $M$  is an inner model, Both  $M, V$  models of BPFA,  $w_2^M = w_2^V$  then  $\mathcal{P}(w_1) \subseteq M$ .

Definition (Moore). Mapping reflection principle, MRP.

Let  $\theta$  be a regular cardinal,  $X$  an uncountable set  ~~$\neq \emptyset$~~ ,

$M \prec H_\theta$  countable and  $[X]^\omega \in M$ . A set  $\Sigma(M) \subseteq [X]^\omega$

is  $M$ -stationary iff

$\forall E \subseteq [X]^\omega$  club of  $E \in M$  then  $\Sigma(M) \cap E \cap M \neq \emptyset$ .

( $\Sigma(M)$  is not required to be in  $M$ ).





SUMMARY

- ① We defined HOD pairs
- ② We proved comparison (2 arguments:  $\diamond$  and  $AD^+$  using  $W_2^*$ )
- ③ We computed HOD under  $AD^+ + SMC$  up to  $\Theta_{\omega_2}$  under the assumption that  $\Theta_{\omega_2}$  exists. This under the minimality assumption  $\neg(AD_{\omega_2} + \Theta \text{ regular})$
- ④ We proved:  $AD^+ + \neg(AD_{\omega_2} + \Theta \text{ regular}) \Rightarrow SMC$
- ⑤ We proved: "Woodin limit of Woodins"  $\Rightarrow \text{Con}(AD_{\omega_2} + \Theta \text{ regular})$   
<sup>A</sup> Actually from divergent models

Exercise (Not easy) the proof of 4 can be used to get ⑤ more directly

DID NOT DO

- ① Branch condensation in limit cases (Getting a pair  $(P, \Sigma)$  of  $\lambda^P$  limit,  $\Sigma$  with BC)
- ② the internal theory of HOD mice - how to interpret strategies
- ③ Given  $\Gamma$  s.t.  $L(\Gamma, \mathbb{R}) \models AD^+ + SMC$  and more Suslin cardinals  $> \Gamma$  then there is a pair  $(P, \Sigma)$  s.t.  $\Gamma(P, \Sigma) = \Gamma$ . Here we want:  $\Gamma = \mathcal{P}(\omega_2) L(\Gamma, \mathcal{P}(\mathbb{R}))$

Reference the Bounded proper forcing axiom and well-orderings of reals: Caicedo-Velicković, Math Res Lett 13 (3) 2006

Theorem BPPA  $\Rightarrow \exists \Delta_1(A)$  w.o. of  $\mathbb{R}$  for some  $A \leq \omega_1$ .

Conjecture 1 (Woodin?) MM  $\Rightarrow$  there is a definable w.o. of  $\mathbb{R}$

Conjecture 2 Assume MM. Let  $M$  be an inner model with the same cardinals as then  ${}^{\omega_1}M \subseteq M$ .

Proof of Thm: First, a coding of reals. Let  $\vec{C}$  be a  $C$ -sequence:  $\vec{C} = \langle C_\xi \mid \xi < \omega_1 \text{ limit} \rangle$ .  $C_\xi \subseteq \xi$ ,  $\text{otp}(C_\xi) = \omega$ ,  $\bigcup_{\xi < \eta} C_\xi = C_\eta$ .

Def (Oscillation map) (Todorcević)  $\text{osc}: (\mathcal{P}(\omega))^3 \rightarrow 2^{\leq \omega}$ .

Let  $x, y, z \subseteq \omega$ . Let  $\sim_x$  on  $\omega - x$  be the equivalence relation given by  $n \sim_x m$  iff  $[\min(n, m), \max(n, m)] \cap x = \emptyset$ .

Let  $(I_k)_{k \in \mathbb{Z}}$  be the increasing enumeration of the corresponding intervals-equivalence classes that meet both  $y$  and  $z$ . Define  $\text{osc}_x(x, y, z): \mathbb{Z} \rightarrow 2$  by  $\text{osc}_x(x, y, z)(k) = 0 \iff \min(I_k \cap y) \leq \min(I_k \cap z)$ .

Def Let  $\omega_1 < \beta < \gamma < \delta$  be limit ordinals. Suppose

- (1)  $N \subseteq M \subseteq \delta$  one countable sets of ordinals
- (2)  $\sup(p \cap N) < \sup(p \cap M)$  for  $p = \omega_1, \beta, \gamma, \delta$
- (3)  $\sup(p \cap M)$  is a limit ordinal,  $p$  as in (2)

Under these conditions the pair  $(N, M)$  codes  $\ast$  or a finite string (of ordinals) as follows.

Let  $\pi: m \rightarrow \delta_m$  be the transitive collapse

$$\delta_m = \pi(\omega_1), \beta_m = \pi(\beta), \gamma_m = \pi(\gamma)$$

$$\delta_N = \sup \pi[\omega_1 \cap N]$$

The height of  $\alpha_N$  in  $\alpha_M$  is  $m = m(N, M) = |\alpha_N \cap C_{\alpha_M}|$

Set  $x = \{ \uparrow \pi(z) \cap C \mid z \in \beta \cap N \}$

$$y = \uparrow \pi \uparrow C_{\delta_M}$$

$$z = \uparrow \pi \uparrow C_{\delta_M}$$

Note:  $x, y, z$  are finite.

Define  $S_{\beta, \gamma, \delta}(N, M) = \text{osc}(x, y, z) \upharpoonright n$   
 $= \text{osc}(x \upharpoonright n, y \upharpoonright n, z \upharpoonright n) \upharpoonright n$ .

if  $\text{dom}(\text{osc}(x \upharpoonright n, y \upharpoonright n, z \upharpoonright n)) \geq m$

otherwise set  $S_{\beta, \gamma, \delta}(N, M) = *$

Also let  $S_{\beta, \gamma, \delta}^l(N, M) = *$  if  $l > n$ .

The triple  $(\beta, \gamma, \delta)$  codes a real  $r \in 2^{\omega}$  iff  $\exists (N_z \mid z < \omega_1)$  continuous sequence of countable sets with union  $\delta$  s.t.  
 $\forall \xi$  limit  $\exists r < \xi$  s.t.  $r = \bigcup_{r < \eta < \xi} S_{\beta, \gamma, \delta}(N_\eta, N_\xi)$

Note  $(\beta, \gamma, \delta)$  codes at most one real.

Theorem (C-V) (BPFA)

(1) If  $\omega_2 < \beta < \gamma < \delta < \omega_2$  are of cofinality  $\omega_1$  then there is an increasing <sup>continuous</sup> sequence  $(N_z \mid z < \omega_1)$  consisting of countable sets with  $\bigcup N_z = \delta$  s.t.  
 $\forall \xi$  limit  $\forall n \exists r < \xi \exists S_3^n \in \{0, 1\}^{\omega_1}$  s.t.  
 $S_{\beta, \gamma, \delta}(N_\xi, N_\xi) \upharpoonright m = S_3^n$  for all  $\eta \in (r, \xi)$

(2) For each real  $r$  there are  $\omega_1 < \beta < \gamma < \delta < \omega_2$  of cofinality  $\omega_1$  s.t.  $(\beta, \gamma, \delta)$  codes  $r$ .

~~Go to D32~~

Corollary (BPFA) There is a  $\Delta_1$  w.o. of  $\mathcal{P}(w_1)$  with parameter a C-sequence. The length of w.o. is  $w_2$ .

Proof Let  $\vec{C}$  be a C-sequence. If  $N$  is transitive model of enough ZFC and  $\vec{C} \in N$  <sup>then</sup>  $w_1^N = w_1$  and there is  $w_1$ -sequence of a.d. reals  $\vec{r}$  that  $N$  correctly identifies.

Let  $T_{\vec{C}}$  be the theory  $ZFC^- + MA_{w_1} + (1)_{\vec{C}} + (2)_{\vec{C}} + (\forall X)(|X| \leq w_1)$  ( $1, 2$  are as in Thm with codings relative to  $\vec{C}$ ).

Key: If  $\vec{C} \in M_1, M_2 \models T_{\vec{C}}$  and  $On M_1 = On M_2$  then  $M_1 = M_2$ .  
Suppose  $M \models T_{\vec{C}}$ . Let  $\beta, \gamma, \delta \in On^M$  and suppose  $(\beta, \gamma, \delta)$  code  $r \in V$ . Then  $r \in M$ :

Let  $(N_3, \{z \in w_1\})$  witness  $(\beta, \gamma, \delta)$  code  $r$ .

Since  $M \models (1)_{\vec{C}}$  there is in  $N$  a sequence  $(P_3, \{z \in w_1\})$  witnessing this for  $(\beta, \gamma, \delta)$ . Then  $N_3 = P_3$  on a club of  $z$ .

Now by  $(2)_{\vec{C}}$   $M \models (2)_{\vec{C}}$  it follows:

$$r \in M = \{r \mid \exists (\beta, \gamma, \delta) \in M \text{ } (\beta, \gamma, \delta) \text{ codes } r\}$$

Using a.d. coding:  $\mathcal{P}(w_1)^M$  is characterized this way.

Similarly: if  $M_1 \models T_{\vec{C}}$  and  $M_2 \models T_{\vec{C}}$  and  $o(M_1) \leq o(M_2)$  then  $M_1 \subseteq M_2$ . We can define a w.o. of  $\mathbb{R}$  by

$r \leq s$  iff letting  $\theta_r$  be the least s.t.  $\exists M \models T_{\vec{C}},$

$$o(M) = \theta_r, r \in M = M_{\theta_r}$$

we have  $\theta_r < \theta_s$  or

( $\theta_r = \theta_s$  and  $M_{\theta_r} \models$  "the least anti-lex triple coding  $r < s$  that coding  $s$ "

Thus is  $\Delta_1(\vec{C})$ :  $r \leq s$  iff some/all model of of set they thinks  $r \leq s$ .

⊙ The Ellentuck topology on  $[X]^\omega$ :  $A \subseteq [X]^\omega$  is open iff  $A$  is a union of sets of the form  
 $[x, B] = \{Y \mid x \in Y \subseteq B \mid Y \text{ countable}\}$   
 for  $x \in B$  ~~finite~~  $x$  finite,  $B \in [X]^\omega$

$\Sigma$  is an open stationary mapping iff  $\exists \theta = \theta_\Sigma, X = X_\Sigma$   
 s.t.  $[X]^\omega \in H_\theta$ ,  $\text{dom}(\Sigma)$  is a club in  $[H_\theta]^\omega$  consisting  
 of countable elementary substructures and  
 $\forall M \in \text{dom}(\Sigma) : \Sigma(M) \subseteq [X]^\omega$  is  $M$ -stationary  
 and open in the Ellentuck topo.

Example let  $M \prec H_\theta$  be countable,  $\alpha = \omega_1 \cap M$ . let  
 $\alpha = \kappa_0 \cup \kappa_1$  be a partition. then (for  $X = \omega_1$ )  
 either  $[X]^\omega - \kappa_0$  or  $[X]^\omega - \kappa_1$  is  $M$ -stationary.  
 Because  $\omega_1 - \alpha \subseteq [\omega_1]^\omega$  is club. Exercise.

Now note that if  $S_{\beta \times \delta}(N, M) = s \in 2^h$  then there  
 is  $t \subseteq N$  finite s.t.  $\forall Y \in [t, N] S_{\beta \times \delta}(Y, M) = s$

Definition (MRP) if  $\Sigma$  is an open stationary map  
 then there is a reflecting sequence for  $\Sigma$ , i.e. a sequence  
 $\langle N_\zeta \mid \zeta < \omega_1 \rangle$  continuous, increasing,  $\omega_\zeta = \omega$   
~~of~~ each  $N_\zeta \in \text{dom}(\Sigma)$  s.t.

$\forall \zeta$  limit  $\exists r < \zeta$  s.t.  $N_{\gamma \cap X_\Sigma} \in \Sigma(N_\zeta)$  all  $\gamma \in (r, \zeta)$

Theorem (Moore) PFA  $\rightarrow$  MRP

Proof - idea: let  $\mathbb{P}_\Sigma =$  the set of  $p : \alpha + 1 \rightarrow \text{dom } \Sigma$   
 s.t.

$\omega_1$ ,  $p$  continuous,  $\epsilon$ -increasing

$$\forall \beta \leq \alpha \text{ limit } \exists \gamma < \beta \forall \delta \in (\gamma, \beta) p(\delta) \cap X_\Sigma \in \Sigma(p(\beta))$$

The fact  $\mathbb{P}_\Sigma$  is proper. This gives: if  $G$  is sufficiently generic then  $UG$  has domain  $\omega_1$ .

Proof of the main theorem In fact: MRP  $\Rightarrow$  (1), (2).

So there are proper forcings giving us these statements and they are  $\Sigma_1(\check{C})$ , so BPFA suffices.

Proof of (1) Recall:  $\omega_1 < \beta < \gamma < \delta < \omega_2$ ,  $\beta, \gamma, \delta \in S_{\omega_1}^{\omega_2}$   
WTF  $(N_\gamma \mid \gamma < \omega_1)$  countable  $N_\gamma$ , increasing + continuous,  
 $\bigcup_{\gamma < \omega_1} N_\gamma = \delta$  s.t.  $\forall \gamma < \alpha \exists S_\gamma^m \exists r < \gamma \forall \eta \in (r, \gamma) s_{\beta \gamma \delta}(N_\gamma, N_\eta) \cap m = S_\gamma^m$ .

Given  $(\beta, \gamma, \delta)$ : fix  $(N_\gamma \mid \gamma < \omega_1)$  inc + cont,  $N_\gamma$  ctbl,  $N_\gamma \cap \omega_1 = \gamma$ ,  
 $\bigcup_\gamma N_\gamma = \delta$  s.t.  $\sup(N_\gamma \cap \rho)$  is limit for  $\rho = \omega_1, \beta, \gamma, \delta$  (Easy)  
Also want the sups are increasing.

Fix  $m$ : For a limit let

$$K_\alpha^s = \{ \gamma < \alpha \mid s_{\beta \gamma \delta}(N_\gamma, N_\alpha) \cap m = s \} \text{ for } s \in 2^m \cup \{*\}$$

$$\text{Set } \Sigma(M) = \{ N \in [\delta]^{\omega_1} \mid s_{\beta \gamma \delta}(N, M \cap \delta) = s_m \}$$

where  $s_m$  is s.t. for  $\alpha = M \cap \omega_1$  with  $K_\alpha^{s_m}$  is  $m$ -stat.

MRP gives a sequence for  $\Sigma$ :  $(N_\gamma^m \mid \gamma < \omega_1)$

Let  $C \subseteq \omega_1$  be a club where on which  $N_\gamma, N_\gamma^m$  coincide.

Then  $(N_\gamma \mid \gamma \in C)$  works.

Proof of (2) ~~Given  $\omega_1 < \alpha < \omega_2$~~   $\theta$  regular large,  $M < \mathcal{H}_\theta$   
countable,  $r \in 2^\omega$ .

$$\Sigma^r(M) = \{ N \in [M \cap \omega_4]^\omega \mid s_{\omega_2 \omega_3 \omega_4}(N, M \cap \omega_4) \in r \}$$

If  $\Sigma^u$  is open stationary and  $\langle M_\zeta \mid \zeta < \omega_1 \rangle$  is sufficiently generic for  $\mathbb{P}_{\Sigma^u}$  then letting  $\langle M_\zeta \mid \zeta < \omega_1 \rangle$  be the sequence of transitive collapses, this gives  $(\beta, \gamma, \delta)$  coding  $\alpha$  as witnessed by the  $M_\zeta$ 's. So BPFA gives this.

To see that  $\Sigma^u(M)$  is stationary use the game:

I	$\beta_0$	$\gamma_0$	$\delta_0$	...
II	$\kappa_0, \zeta_0$	$\lambda_0, \tau_0$	$\mu_0, \nu_0$	...

Let  $F: [w_4]^{<\omega} \rightarrow w_4$ ,  $F \in M$ ,  $\alpha \in w_1$

$\mathcal{Q}_{\beta, \gamma}^F$ :  $\beta_i, \gamma_i, \delta_i$  are increasing below  $w_2, w_3, w_4$

$$\beta_i \leq \kappa_i \leq \zeta_i < w_2 \quad \zeta_i < \beta_{i+1}$$

$$\gamma_i \leq \lambda_i \leq \tau_i < w_3 \quad \tau_i < \gamma_{i+1}$$

$$\delta_i \leq \mu_i \leq \nu_i < w_4 \quad \nu_i < \delta_{i+1}$$

$$X = \mathcal{C}_F(\{\kappa_i, \lambda_i, \mu_i \mid i \in \omega\} \cup \alpha)$$

II wins iff  $X \cap w_1 = \alpha$

$$X \cap [\beta_i, \beta_{i+1}] \subseteq [\beta_i, \zeta_i)$$

The game is open for I.

Lemma For club many  $\alpha$  II has a winning strategy in  $\mathcal{Q}_{\beta, \gamma}^F$ .

Proving the lemma: Given  $M$  we want  $\Sigma^u(M)$  be  $M$ -stationary. For this let  $F \in M$ ;  $w$  is the club of the lemma be in  $\Gamma$ . let  $\sigma_\alpha: \alpha \rightarrow$  winning strategy.

$$\text{let } (\kappa_0^\alpha, \zeta_0^\alpha) = \sigma_0(\alpha) \quad \xi_0 = \sup_2 \xi_0^\alpha \in M \cap w_2.$$

$$\text{let } \kappa = \text{ht}_{w_2}(\zeta_0) \stackrel{\text{def}}{=} \text{ht}_{\pi(w_2)}(\pi(\zeta_0)) \text{ where } \pi: M \rightarrow \bar{M} \text{ transitive collapse.}$$

I was unable to record the game

4.8.2020 2:30

~~JOHN~~ JOHN STEEL

D40

~~We showed~~ We showed  $D(M_\infty^I, \lambda_\infty^I)$  via  $\Sigma_n$   
for our mouse operators independent of  $I, a$ .

Question: How general is this? Is this true for any sound mouse projecting to  $w$  with  $M \times \lambda$  limit of Woodin?  
Also:  $\Sigma_n \notin D$ . When is  $\Sigma_n$  the Wadge-least  $\notin D$ ?

Showed  $D(M, \lambda) \models MSC$ . In fact  $\forall a \in \mathcal{A}$   
(a ctbl transitive)

(1)  $b$  is  $op(a)$   $D(M_\infty^I, \lambda_\infty^I)$

(2)  $b \in M(a)$

(3)  $b \in$  in an  $w$ -itable mouse over  $a$ .

(2)  $\rightarrow$  (3) last time (3)  $\rightarrow$  (1) trivial, (1)  $\rightarrow$  (2) by symmetry of the collapse.

Definition  $M$  - one of our operators with hypothesis  $\varphi$ .

$M^1(a) = \text{Sharp of the minimal } M\text{-closed model of } \varphi$

$M^{\alpha+1}(a) = \text{Sharp of the minimal } M^\alpha\text{-closed model of } \varphi$

$M^\alpha(a) = \bigcup_{\beta < \alpha} M^\beta(a)$   $\alpha$  limit,  $a \in \mathcal{A}$ . (Projects to  $a$ !)  
here  $\alpha < \omega_1^a$

Then:

(1) For  $M = M_{adm}^\#$ ,  $\forall m \in w$

$a \mapsto M^m(a) \in D(M_\infty^I, \lambda_\infty^I)$

(a ctbl transitive)

moreover:  $F^m$  has Wadge rank approximately

$\forall m$  in  $D(M_\infty^I, \lambda_\infty^I)$



Moreover:  $A \in \mathcal{P}(\mathbb{R})^{D(M_{a_0}^I, X_{a_0}^I)}$  iff  $\exists n \ A \leq_w F^n$ .

(2) For  $M$  one of the remaining three: For  $\alpha < \omega_1$ :

$$F^\alpha : a \mapsto M^\alpha(a)$$

is in  $D(M_{a_0}^I, X_{a_0}^I)$  of Wadge rank approximately  $\Theta_\alpha$ .

(a) In cases 2, 3  $M_{de}^\#$ ,  $M_{\text{whlim}}^\#$  these are  $\leq_w$  cofinal  
 (So  $D(M_{a_0}^I, X_{a_0}^I) = \Theta = \Theta_{\omega_1}$  in these cases)

(b) Let  $M^{\omega_1}(a) = M^{\omega_1^a}(a)$   $\omega_1^a = 1^{\text{st}}$  admissible in  $\alpha$  ordinal.

Let  $F : a \mapsto M^{\omega_1}(a)$  then for  $M = M_{\text{whlim}}^\#$ :  $F \in D$

and  $|F|_w \approx \Theta_{\omega_1}$ . In particular:  $\Theta_{\omega_1} < \Theta$

For instance:  $M =$  one of the 4 operators: Consider  $a \mapsto M^\alpha(a)$ .

(1) Do the  $\mathbb{R}$ -genericity iteration  $I$  s.t. w

$\kappa_0 =$  the least  $< \lambda$ -strong critical pts  $> \kappa_0$ .

$\delta_1 =$  the least Woodin  $> \kappa_0$

Let  $g$  be generic over the algebra  $N$  of  $M$  s.t.  $M \in N[g]$

In  $N[g]$  compute  $M^\alpha$ -operator  $\upharpoonright V_\lambda^N[g]$

( $a \in V_\lambda^N[g]$ ,  $\lambda < \lambda$ . Take  $P = \text{Ult}(M, E)$ )

where  $E$  on the  $N$ -sequence with  $\text{cr}(E) = \kappa$ ,  $\text{lh}(E) > \nu$ .

$P_{\kappa_0}^M = P_{\kappa_0}^N$ ! So  $a \in P[g]$ . So use  $P[g]$ -extenders to rebuild  $M(a) \in V_\lambda^N[g]$ .)

Then: (Exercise)  $N[g] \mathbb{R}$  has  $T, U$  that are  $< \lambda$ -a.c.

s.t. in  $M_\infty^I$ :  $p[j(\tau)] =$  the operator  $a \mapsto M^\alpha(a)$

(by condensation.)

Note:  $a \mapsto M^\alpha(a)$  cannot be  $\text{OD}(a_0)$  in  $D$  as otherwise

$M^\alpha(a) \in M^\alpha(a)$ .

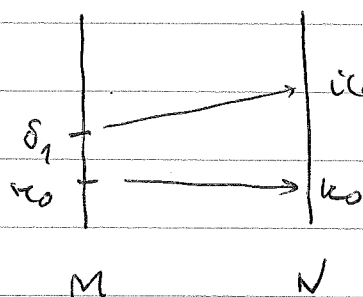
On the other hand: if

$T^n(a)$  = the theory of first  $n$  indiscernibles of

then for any real  $x$ :  $M^0(x) \equiv_T \bigoplus_{i=1}^n T^n(x)$ . Each  $T^n(x) \in M^0(x)$   
Use this to see that  $T^n$  is  $OD(\mathbb{Z})^P$ .

Why is  $a \mapsto M^1(a)$  at  $\Theta_1$  on  $D(M_{\Theta_1}^I, \lambda_{\Theta_1}^I)$

Exercise if  $g$  is  $M$ -generic /  $\text{coll}(w, \kappa)$  then  $M[g]$  does not have a.c.  $(\tau, \nu)$  with  $p[T] = M$ -operator.



$M \in V[g]$ . Notice:  $N[g] = M^1(\langle N \upharpoonright i(\delta_1), g \rangle)$

Hint from R to L: From  $\sim$  real  $z$

$z$  we get  $g$  and  $N \upharpoonright i(\delta_1)$ . ETS:

can reconstruct extenders with cr.pt.  $\kappa_0$

these can be identified as follows:

reconstruct how subsets of  $\kappa_0$  are moved. For this use  $M$  which is  $w$ -sound, so  $\kappa$ -sound and obs canonical function  $f: \kappa_0 \xrightarrow{\text{emb}} \mathcal{P}(\kappa_0)^M = \mathcal{P}(\kappa_0)^N$ .  $M^1(z)$  knows how  $f$  should be shifted, as it knows  $\kappa$  by extenders, as it knows  $a \mapsto \kappa(a)$ . Use this to repeat our previous argument but with  $M^1(z)$  replacing  $M^0(\emptyset)$ .

Now start with  $V \models AD^+ + \Theta_0 < \Theta$ . We get  $M$  s.t.

$M \models \text{SC AD}_{1/2}$ -hypo. (We use MSC here.)

First: Prikry force had premouse  $P$  with Woodin cardinals  $\delta_0, \delta_1, \dots$  such that  $(L_P^{+w}(P \upharpoonright \delta_0), \Sigma_0)$  is a hod pair. This can be done, as we assume  $\Theta_0 < \Theta$ .

Think of  $\Sigma_0$  as  $\kappa$  an  $M^0$ -operator. ( $w(\Sigma_0) = \Theta_0$ ).

Let  $\kappa_1$  = the least cardinal in  $P$  strong to  $\delta_1$ . Build

Want: Build  $L[E]$  on  $P$  in which  $\kappa_1$  is strong up to  $\lambda = \sup \delta_i$ .

Change in notation: Write  $N^*$  for  $P$ .

Let  $N = L[E]^{N^* \upharpoonright \delta_1}$  built over  $N^* \upharpoonright \delta_0$ . Extend  $N$  to a  $L[E^{\rightarrow}]$  model of height = ORD s.t.

$N \models \lambda$  is a limit of Woodins +  $\kappa_1$  is  $< \lambda$ -strong

We will show: at sufficiently good  $\eta < \delta$  there is a "translation process" whereby for any  $\gamma < \delta_1$  s.t.  $\eta < \gamma$  and  $N \upharpoonright \gamma \models \eta$  is Woodin" so  $N^* \upharpoonright \gamma$  is  $\kappa$ -generic over  $N \upharpoonright \gamma$  for  $\eta$ -generator extender algebra.

$$(N \upharpoonright \gamma) [N^* \upharpoonright \gamma] \cong_{\text{"almost"}} a_{\lambda, \Sigma_0} \text{-mouse over } N^* \upharpoonright \gamma. \text{ for all } \gamma < \delta_1$$

(proved by induction on  $\xi$ )

thus goes in both directions. ← above denote by  $T_{\gamma}$ .

The translation is tight to the fixed  $\eta$ , so  $T_{\gamma}$  (has a definition from  $\eta$ ) Now apply the definition of  $T_{\gamma}$  to levels of  $N^*$  part  $\delta$ , and  $\exists \kappa < \lambda$  with  $T_{\delta_1} =$  the result of replacing  $\kappa$  is  $\lambda$ -strong  $\eta$  by  $\delta_1$  in the definition?

Then  $\text{ord}(\kappa) = \lambda$  on the resulting model by a reflection argument.

The \* - transform: Given  $M$   $w$ -sound,  $p_M^w = w$ ,  $(w, \tau)$ -itable (typically  $M = M(a)$  for one of our operators.) Let  $\mathcal{T}$  be a tree on  $M$  according to the strategy with the last model  $N$ .

Let  $\delta$  be a cardinal in  $N$  not measurable in  $N$ . Let

$$\underline{\mathcal{F}}(\mathcal{T}) = \langle (P_\kappa, \kappa) \mid \kappa = \text{crit}(E) \text{ some } E \text{ on } N \text{ sequence with } \text{lh}(E) \geq \delta(\mathcal{T}) \rangle$$

$$P_\kappa = M_{\xi}^{\mathcal{T}} \text{ where } \xi \text{ least s.t. } \kappa < \nu(E_{\xi}^{\mathcal{T}})$$

Let  $g$  be  $\text{coll}(w, \delta)$ -generic /  $N$  and  $\underline{\mathcal{F}}(\mathcal{T}) \in N[g]$ .

Then we define for all  $\gamma \leq \text{Ord}^N$ :

$$(N \upharpoonright \gamma) [g]^{*, \delta} \cong \text{a mouse over } \langle N \upharpoonright \delta, g \rangle$$

s.t.  $N|\delta$  is "fine structurally equivalent" to  $(N|\delta)[g]^{*\delta}$  modulo  $\langle N|\delta, g \rangle$ .

Given  $Q$  s.t.  $N|\delta \leq Q$  and can be reached by extenders overlapping  $\delta$  from  $N$ , define  $Q[g]^{*\delta}$ ,

(a) if  $o(Q) = \omega + \omega$   $Q[g]^{*\delta} = \text{rud } Q^{-}[g]^{*\delta}$

(b)  $o(Q)$  limit then  $Q[g]^{*\delta} = \bigcup_{d < o(Q)} (Q|d)[g]^{*\delta}$

(c) if  $Q$  is active with last extender  $E$ , say  $Q = (R, E)$ :

(i) if  $cr(E) > \delta \Rightarrow Q[g]^{*\delta} = (R^*, E^*)$

(ii) if  $cr(E) < \delta \Rightarrow cr(E) = \kappa$  then  $Q[g]^{*\delta} = \text{Ult}(P_\kappa, E)[g]^{*\delta}$

Details: Thesis of Eric Closson. Shows that the fine structure correspondence.

5.8.2016 9:30 JOHN STEEL

One application: For  $M$  any of the first three operators:

(1)  $D(M, \lambda) \models \theta = \theta_w$

(2)(3)  $D \models \theta = \theta_{w_1}$

Proof sketch: If  $A \in D(M_{\alpha_0}^I, \lambda_{\alpha_0}^I)$ ,  $A \in \mathbb{R}$ .

$M_0 \rightarrow \dots \rightarrow M_n \rightarrow \dots$  genericity situation

$\uparrow M_n$   $(M_n, \tau, U, \Sigma_{M_n})$  Suslin captures  $A$ .

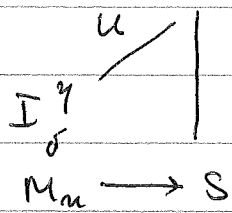
$(\tau, U)$   $\delta, g$  Generators of  $\mathcal{T}_0, \dots, \mathcal{T}_{n-1}$  are below  $\delta$ .

WMA:  $\Phi(\langle \mathcal{T}_0, \dots, \mathcal{T}_{n-1} \rangle)$  (i.e. the relevant part of the phalanx) has been made generic

earlier, so it's in  $M_n[g]$ . Let  $\gamma =$  the next Woodin  $> \delta$ .

We can read off  $\Sigma_{M_n} \upharpoonright \gamma$  in the window  $(\delta, \gamma)$

using the  $*$ -transform. ↖ nondropping



Find  $Q(u)$ . (= the  $Q$ -structure)

the common part model.

Look at  $(S [eq])^{*, \delta(u)}$ . This is a  $Q$ -structure

in the other hierarchy, and is  $\subseteq M^\beta(M(u))$  for  $\beta \leq \alpha$  where  $\alpha =$  the number of cardinals strong past  $\gamma$  in  $M_\gamma$ .

Gives:  $A$  is projective in the  $M^\alpha$  operator for this  $\alpha$ .

□ Case (1)

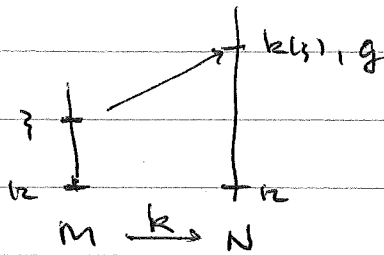
2<sup>nd</sup> application For  $M = M_{\text{wldm}}^{\#}$  :  $D(M, \lambda) \neq \emptyset_{\omega_1} < \emptyset$ .

For this want to show:  $a \mapsto M^{\omega_1^\alpha}(a)$  is on  $D(M_{\omega_1}^I, \lambda_{\omega_1}^I)$

some  $I$ . Let  $M \models \kappa$  is  $A$ -reflecting in  $\lambda \neq$  top Woodin

for  $A = \text{Th } M^{\lambda^\kappa}(\lambda)$

$\gamma =$  least Woodin  $> \kappa$ . Choose  $\lambda_2, \lambda_1$  s.t.  $M \models N [eq]$ .



Claim For any cardinal  $\gamma$  of  $N [eq]$  and any  $\alpha \leq \omega_1$ :  $M^\alpha(N [eq] \upharpoonright \gamma) \in N [eq]$

Given this: can then use the proof to see

$M^{\omega_1} \upharpoonright V_\lambda^{N [eq]} \in N [eq]$ ; then get  $M^{\omega_1} \in D$  using condensation.

Proof of Claim Show by induction on  $\alpha < \lambda$ : For

any such  $\gamma$ :  $M^\alpha(N [eq] \upharpoonright \gamma) \in N [eq]$ , in fact:

$M^\alpha(N [eq] \upharpoonright \gamma) \subseteq Q [eq]^{*, \gamma}$  for some proper initial segment  $Q$

of  $\text{Ult}(N, F_\gamma)$  where  $F_\gamma = 1^{\text{st}}$  extender overlapping  $\gamma$  from  $N$  with  $\alpha(F_\gamma) > \kappa$  or  $\emptyset$  of DUE.

Exercise  $\lambda$  limit: Show the induction step at  $\alpha$ . (Stacking the things)

Now do the successor step  $\alpha \mapsto \alpha + 1$ .

Let  $A = \text{Th}^{P_3}(k(\lambda))$ . Let  $E$  on  $P_3$  sequence witness  $\kappa$  is  $A$ -reflecting past  $\gamma^+$ . Let  $Q = P_3 \parallel \text{lh}(E)$ . Note  $Q[g]^{*\gamma} = \text{Ult}(M, E)[g]^{*\gamma}$ . Now  $\text{Ult}(M, E)$  reaches "Woodin lim of Woodins" hypo. So it is enough to see the transform  $\sigma (= \text{RHS})$  is  $M^\alpha$ -closed. ETS:

$\text{Ult}(N, E)[g]^{*\gamma}$  is  $M^\alpha$ -closed. But  $N[g]^{*\gamma}$  is so closed and we have enough reflection via  $E$ :

$$P = P_3 \parallel P_3 = \text{Ult}(N, P_3)$$

$P[g] \models \forall \beta \leq \alpha \forall r \text{ s.t. } k(\beta) < r < k(\lambda), r \text{ successor card with } \beta \leq \omega_1^{(P \parallel \text{lh}(E))} : P_r[g]^{*\gamma} \text{ has a proper i.s. satisfying "I am } M^\beta(P_r, r)[g]^{*\gamma}"$

Call this sentence  $\psi(k(\beta), M, P, g, k(\lambda), \alpha)$ . Let  $p$  be a condition on  $\text{Col}(\omega, k(\beta))$  s.t.

$$P \parallel \overset{P_3}{\text{Col}(\omega, k(\beta))} \psi(k(\beta), \sigma, g, k(\lambda), \alpha)$$

so

$$P \parallel \overset{\text{Ult}(P_3, E)}{\text{Col}(\omega, k(\beta))} \psi(k(\beta), \sigma, g, i_E(k(\lambda)), i_E(\alpha))$$

"  $k(\lambda)$  "  $\rightarrow \text{RHS}$

Here we can replace  $\text{Ult}(P_3, E)$  by  $\text{Ult}(M, E)$ , since we have  $M \rightarrow P_3$ . Also  $\sigma : \text{Ult}(M, E) \rightarrow \text{Ult}(P_3, E)$  with  $\text{cr}(\sigma) \geq \gamma$ . Also note  $i_E^M(\alpha) \geq \alpha$ .

Rem We actually needed less about  $M$ .

Def Let  $\lambda$  be a limit of Woodins and  $\kappa < \lambda$ .

Say  $\kappa$  is strong cf rank  $\alpha$  in  $\lambda$  (for  $\alpha < \lambda$ )

① With the top extender  $E$

$\langle \lambda \rangle$

iff  $\forall \beta < \alpha$   $\kappa$  is  $\beta$ -strong as witnessed by extenders  
 $E$  s.t.  $\text{Ult}(V, E) \models \kappa$  is strong or rank  $\beta$  on  $i_E(\lambda) = \lambda$ .

The proof above can be refined to show: if  $M$  is  
~~the~~ an iterable mouse projecting to  $\omega$  + sound (i.e.  
 $M$  is a sharp-mouse) with

(\*)  $M \models \lambda$  is a limit of Woodins +  $\exists \kappa < \lambda$  strong of rank  $\kappa$  in  $\lambda$   
 then  $D(M, \lambda) \models \Theta_{\omega_1} < \Theta$ .

For the minimal such  $M$  we get

$$D(M, \lambda) = \Theta_{\omega_1+1} = \Theta.$$

Open Do we need a mouse here? Could we  
 also get the result for  $V$  instead?

Probably:  $\text{Con}(\text{ZFC} + \varphi) \Rightarrow \text{Con}(AD^+ + \Theta_{\omega_1+1} = \Theta)$

Probably<sup>+</sup>: the converse

### THE REVERSE DIRECTION

Conjecture<sup>+</sup>: Assume  $AD^+ + SMC + \exists \alpha \Theta = \Theta_\alpha$  some  $\alpha \leq \omega_1$ .

Theorem: Then in some  $V[G]$  there is a premouse  $M$   
 with  $M \models \lambda$  is WLW such that  $V = L(A_H, R_H^*)$  for  
 some  $H$  on  $\text{Col}(\omega, \langle \lambda \rangle)$  generic for  $M$ .

Proof in the case  $\Theta = \Theta_0$ : We Prikry force on  $M$  over  $V$ .  
 Let  $a$  be countable transitive,  $x \in \mathbb{R}$  and  $a$  coded  
 by a real recursive in  $x$ . Let

$\mathcal{F}_a^x$  = the set of all  $P_2$  s.t.  $z \in_T x$  and  $P_2$  is  $\mathcal{O}$ -suitable (i.e. Woodin +  $\Sigma_1^2$ -full) premouse over  $\mathbb{R}$  and  $P_2$  is short tree iterable

If  $T$  is a tree for universal  $\Sigma_1^2$  set, we can simultaneously compare all  $P_2$  in  $\mathcal{F}_a^x$  inside  $L[T, x]$ . At the same time, making all reals  $y \in_T x$  generic for the extender algebra at our common Woodin.  $L[T, x]$  can find correct branches for short trees.  $U$ . It has all mice over  $M(U)$  projecting to  $\delta(U)$  and their IS restricted to itself. We get a  $\mathcal{O}$ -suitable premouse  $Q_a^x$  with  $\delta Q_a^x = \omega_1^{L[T, x]}$  (this is like the  $L[x]$  argument given earlier.) Also:  $Q_a^x = Q_a^d$  for the  $d = [x]_T$ .  $Q_a^x$  = the stack of all iterable mice over  $M(U)$  projecting to  $\delta(U)$ ;  $U$  any of those  $lh = \omega_1^{L[T, x]}$  trees.

For a.e.  $T$ -degrees  $d_0 < d_1 < \dots < d_n$  we have

$Q_{d_0}^{d_0}$	$Q_{d_0}^{d_0}$	$F(d_0) =$ this stack
$Q_{d_1}^{d_1}$	$Q_{d_1}^{d_1}$	Define a measure $\nu_a$ and $\nu_n$ :
$\vdots$	$\vdots$	$A \in \nu_n$ iff for a.e. $d_0$ for a.e. $d_1, \dots$
$Q_{d_n}^{d_n}$	$Q_{d_n}^{d_n}$	$F(d_n) \in A$

For any  $a$ :  
 $x \in \nu_a$  iff for a.e.  $d$ :  $Q_a^d \in X$

Measure one tree is a tree s.t. <sup>with a stem</sup>

$\forall (Q_0 \dots Q_n) \in T : \{Q_{n+1} \mid \langle Q_0 \dots Q_n \rangle \in T\} \in \nu_{\langle Q_0 \dots Q_n \rangle}$   
 such that:



$\langle Q_0, \dots, Q_{n-1} \rangle \in T \Rightarrow$  each  $Q_i$  is suitable over  $Q_{i-1}$   
 and  $Q_{i-1} \triangleleft Q_i$   
 and  $\text{cof}(Q_{i-1})$  is a cardinal in  $Q_i$ .

Let  $T \subseteq S$  off  $T$  is a subtree of  $S$

Let  $\mathbb{P}_0 =$  this forcing on  $L(U, \mathbb{R})$  where  $U$   
 is the universal  $\Sigma_1^2$  set

$\mathbb{P} =$  this forcing in  $V$

Easy Every dense set in  $\mathbb{P}_0$  is predense in  $\mathbb{P}$ . ~~Let~~  
~~the  $\mathbb{P}$  generic~~

Let  $G$  be  $\mathbb{P}$ -generic /  $V$  (hence ~~over~~  $\mathbb{P}_0$ -generic /  $L(U, \mathbb{R})$ .)

Let  $\mathbb{Q}_0 = \langle Q_i : i \in \omega \rangle =$  union of stems in  $T \in G$ . Show:

$L(U, \mathbb{R}^V)$  is a derived model of  $L[\mathbb{Q}_0]$ . Let

$\kappa = \sup$  of the Woodin's of  $Q_i$ 's =  $\text{cof}(\mathbb{Q}_0)$

Note: As before, no bounded subsets are added to  $L[\mathbb{Q}_0]$   
 i.e.  $\mathcal{P}(\delta_i^{\mathbb{Q}_0}) \cap L[\mathbb{Q}_0] \subseteq \mathbb{Q}_0$

(1) There is  $h \in \text{Col}(u, \aleph_1)$  s.t.  $\mathbb{R}_H^* = \mathbb{R}^V$ .

Remark  ~~$\mathbb{F}_a^x \neq \emptyset$~~   $\mathbb{F}_a^x \neq \emptyset \quad \forall a$  and a cone of  $x$ .

This is done by a reflection argument to  $\Sigma_1^2$ :

If not,  $V \models \varphi$  some  $\varphi$  saying "no". Get

$L_\alpha(P_\beta(\mathbb{R})) \models \exists F^{-} + \theta = \theta_0$  exists +  $\varphi$

with  $L_\alpha(P_\beta(\mathbb{R})) \neq \Delta_1^2$ ;  $M_{\Delta_1^2} \prec_{\Sigma_1} V$

Using  $N_x^*$  capturing good, for our purpose we can take inductive-like pointclass  $\Gamma$  where  $\text{scale}(\Gamma)$  and  $L_\alpha(P_\beta, \mathbb{R}) \stackrel{\subseteq}{\neq} \Delta$  we can construct by full backgrounds  $L[\vec{E}]^{N_x^* \times \delta_x(\alpha)}$  and show it must reach a  $\mathcal{O}$ -suitable short tree iterable  $\mathcal{Q}_\alpha$  where "short" means "short in the sense of  $L_\alpha(P_\beta(\mathbb{R}))$ ". Note: there are club many  $\Gamma$  Woodins here. (Exercise.) Then  $L_\alpha(P_\beta(\mathbb{R})) \models \mathcal{Q}_\alpha$  is iterable +  $\mathcal{O}$ -suitable.

$\mathcal{Q}_\infty$   $h$  s.t.  $\mathbb{R}_h^* = \mathbb{R}^V$ ,  $h$  generic / V[G] for p.o. whose conditions are  $h_0 \dots h_n$  for  $h_n$ 's  $h_n: w \xrightarrow{\text{out}} o(\mathcal{Q}_n)$   $\mathcal{Q}_n$ -generic / col  $(w, \delta_n^{\mathcal{Q}_n})$  over  $\mathcal{Q}_n[h_0 \dots h_{n-2}]$ .

Claim  $\text{Hom}_h^* = (\Delta_1^2)^V$

Pf Easy:  $\subseteq$  Every  $\text{Hom}_h^*$  set is Suslin co-Suslin in the derived model. Our we get a  $\#$  for  $V$  by forcing.

$\supseteq$  For any  $A \in (\Delta_1^2)^V$  let  $\vec{\varphi}, \vec{\psi}$  be scales for  $A, \neg A$  which are  $(\Delta_1^2, \mathbb{P}(x))$ . ~~By~~ ~~because~~ let  $x \in \mathcal{Q}_\alpha[h_i]$  at each  $\delta_j, j > i$  we have capturing trees for  $\vec{\varphi}, \vec{\psi}$  in  $\mathcal{Q}_\alpha[h_i]$ , by  $\Sigma_1^2$ -fullness. These give a.c. trees  $(T, \nu) \in L[\mathcal{Q}_\alpha][h_i]$  projecting to  $A, \neg A$  on  $\mathbb{R}^V$ . So we are done in  $L(V, \mathbb{R})$