

# THE $K$ -THEORY OF CERTAIN $C^*$ -ALGEBRAS ENDOWED WITH GAUGE ACTIONS

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ABSTRACT. We compute the  $K$ -theory of the Toeplitz algebra of a finitely aligned higher rank graph and of a higher rank Exel–Laca algebra under condition (II). Actually we deduce these results from a slightly more general technical theorem for  $C^*$ -algebras endowed with gauge actions and fixed point algebra AF, among other requirements.

## 1. INTRODUCTION

The  $K$ -theory of a  $C^*$ -algebra is an interesting data as it provides quite nontrivial information in many cases. This has become evident once more since Kirchberg [11] and Phillips [13] has shown that purely infinite simple nuclear unital  $C^*$ -algebras are completely classified by their  $K$ -theory. Generalizations of Cuntz–Krieger algebras like graph  $C^*$ -algebras usually allow a crossed product representation and thus in certain cases a  $K$ -theory computation by the Pimsner–Voiculescu sequence or by spectral sequences. Many generalized Cuntz–Krieger algebras are also classifiable by the Kirchberg–Phillips theorem and so their  $K$ -theory is quite interesting. In this paper we aim to compute the  $K$ -theory of two classes of generalized Cuntz–Krieger algebras: the Toeplitz algebras of finitely aligned higher rank graphs by Raeburn, Sims and Yeend [15], and higher rank Exel–Laca algebras [4] by the author under a condition called (II). More precisely we will show the following two theorems.

**Theorem 1.1.** *Let  $\Lambda$  be a finitely aligned higher rank graph and  $\mathcal{TC}^*(\Lambda)$  its associated Toeplitz algebra with generators  $(t_\lambda)_{\lambda \in \Lambda}$ . Then  $K_0(\mathcal{TC}^*(\Lambda)) = \bigoplus_{\Lambda^0} \mathbb{Z}$  under the isomorphism  $[t_\nu] \longleftrightarrow 1_{\{\nu\}}$  for all  $\nu \in \Lambda^0$ , and  $K_1(\mathcal{TC}^*(\Lambda)) = 0$ .*

**Theorem 1.2.** *Let  $(\mathcal{A}, \mathbb{F}, \mathbb{I})$  be the generators and relations of a higher rank Exel–Laca algebra  $\mathcal{O}_{\mathcal{A}, \mathbb{F}, \mathbb{I}}$  and suppose that  $(\mathcal{A}, \mathbb{F}, \mathbb{I})$  satisfies condition (II). Then*

$$K_0(\mathcal{O}_{\mathcal{A}, \mathbb{F}, \mathbb{I}}) \cong \text{lin}_{\mathbb{Z}}\{Q_{a_1} \dots Q_{a_n} \in \mathcal{O}_{\mathcal{A}, \mathbb{F}, \mathbb{I}} \mid a_i \in \mathcal{A}\}$$

*under the isomorphism  $[Q_{a_1} \dots Q_{a_n}] \longleftrightarrow Q_{a_1} \dots Q_{a_n}$  (where  $Q_a = a^*a$ ), and  $K_1(\mathcal{O}_{\mathcal{A}, \mathbb{F}, \mathbb{I}}) = 0$ .*

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Theorem 1.1 generalizes the  $K$ -theory computation of the Toplitz algebra of the Cuntz algebra  $\mathcal{O}_n$  in [7]. Theorem 1.2 sharpens the result in [5] in that a further assumption (I) is no longer required. The rank two Cuntz–Krieger type algebras in [3] can be computed by Theorem 1.2, see Corollary 2.5.

The method how these results are obtained is a mixture of the approach in [16] by Raeburn and Szymański, and the approach in [5] by the author. We start with a  $C^*$ -algebra  $\mathcal{X}$  which is endowed with a gauge action  $\mathcal{X} : \mathbb{T}^d \rightarrow \text{Aut}(\mathcal{X})$ , and (by further assumptions) write the stable form of  $\mathcal{X}$  as a crossed product of an AF-algebra  $A$  by  $\mathbb{Z}^d$  by Takai’s duality theorem. Then we compute this crossed product by successive application of the Pimsner–Voiculescu sequence. In this way we will show the technical Theorem 2.2 which gives a nice description of the  $K$ -theory of  $\mathcal{X}$ , under special assumptions for  $\mathcal{X}$ , and deduce the above  $K$ -theory results for the graph and higher rank Exel–Laca algebras from this theorem.

The paper is organized as follows. In Section 2 we state the technical Theorem 2.2 and deduce a slightly stronger version of Theorem 1.1 and Theorem 1.2 from it. The Sections 3 and 4 are then dedicated to the proof of the technical Theorem 2.2.

## 2. THE MAIN RESULTS

Assume that  $\mathcal{X}$  is a  $C^*$ -algebra which is generated by a subset  $\mathcal{A}$  (called the *alphabet*) with a finite partition  $\mathcal{A} = \mathcal{A}_1 \sqcup \mathcal{A}_2 \sqcup \dots \sqcup \mathcal{A}_d$ . Assume that we are given a *gauge action*  $\Gamma : \mathbb{T}^d \rightarrow \text{Aut}(\mathcal{X})$  determined by  $\Gamma_\lambda(a_i) = \lambda_i a_i$  for all  $1 \leq i \leq d$ ,  $\lambda = (\lambda_1, \dots, \lambda_d) \in \mathbb{T}^d$  and  $a_i \in \mathcal{A}_i$ . We write  $\mathbb{X}$  for the  $*$ -subalgebra of  $\mathcal{X}$  generated by  $\mathcal{A}$ ,  $W$  for the set of *words*

$$W = \{ a_1 \dots a_n \in \mathcal{X} \mid n \geq 1, a_i \in \mathcal{A} \cup \mathcal{A}^* \},$$

and  $(e_i)_{1 \leq i \leq d}$  for the canonical basis in  $\mathbb{C}^d$ .

**Lemma 2.1.** *There exists a map (called the balance function)  $\text{bal} : W \setminus \{0\} \rightarrow \mathbb{Z}^d$  determined by  $\text{bal}(a_i) = e_i$  for all  $1 \leq i \leq d, a_i \in \mathcal{A}_i \setminus \{0\}$  and by the rules  $\text{bal}(xy) = \text{bal}(x) + \text{bal}(y)$  and  $\text{bal}(z^*) = -z$  for all nonzero words  $x, y, z$  such that  $xy \neq 0$ .*

*Proof.* For  $x \in W \setminus \{0\}$  and  $\lambda \in \mathbb{T}^d$  let  $\mu_{x,\lambda}$  be the unique scalar such that  $\Gamma_\lambda(x) = \mu_{x,\lambda} x$ . Then  $f : W \setminus \{0\} \rightarrow \hat{\mathbb{T}}^d$  given by  $f(x)(\lambda) = \mu_{x,\lambda}$  satisfies  $f(xy) = f(x)f(y)$  and  $f(z^*) = z^{-1}$ . If  $\sigma : \hat{\mathbb{T}}^d \rightarrow \mathbb{Z}^d$  is the natural isomorphism then  $\sigma f$  is the balance function.  $\square$

We write  $W_n$  for the set of nonzero words  $w$  with balance  $\text{bal}(w) = n$  ( $n \in \mathbb{Z}^d$ ), and call  $W_0$  the *zero-balanced* words. The linear span of  $W_0$  is a  $*$ -subalgebra of  $\mathcal{X}$  denoted by  $\mathbb{A}$ . Suppose that the following property (B) holds:

(B)  $\mathbb{A}$  is the inductively ordered union of a family  $(\Upsilon_l)_{l \in L}$  of finite dimensional  $C^*$ -subalgebras  $\Upsilon_l \subseteq \mathbb{A}$ . (That is,  $\forall l_1, l_2 : \exists l_3 : \Upsilon_{l_1} \cup \Upsilon_{l_2} \subseteq \Upsilon_{l_3}$ , see [3].)

For each  $l \in L$  fix a finite set  $D_l$  (of our choice) consisting of minimal mutually orthogonal projections in  $\Upsilon_l$  generating a maximal abelian subalgebra of  $\Upsilon_l$ , and write  $D = \bigcup_{l \in L} D_l$ . Suppose that we are provided with a subset  $\mathcal{S} \subseteq W$  of words consisting of partial isometries with commuting range projections such that  $D \subseteq P$ , where we denote

$$\begin{aligned} P &= \text{lin}_{\mathbb{Z}}\{xx^* \in \mathcal{X} \mid x \in \mathcal{S}\}, \\ Q &= \text{Alg}^*\{x^*x \in \mathcal{X} \mid x \in \mathcal{S}\}. \end{aligned}$$

(Thereby  $\text{lin}_{\mathbb{Z}}$  denotes the  $\mathbb{Z}$ -linear span, and  $\text{Alg}^*$  the generated  $*$ -algebra.) Moreover assume that we are given a self-adjoint subset  $W'$  of  $W$  such that  $\text{lin}(W') = \mathbb{X}$  and the following two technical conditions hold.

(a) For each  $1 \leq \xi \leq d$  there exists a function  $R_\xi : \{x \in W' \setminus \{0\} \mid \text{bal}(x)_\xi = 0\} \rightarrow \mathbb{C}$  which respects the involution operator, such that for all  $\alpha_i \in \mathbb{C}$  and all  $v_i, w_i \in W'$  satisfying  $v_i w_i^* \neq 0$ ,  $\text{bal}(v_i w_i^*) = c$  is constant (i.e.  $c$  is independent from  $i$ ),  $\text{bal}(v_i w_i^*)_\xi = 0$  and  $\text{bal}(v_i)_\xi \geq 0$  we have

$$x = \sum_{i=1}^n \alpha_i v_i w_i^* \in Q \quad \Rightarrow \quad x = \sum_{i=1}^n 1_{\{\text{bal}(v_i)_\xi = 0\}} R_\xi(v_i) R_\xi(w_i^*) \alpha_i v_i w_i^*,$$

where it is sufficient that this only holds for the case  $x = 0$  in case that  $c \neq 0$ .

(b) For all  $\alpha_i \in \mathbb{C}$  and  $v_i, w_i \in W' \cap W_0$  assume that

$$x = \sum_{i=1}^n \alpha_i v_i w_i^* \in Q \quad \Rightarrow \quad x = \sum_{i=1}^n 1_{\{v_i \in Q\}} 1_{\{w_i \in Q\}} \alpha_i v_i w_i^* \in Q.$$

Then we have the following result.

**Theorem 2.2.** *The identical embedding  $\theta : C^*(Q) \rightarrow \mathcal{X}$  induces an isomorphism  $K_0(\theta)$ , and  $K_1(\mathcal{X}) = 0$ .*

We will postpone the proof of this theorem to Section 4 and give its applications to graph and higher rank Exel–Laca algebras at first.

Suppose that  $(\mathcal{A}, \mathbb{F}, \mathbb{I})$  are the generators and relations generating a higher rank Exel–Laca algebra  $\mathcal{O}_{\mathcal{A}, \mathbb{F}, \mathbb{I}}$  as described in [4]. We will assume that the partition  $V = \{\mathcal{A}_1, \dots, \mathcal{A}_d\}$  of  $\mathcal{A}$  stated in Definition 2.2 of [4] is finite,  $\mathcal{A}$  is non-degenerate in  $\mathbb{F}/\mathbb{I}$ , and  $\mathbb{F}/\mathbb{I}$  is non-degenerate in  $\mathcal{O}_{\mathcal{A}, \mathbb{F}, \mathbb{I}}$ , i.e. we will regard  $\mathcal{A} \subseteq \mathbb{F}/\mathbb{I} \subseteq \overline{\mathbb{F}/\mathbb{I}} = \mathcal{O}_{\mathcal{A}, \mathbb{F}, \mathbb{I}}$ , see also [6] for more on

this. (We remark that these restrictions are not essential but facilitate the presentation.) We set  $\mathcal{X} = \mathcal{O}_{\mathcal{A}, \mathbb{F}, \mathbb{I}}$  (so  $\mathbb{X} = \mathbb{F}/\mathbb{I}$ ),  $W' = W$ ,

$$\mathcal{S} = \{a_1 \dots a_n Q_{b_1} \dots Q_{b_m} \in W \mid n \geq 0, m \geq 1, a_i \in \mathcal{A}\},$$

$G = \{ww^* \in W \mid w \in \mathcal{S}\}$ , and  $\mathbb{A}_0 = \text{lin}\{xx^* \in \mathcal{X} \mid x \in W\}$ . By [4, 4.4], all words are partial isometries and  $\mathbb{A}_0$  is a commutative  $*$ -algebra, and so in particular the range projections of  $\mathcal{S}$  commute. By [4, 4.3], each word  $x \in W$  allows a representation

$$(1) \quad x = a_1 \dots a_n Q_{g_1} \dots Q_{g_k} b_m^* \dots b_1^*$$

for some integers  $n, m \geq 0, k \geq 1$  and letters  $a_i, b_i, g_i \in \mathcal{A}$ . Note that  $\text{bal}(x) = \text{bal}(a_1) + \dots + \text{bal}(a_n) - \text{bal}(b_m) - \dots - \text{bal}(b_1)$ . By [4, 4.5],  $xx^* \in G$ , whence  $\text{lin}(G) = \mathbb{A}_0$ . Since  $G$  is also closed under multiplications (indeed,  $w_1 w_1^* w_2 w_2^* = xx^* \in G$  for  $x = w_1 w_1^* w_2$  as  $\mathbb{A}_0$  is abelian), by choosing common refinements in  $G$  we see that  $P = \text{lin}_{\mathbb{Z}}(G)$  contains the projection of  $\mathbb{A}_0$ . Since the system  $(\mathcal{A}, \mathbb{F}, \mathbb{I})$  satisfies the axioms (A), (B) and (D) of [2], there exists a choice for  $D$  which is a subset of  $\mathbb{A}_0$  by [2, Proposition 3.3], and so in particular  $D \subseteq P$ . By [4, 4.5] we have

$$Q = \text{Alg}^*\{Q_a \in \mathcal{X} \mid a \in \mathcal{A}\}.$$

Next we recall the following condition (II) already introduced in [5]. It implies that the lines of the transition matrices must contain infinite or no zeros and ones, see [5, 2.3].

(II) For all  $\mathcal{A}_i \in V$ , all finite subsets  $\mathcal{B} \subseteq \mathcal{A}_i$ , and all  $q \in Q$  we require that  $q \leq \sum_{b \in \mathcal{B}} P_b$  implies  $q = 0$ .

The following lemma is a slight generalization of [5, Lemma 4.3] (both lemmas coincide for the case  $c = 0$ ).

**Lemma 2.3.** *Assume that condition (II) holds. Let  $1 \leq \xi \leq d$ , let  $c \in \mathbb{Z}^d$  such that  $c_\xi = 0$ , let  $\mathcal{B} \subseteq \mathcal{A}_\xi$  be a finite subset, let  $p = \sum_{b \in \mathcal{B}} P_b$ , and let  $x$  be an element in*

$$C_\xi^c = \text{lin}\{w \in W \mid \text{bal}(w) = c, \exists n, m \geq 0, \exists k \geq 1, \exists g_i \in \mathcal{A}, \exists a_i, b_i \in \mathcal{A} \setminus \mathcal{A}_\xi \\ w = a_1 \dots a_n Q_{g_1} \dots Q_{g_k} b_m^* \dots b_1^*\}.$$

*Then  $px = x$  implies  $x = 0$ .*

*Proof.* Let  $x \in C_\xi^c$ . Then by representation (1) there exist  $v_k \in C_\xi^0$  and words  $a_k, b_k$  in the letters of  $\mathcal{A} \setminus \mathcal{A}_\xi$  with  $\text{bal}(a_k) = \max(c, 0)$  and  $\text{bal}(b_k) = |\min(c, 0)|$ , such that the pairs  $(a_k, b_k)$  are distinct for different  $k$ 's and  $x = \sum_k a_k v_k b_k^*$ . Assume that  $px = x$ . By [4, 4.7]

there exists a finite subset  $\mathcal{B}' \subseteq \mathcal{A}_\xi$  such that for  $p' = \sum_{b \in \mathcal{B}'} P_b$  we have  $r_k := a_k^* x b_k = a_k^* p x b_k = p' a_k^* x b_k = p' r_k$ . Notice that  $r_k = a_k^* a_k v_k b_k^* b_k$  by [4, 4.5], and thus  $r_k \in C_\xi^0$  ([4, 4.1 and 4.5]). So we have obtained  $p' r_k = r_k$  and thus  $r_k = 0$  by [5, 4.3]. (The condition (I) of [5] is not required in [5, 4.3].) Hence we obtain  $x = \sum_k a_k r_k b_k^* = 0$ .  $\square$

**Lemma 2.4.** *Properties (a) and (b) hold if condition (II) holds.*

*Proof.* In order to prove condition (a) we define

$$R_\xi(x) = 1_{\{\neg(\exists a, b \in \mathcal{A}_\xi \quad x = P_a x P_b)\}}$$

for those  $x \in W \setminus \{0\}$  such that  $\text{bal}(x)_\xi = 0$ . Notice that  $R_\xi(x^*) = R_\xi(x) = \overline{R_\xi(x)}$ . Let  $x = \sum_{i=1}^n \alpha_i v_i w_i^* \in Q$  where  $v_i w_i^* \neq 0$ ,  $\text{bal}(v_i w_i^*) = c$  ( $c$  is independent from  $i$ ),  $\text{bal}(v_i w_i^*)_\xi = 0$  and  $\text{bal}(v_i)_\xi \geq 0$ . Notice that  $\text{bal}(v_i)_\xi = \text{bal}(w_i)_\xi$ . We claim that whenever, for fixed  $i$  and  $\xi$ ,

$$1_{\{\text{bal}(v_i)_\xi = 0\}} R_\xi(v_i) R_\xi(w_i) = 0,$$

then there exists a finite subset  $F \subseteq \mathcal{A}_\xi$  such that

$$v_i w_i^* = \left( \sum_{b \in F} P_b \right) v_i w_i^* \left( \sum_{b \in F} P_b \right).$$

To show this we start with the case when  $1_{\{\text{bal}(v_i)_\xi = 0\}} = 1$  and  $R_\xi(v_i) = 0$ ; say that  $v_i = P_a v_i P_b$  for  $a, b \in \mathcal{A}_\xi$ . Choose for  $w_i$  a representation as in (1). Since  $\text{bal}(w_i)_\xi = 0$  there must be as many letters  $a_s$  in  $\mathcal{A}_\xi$  as letters  $b_t$  in  $\mathcal{A}_\xi$ . If no letter  $a_s$  is in  $\mathcal{A}_\xi$  then we get  $v_i w_i^* = P_a v_i P_b w_i^* = P_a v_i w_i^* P_d = (P_a + P_d) v_i w_i^* (P_a + P_d)$  for some  $d \in \mathcal{A}_\xi$  by [4, 4.1] and since  $\mathbb{A}_0$  is abelian, and we put  $F = \{a, d\}$ . If some letter  $a_s$  is in  $\mathcal{A}_\xi$ , w.l.o.g. we may assume that  $a_1, b_1 \in \mathcal{A}_\xi$  by ‘permutation’ of letters (see [4, Def. 2.2.(2)]), then  $v_i w_i^* = P_a v_i P_b P_{a_1} w_i^* P_{b_1} = P_a v_i w_i^* P_{b_1} = (P_a + P_{b_1}) v_i w_i^* (P_a + P_{b_1})$  in case that  $b = a_1$  (what is the case since  $v_i w_i^* \neq 0$ ). In the other possible case, when  $1_{\{\text{bal}(v_i)_\xi = 0\}} = 0$ , and hence  $\text{bal}(v_i)_\xi = \text{bal}(w_i)_\xi > 0$ , we find  $a, b \in \mathcal{A}_\xi$  such that  $P_a v_i = v_i$  and  $P_b w_i = w_i$  (we see this if we choose for  $v_i$  and  $w_i$  representations like in (1)), and we obtain  $v_i w_i^* = P_a v_i w_i^* P_b = (P_a + P_b) v_i w_i^* (P_a + P_b)$  in this case. Therefore we have

$$\begin{aligned} g &:= \sum_{i=1}^n (1 - 1_{\{\text{bal}(v_i)_\xi = 0\}} R_\xi(v_i) R_\xi(w_i)) \alpha_i v_i w_i^* \\ &= p \left( \sum_{i=1}^n (1 - 1_{\{\text{bal}(v_i)_\xi = 0\}} R_\xi(v_i) R_\xi(w_i)) \alpha_i v_i w_i^* \right) p, \end{aligned}$$

where  $p = \sum_{a \in \mathcal{B}} P_a$  for a suitable finite set  $\mathcal{B} \subseteq \mathcal{A}_\xi$ .

Suppose that  $\text{bal}(v_i)_\xi = 0$ , and hence  $\text{bal}(w_i)_\xi = 0$  since  $\text{bal}(v_i w_i^*)_\xi = 0$ . Suppose further that  $R_\xi(v_i) = R_\xi(w_i) = 1$ . Then choose for  $v_i$  a representation like in (1). It is clear that, since  $\text{bal}(v_i)_\xi = 0$ , there must be as many letters  $a_s$  in  $\mathcal{A}_\xi$  as letters  $b_t$  in  $\mathcal{A}_\xi$ . But since  $R_\xi(v_i) = 1$ , none of the letters  $a_s$  and  $b_t$  can be in  $\mathcal{A}_\xi$ . The same argument holds for  $w_i$ . Hence  $v_i w_i^* \in C_\xi^c$  for the set  $C_\xi^c$  defined in Lemma 2.3. We thus obtain

$$\sum_{i=1}^n 1_{\{\text{bal}(v_i)_\xi=0\}} R_\xi(v_i) R_\xi(w_i) \alpha_i v_i w_i^* \in C_\xi^c.$$

Notice that trivially  $Q \subseteq C_\xi^0$ , and so  $x \in C_\xi^0$ . Hence we have proved that  $g \in C_\xi^0$  in case that  $c = 0$ . But we have also proved that  $g \in C_\xi^c$  in case that  $c \neq 0$ , where we can simply assume that  $x = 0$  according to condition (a). Since  $g = pg$  we obtain  $g = 0$  by Lemma 2.3. This proves the condition (a).

Condition (b) follows from condition (a) as follows. Let  $x = \sum_{i=1}^n \alpha_i v_i w_i^* \in Q$  where  $v_i, w_i \in W_0$ , and let  $y = \sum_{i=1}^n 1_{\{v_i \in Q\}} 1_{\{w_i \in Q\}} \alpha_i v_i w_i^* \in Q$ . Then a  $d$ -fold application of condition (a) shows that  $x = \sum_{i=1}^n \prod_{\xi=1}^d R_\xi(v_i) R_\xi(w_i) \alpha_i v_i w_i^*$ , and similarly we do so for  $y$ . By choosing for  $v_i$  a representation as in (1) we see that  $\prod_{\xi=1}^d R_\xi(v_i) = 1$  implies  $v_i \in Q$ . This proves that  $x = y$ , which proves the claim (b).  $\square$

Hence Theorem 1.2 follows from Lemma 2.4 and Theorem 2.2. The following corollary follows immediately from the discussion in Section 6 of [5].

**Corollary 2.5.** *The rank two Cuntz–Krieger type algebras inspired by shifts of finite type in Section 5 of [3] satisfy condition (II), and thus their  $K$ -theory is given by Theorem 1.2.*

We will now come to graph algebras. Let  $(\Lambda, d)$  be a finitely aligned  $k$ -graph [15] and  $\mathcal{TC}^*(\Lambda)$  its Toeplitz algebra, that is,  $\mathcal{TC}^*(\Lambda) = C^*(\Lambda, \emptyset)$  [18] is the universal  $C^*$ -algebra generated by a Toeplitz–Cuntz–Krieger  $\Lambda$ -family  $\{t_\lambda^\mathcal{T} \mid \lambda \in \Lambda\}$  consisting of partial isometries satisfying the properties (TCK1)–(TCK3) [18] (or see [17, Chapter 3]). We remark that there exist more general Toeplitz algebras, see [9, 10, 14]. Let  $\mathcal{X}$  be a quotient of  $\mathcal{TC}^*(\Lambda)$  and denote by  $\{t_\lambda \in \mathcal{X} \mid \lambda \in \Lambda\}$  the canonical generators of  $\mathcal{X}$ . Define

$$\mathcal{A}_i = \{t_\lambda \in \mathcal{X} \mid \lambda \in \Lambda^{e_i}\} \setminus \{0\}$$

for  $1 \leq i \leq k$ , and  $\mathcal{A} = \mathcal{A}_1 \sqcup \dots \sqcup \mathcal{A}_k$  (excluding possible empty sets  $\mathcal{A}_i$ ). Assume that the gauge action  $\Gamma : \mathbb{T}^k \rightarrow \text{Aut}(\mathcal{X})$  exists. For instance, the gauge action exists if  $\mathcal{X}$  is the Toeplitz algebra  $\mathcal{TC}^*(\Lambda)$  (see for example [17, 3.2.1]), or if  $\mathcal{X}$  is the higher rank graph  $C^*$ -algebra  $C^*(\Lambda)$  [15], or if  $\mathcal{X}$  is a relative Cuntz–Krieger algebra  $C^*(\Lambda, \mathcal{E})$  [18].

Notice that  $\text{bal}(t_\lambda t_\mu^*) = d(\lambda) - d(\mu)$  where  $d$  denotes the degree map. It is well known that property (B) is satisfied (since (B) holds for the Toeplitz algebra), and that we may choose  $D \subseteq P$  if we set  $\mathcal{S} = \{t_\lambda \in \mathcal{X} \mid \lambda \in \Lambda\}$  (see [17, 3.5.3]). Define  $W' = \{t_\lambda t_\mu^* \in \mathcal{X} \mid \lambda, \mu \in \Lambda\}$ . Notice that  $Q = \text{lin}\{t_\lambda \mid \lambda \in \Lambda^0\}$ . For a finite subset  $E \subseteq \Lambda$ , and  $\lambda \in E$ , we write

$$Q_\lambda^E = t_\lambda t_\lambda^* \prod_{\lambda\nu \in E, d(\nu) > 0} (t_\lambda t_\lambda^* - t_{\lambda\nu} t_{\lambda\nu}^*),$$

and introduce the following condition.

(NT) For all  $1 \leq i \leq k$ , all finite subsets  $\mathcal{B} \subseteq \Lambda^{e_i}$ , all finite subsets  $E \subseteq \{\nu \in \Lambda \mid d(\nu)_i = 0\}$ , and all  $\lambda \in E$ , the following implication holds:

$$Q_\lambda^E \leq \sum_{\mu \in \mathcal{B}} t_\mu t_\mu^* \quad \Rightarrow \quad Q_\lambda^E = 0.$$

Note that the classical higher rank graph  $C^*$ -algebras  $C^*(\Lambda)$  [12] does not satisfy condition (NT) (provided that  $\Lambda \neq \Lambda^0$ ) since  $Q_\nu^{\{\nu\}} = t_\nu = \sum_{\mu \in \Lambda^{e_i(\nu)}} t_\mu t_\mu^*$  for  $\nu \in \Lambda^0$ . We are not sure if there exist nonempty subsets  $\mathcal{E} \subseteq \text{FE}(\Lambda)$  such that  $C^*(\Lambda, \mathcal{E})$  [18] satisfies (NT). However, the Toeplitz algebras satisfy (NT).

**Lemma 2.6.**  $\mathcal{TC}^*(\Lambda)$  satisfies property (NT).

*Proof.* We can prove this by using the path-space representation  $\pi : \mathcal{TC}^*(\Gamma) \rightarrow B(\ell^2(\Lambda^*))$ , see [17, Section 3.7] for a description of it. Let  $\mathcal{B}, E$  and  $\lambda$  be as in condition (NT). Regard  $\lambda$  as an element in  $\Lambda^*$ . Let  $\delta_\lambda \in \ell^2(\Lambda^*)$ . Then  $\pi(Q_\lambda^E)\delta_\lambda = \delta_\lambda$  and  $\pi(\sum_{\mu \in \mathcal{B}} t_\mu t_\mu^*)\delta_\lambda = 0$ , which contradicts  $Q_\lambda^E \leq \sum_{\mu \in \mathcal{B}} t_\mu t_\mu^*$ .  $\square$

**Lemma 2.7.** Let condition (NT) hold. Let  $1 \leq \xi \leq d$ , let  $c \in \mathbb{Z}^d$  such that  $c_\xi = 0$ , let  $\mathcal{B} \subseteq \Lambda^{e_\xi}$  be a finite subset and  $p = \sum_{\mu \in \mathcal{B}} t_\mu t_\mu^*$ , and let  $x$  be an element in

$$C_\xi^c = \text{lin}\{t_\lambda t_\mu^* \in \mathcal{X} \mid \lambda, \mu \in \Lambda, \text{bal}(t_\lambda t_\mu^*) = c, d(\lambda)_\xi = d(\mu)_\xi = 0\}.$$

Then  $px = x$  implies  $x = 0$ .

*Proof.* We prove the lemma for the case  $c = 0$ . The case for general  $c$  can be deduced from the case  $c = 0$  in a similar way we deduced Lemma 2.3 from [5, 4.3]. So let  $c = 0$ . Let  $G_\xi = \{\lambda \in \Lambda \mid d(\lambda)_\xi = 0\}$ . We choose a finite subset  $E \subseteq G_\xi$  such that  $x \in M_E^t = \text{lin}\{t_\lambda t_\mu^* \mid \lambda, \mu \in E, d(\lambda) = d(\mu)\}$ . The latter linear space is embedded in a finite dimensional  $C^*$ -algebra  $M_{\Pi E}^t$  which has a representation as a direct sum of matrix algebras with diagonal entries  $Q_\lambda^{\Pi E}$  for  $\lambda \in \Pi E$  (see [17, 3.5.3]). By [17, 3.4.7] we have  $\Pi E \subseteq G_\xi$ . If

we assume that  $px = x$  and  $x \neq 0$ , then it is easy to check that  $pQ_\lambda^{\Pi E} = Q_\lambda^{\Pi E}$  for at least one nonzero diagonal entry  $Q_\lambda^{\Pi E}$ . But this contradicts condition (NT).  $\square$

**Lemma 2.8.** *Properties (a) and (b) hold if condition (NT) holds.*

*Proof.* We can prove this like Lemma 2.4 with minimal and obvious adaption. Let also  $P_a := aa^* = t_\lambda t_\lambda^*$  for  $a = t_\lambda \in \mathcal{A}_\xi$  and  $\lambda \in \Lambda^{\epsilon_\xi}$ , and define  $R_\xi$  like in Lemma 2.4; and so on. One essential difference is that we use the definition of  $C_\xi^c$  given in Lemma 2.7 rather than in Lemma 2.3, and we apply Lemma 2.7 rather than Lemma 2.3. Another difference is that we use  $W'$  rather than  $W$  (recall that the conditions (a) and (b) require everything only for  $W'$ , but in Lemma 2.4 we had  $W' = W$ , why we proved everything for  $W$  there).  $\square$

**Theorem 2.9.** *Let  $\Lambda$  be a finitely aligned higher rank graph. Let  $\mathcal{X}$  be a quotient of the Toeplitz–Cuntz–Krieger algebra  $\mathcal{TC}^*(\Lambda)$  such that the canonical gauge action  $\Gamma$  exists on  $\mathcal{X}$  (for instance when  $\mathcal{X} = C^*(\Lambda)$  or  $\mathcal{X} = C^*(\Lambda, \mathcal{E})$ ). Assume that condition (NT) holds (for instance when  $\mathcal{X} = \mathcal{TC}^*(\Lambda)$ ). Then  $K_0(\mathcal{X}) \cong \bigoplus_{\Lambda^0} \mathbb{Z}$  under the isomorphism  $[t_\nu] \longleftrightarrow 1_{\{\nu\}}$  for all  $\nu \in \Lambda^0$ , and  $K_1(\mathcal{X}) = 0$ .*

**Example 2.10.** Let  $\Lambda$  be a row-finite graph without sources and write  $C^*(\Lambda)$  as the quotient of  $\mathcal{TC}^*(\Lambda)$  by the closed 2-sided ideal  $J$  generated by  $J_0 = \{t_\nu - \sum_{\lambda \in \Lambda^n(\nu)} t_\lambda t_\lambda^* \mid \nu \in \Lambda^0, n \in \mathbb{N}_0^k\}$ , and write  $\iota : J \rightarrow \mathcal{TC}^*(\Lambda)$  for the canonical embedding. Assume that  $\bigoplus_{\Lambda^0} \mathbb{Z}$  is the  $\mathbb{Z}$ -span of all elements  $1_{\{\nu\}} - \sum_{\lambda \in \Lambda^n(\nu)} 1_{\{s(\lambda)\}}$  ( $\nu \in \Lambda^0, n \geq 0$ ). Then, as  $K_0(\iota)(J_0) = \bigoplus_{\Lambda^0} \mathbb{Z}$  is a projective  $\mathbb{Z}$ -module, we get  $K_0(J) \cong K_1(C^*(\Lambda)) \oplus \bigoplus_{\Lambda^0} \mathbb{Z}$  and  $K_1(J) \cong K_0(C^*(\Lambda))$  by the cyclic six-term exact sequence in  $K$ -theory. This gives an explicit description of  $K_*(J)$  for the computed cases of  $K_*(C^*(\Lambda))$  in Evans [8], or Allen, Pask and Sims [1].

### 3. THE $K$ -THEORY OF SOME CROSSED PRODUCTS BY $\mathbb{Z}^d$

This section is a slight generalization of a computation done in [5]. We will compute the  $K$ -theory of a crossed product  $A \rtimes_\alpha \mathbb{Z}^d$  by a canonical  $d$ -fold application of the Pimsner–Voiculescu sequence under assumptions ensuring that the  $K_1$ -part  $K_1(A \rtimes \mathbb{Z}^n)$  ( $n \leq d$ ) remains zero in each step.

Suppose that  $A$  is a  $C^*$ -algebra which is endowed with a  $\mathbb{Z}^d$ -action  $\alpha$ . Write  $T = K_0(A)$ ,  $\phi_i = K_0(\alpha_i)$  (where  $\alpha_i = \alpha_{e_i}$ ) and  $\phi_n = K_0(\alpha_n)$  for  $1 \leq i \leq d, n \in \mathbb{Z}^d$ . Assume that  $K_1(A) = 0$  and that we are given a family of subgroups  $(T_n)_{n \in \mathbb{Z}^d}$  of  $T$  such that

$$(2) \quad T = \sum_{m \in \mathbb{Z}^d} T_m, \quad \phi_i(T_n) = T_{n+e_i}, \quad T_{e_i}^\infty \cap T_0^\infty(i) = \{0\}$$



for all  $1 \leq i \leq d$  and  $n \in \mathbb{Z}^d$ . Thereby denotes  $T_n^N = \sum_{n \leq m \leq N} T_m$ ,  $T_n^\infty = \sum_{n \leq m} T_m$ ,  $T_n^N(i) = \sum_{n \leq m \leq N, m_i = n_i} T_m$  and  $T_n^\infty(i) = \sum_{n \leq m, m_i = n_i} T_m$  for all  $n, N \in \mathbb{Z}^d$  if  $n \leq N$ , and  $T_n^N = T_n^N(i) = \{0\}$  if  $n \leq N$  is not satisfied. Clearly,  $\sum_{n \in I} T_n$  denotes the set of finite sums  $\sum_n t_n$  with  $t_n \in T_n$ . We further put

$$f_i = \phi_i - \text{Id}_T, \quad Y_i = \text{Im}(f_1) + \dots + \text{Im}(f_i) \subseteq T,$$

and  $Y_0 = \{0\}$ . Notice that  $\{\phi_1, \dots, \phi_d, f_1, \dots, f_d, \text{Id}_T\}$  is a commuting set in  $\text{End}(T)$ . Notice that the restriction  $\phi_i|_{T_n} : T_n \rightarrow T_{n+e_i}$  is a bijection (since  $\phi_i$  is a bijection on  $T$ ). Consequently,  $\phi_n|_{T_m} : T_m \rightarrow T_{m+n}$  is a bijection for all  $n, m \in \mathbb{Z}^d$ . Also the next lemma is clear from the assumptions in (2).

**Lemma 3.1.** *We have  $T_{n+e_i}^\infty \cap T_n^\infty(i) = \{0\}$  for all  $1 \leq i \leq d$  and  $n \in \mathbb{Z}^d$ .*

**Lemma 3.2.** *Let  $1 \leq i \leq d$ ,  $n \in \mathbb{Z}^d$  and  $x \in T_n^\infty$ . Then there exist unique  $y, z \in T_n^\infty$  and unique  $a, b \in T_n^\infty(i)$  such that  $x = \phi_i(y) + a$  and  $x = f_i(z) + b$ .*

*Proof. Step 1.* We show the ‘ $\phi_i$ -representation’. Since  $x \in T_n^\infty$  we have  $x \in T_n^N$  for some  $n \leq N \in \mathbb{Z}^d$ . Then  $x$  allows a representation  $x = y + a$  where  $y \in T_{n+e_i}^N$  and  $a \in T_n^N(i)$ . Since  $\phi_i(T_m) = T_{m+e_i}$ , there exists a  $y_1 \in T_n^{N-e_i}$  such that  $\phi_i(y_1) = y$ . Hence  $x = \phi_i(y_1) + a$ . The uniqueness of  $y$  and  $a$  follows from Lemma 3.1.

*Step 2.* We next proof the second representation. Let  $x \in T_n^N$  and write  $x = \phi_i(y_1) + a_1$  for some  $y_1 \in T_n^{N-e_i}$  and  $a_1 \in T_n^N(i)$  as before. Then  $x = f_i(y_1) + y_1 + a_1$ . If  $N - e_i \geq n$ , then we can similarly decompose  $y_1$ , i.e. we choose  $y_2 \in T_n^{N-e_i-e_i}$  and  $a_2 \in T_n^N(i)$  such that  $y_1 = \phi_i(y_2) + a_2$ . Hence  $x = f_i(y_1 + y_2) + y_2 + a_2 + a_1$ . We proceed in this way as long as  $y_k \in T_n^{N-e_i-\dots-e_i} = \{0\}$ , which shows the existence of the ‘ $f_i$ -representation’. We claim that  $x \in T_n^\infty$  and  $f_i(x) \in T_n^N$  imply  $T_n^{N-e_i}$ . Assume that  $x \notin T_n^{N-e_i}$ . Then  $x \in T_n^m \setminus T_n^{m-e_i}$  for some  $m \geq N$ . Then  $\phi_i(x) - x = f_i(x) \in T_n^N \subseteq T_n^m$ , and so  $\phi_i(x) \in T_n^m$ . As in Step 1, we may write  $\phi_i(x) = \phi_i(y_1) + a$  for  $y_1 \in T_n^{m-e_i}$  and  $a \in T_n^m(i)$ . Hence  $a = 0$  and  $x = y_1 \in T_n^{m-e_i}$  by the uniqueness of the ‘ $\phi_i$ -representation’, which is a contradiction. Now, to prove the uniqueness of the ‘ $f_i$ -representation’, assume that  $f_i(x) + a = 0$  for  $x \in T_n^N$  and  $a \in T_n^N(i)$ . We have  $f_i(x) \in T_n^N(i) = T_n^m$  for  $m = N(1 - e_i) + ne_i$ . Hence  $x \in T_n^{m-e_i} = \{0\}$  by the above claim.  $\square$

**Lemma 3.3.** *We have  $f_i^{-1}(Y_{i-1}) \subseteq Y_{i-1}$  for all  $1 \leq i \leq d$ .*

*Proof.* Using the decomposition of Lemma 3.2, one may almost copy the proof of Lemma 5.2 in [5] under the following dictionary:  $T = K_0(A)$ ,  $T_0 = B_0$ ,  $T_N^\infty = K_0(A_N)$ ,  $T_N^\infty(i)$  being the elements of  $K_0(A_N)$  with  $i$ -degree zero in  $K_0(A_N)$ .  $\square$

**Lemma 3.4.** *We have  $Y_d \cap T_0 = \{0\}$ .*

*Proof.* Using the decomposition of Lemma 3.2, one may almost copy the proof of Lemma 5.3 in [5] under the dictionary already stated in the proof of the previous lemma.  $\square$

**Proposition 3.5.** *Let  $\varphi_A : A \rightarrow A \rtimes_\alpha \mathbb{Z}^d$  be the canonical embedding. Then the restriction map  $K_0(\varphi_A)|_{T_0} : T_0 \rightarrow K_0(A \rtimes_\alpha \mathbb{Z}^d)$  is an isomorphism, and  $K_1(A \rtimes_\alpha \mathbb{Z}^d) = 0$ .*

*Proof.* It follows from Lemma 3.3 and the proof of [5, Lemma 3.4] that  $K_1(A \rtimes_\alpha \mathbb{Z}^d) = 0$  and  $g : K_0(A)/Y_d \rightarrow K_0(A \rtimes \mathbb{Z}^d)$  given by  $g(x + Y_d) = K_0(\varphi_A)(x)$  is an isomorphism. Since  $T = \sum_n T_n = \sum_n \phi_n(T_0)$ , and  $\phi_i(x) \equiv x \pmod{Y_d}$ , the quotient map  $s : T_0 \rightarrow T/Y_d$  is surjective. Further  $s$  is injective by Lemma 3.4. This proves the proposition since  $K_0(\varphi_A)|_{T_0} = gs$  is an isomorphism.  $\square$

#### 4. PROOF OF THEOREM 2.2

To prove Theorem 2.2 we set  $A = \mathcal{X} \rtimes_\Gamma \mathbb{T}^d$ , write the stable from of  $\mathcal{X}$  as  $\mathcal{X} \otimes \mathbb{K}(L^2(\mathbb{T}^d)) \cong A \rtimes_{\hat{\Gamma}} \mathbb{Z}^d$  by Takai's duality theorem and apply Proposition 3.5 to this crossed product. At first we will analyze  $A$ . Write  $U_\lambda$  ( $\lambda \in \mathbb{T}^d$ ) for the unitaries in  $\mathcal{M}(A)$  that generate the action  $\Gamma$ . Define  $X_n = \int_{\mathbb{T}^d} \lambda^n U_\lambda d\lambda$  for all  $n \in \mathbb{Z}^d$  (integration in  $\mathcal{M}(A)$  and  $d\lambda$  being the Haar measure). Notice that  $(X_n)_{n \in \mathbb{Z}^d}$  is a family of mutually orthogonal projections in  $\mathcal{M}(A)$  and

$$(3) \quad X_n w = w X_{n+\text{bal}(w)} = X_n w X_{n+\text{bal}(w)}$$

for all words  $w \in W \setminus \{0\}$  and all  $n \in \mathbb{Z}^d$ . The dual action  $\hat{\Gamma}$  is given by

$$(4) \quad \hat{\Gamma}_m(w X_n) = \int_{\mathbb{T}^d} w \lambda^n \lambda^m U_\lambda d\lambda = w X_{n+m}$$

for all  $w \in W$  and  $n, m \in \mathbb{Z}^d$ . By an application of the Stone-Weierstrass theorem we get  $A = \overline{A_0}$  (norm closure), where

$$A_0 = \text{lin}\{X_n w \in A \mid n \in \mathbb{Z}^d, w \in W\}.$$

Observe the simple identities  $\overline{A} \cong X_n \overline{A} X_n = \overline{X_n A X_n} = X_n A X_n$  for all  $n \in \mathbb{Z}^d$ .

Property (B) has now the following consequence.

**Proposition 4.1.** *The dense  $*$ -subalgebra  $A_0 \subseteq A$  is an inductively ordered union of finite dimensional  $C^*$ -algebras.*

*Proof.* There exists a canonical isomorphism  $g : H \rightarrow \mathbb{T}^d$  where

$$(5) \quad H = \{ (\lambda_a)_{a \in \mathcal{A}} \in \mathbb{T}^{\mathcal{A}} \mid \lambda_a = \lambda_b \text{ whenever } a, b \in \mathcal{A}_i \text{ for some } 1 \leq i \leq d \}.$$

Then  $\mathcal{X} \rtimes_{\Gamma} \mathbb{T}^d$  and  $\mathcal{X} \rtimes_{\theta} H$  are isomorphic by equivariance for  $\theta = \Gamma g$ . We can then copy the proof of [6, 4.1] which passes without modification and which exactly proves the claim.  $\square$

Notice that by Proposition 4.1,  $\mathcal{X}$  is the corner of a crossed product of an AF-algebra with an abelian group and hence nuclear.

**Corollary 4.2.**  *$\mathcal{X}$  is nuclear.*

**Lemma 4.3.** *The  $*$ -algebra  $A_0$  is the inductively ordered union of a certain family  $(M)_{M \in \Omega}$  of finite dimensional  $C^*$ -subalgebras  $M \subseteq A_0$  such that the following properties hold.*

- (a)  $X_n M \subseteq M$  and  $M X_n \subseteq M$  for all  $n \in \mathbb{Z}^d$ .
- (b)  $M_n = X_n M X_n$  is a  $C^*$ -subalgebra of  $M$ , and  $M_n = \{0\}$  for all but finitely many  $n$ .
- (c) Each  $M_n$  has a representation  $M_n = M_{n,1} \oplus \dots \oplus M_{n,\tau_n}$ , where each  $M_{n,j}$  is isomorphic to a simple matrix algebra  $M_{\ell(n,j)}(\mathbb{C})$  for some integer  $\ell(n,j)$ .
- (d) The subalgebras  $M_{n,j} \subseteq M$  are mutually orthogonal for distinct pairs  $(n,j)$ .
- (e)  $M$  has a representation  $M = N_1 \oplus \dots \oplus N_K$  where each  $N_i$  is a simple  $C^*$ -algebra. More precisely, for each  $1 \leq i \leq K$  there exist a sequence  $(n_1, \dots, n_k)$  of distinct  $n_t$  and a sequence  $(j_1, \dots, j_k)$  such that we have a commutative diagram

$$\begin{array}{ccc} M_{n_1, j_1} \oplus \dots \oplus M_{n_k, j_k} & \xrightarrow{\iota} & N_i \\ \updownarrow & & \updownarrow \\ M_{\ell(n_1, j_1)}(\mathbb{C}) \oplus \dots \oplus M_{\ell(n_k, j_k)}(\mathbb{C}) & \longrightarrow & M_{\ell(n_1, j_1) + \dots + \ell(n_k, j_k)}(\mathbb{C}) \end{array}$$

where  $\iota$  is the identical embedding, the left vertical arrow is by the isomorphisms of point (c), the right vertical arrow is another isomorphism, and the bottom arrow is the natural embedding.

*Proof.* By Proposition 4.1 and a similar argument as in [2, 3.2], it is easy to check that  $A_0$  is the inductively ordered union of a family  $(M)_{M \in \Omega}$  of finite dimensional  $C^*$ -subalgebras  $M$  such that each  $M$  is the linear span of words  $X_n w$ , more precisely  $M$  has the shape

$$(6) \quad M = \text{lin}\{X_{g_1} w_1 X_{h_1}, \dots, X_{g_\nu} w_\nu X_{h_\nu}\}$$

for certain  $w_i \in W$  and  $g_i, h_i \in \mathbb{Z}^d$ . Then (a) is clear from the structure of  $M$ . Point (b) follows from (a). Since  $M_n$  is finite dimensional, points (c) and (d) are obvious.

(e) It follows from the proof [19, I.11.2] that if  $M$  is a finite dimensional  $C^*$ -algebra, and  $C_1, \dots, C_K \in M$  are the mutually orthogonal minimal projections of the center  $\mathcal{C}(M)$  of  $M$  then  $N_i = C_i M$  are simple matrix algebras and  $M = N_1 \oplus \dots \oplus N_K$ . Furthermore, if for each  $1 \leq i \leq K$  there exists a finite subset  $\mathcal{P}_i \subseteq M$  consisting of mutually orthogonal minimal projections in  $M$  such that  $C_i = \sum_{p \in \mathcal{P}_i} p$  (that means that  $\text{lin}(\mathcal{P}_i)$  is a maximal abelian subalgebra of  $C_i M$ ), then there exists an isomorphism  $\varphi : M \rightarrow M_{t_1}(\mathbb{C}) \oplus \dots \oplus M_{t_K}(\mathbb{C})$  mapping  $N_i$  onto the  $i$ -th factor  $M_{t_i}(\mathbb{C})$  and mapping  $\mathcal{P}_i$  onto the diagonal entries  $(e_{ss})_{1 \leq s \leq t_i}$  of  $M_{t_i}(\mathbb{C})$ . Our aim is thus to compute the  $C_i$ 's and specify sets  $\mathcal{P}_i$ . The point (e) will then become clear.

Let  $z \in \mathcal{C}(M)$ . Set  $G = \{g_1, \dots, g_\nu, h_1, \dots, h_\nu\}$ . Notice that we have  $h_i = g_i + \text{bal}(w_i)$  by (3) (provided that  $X_{g_i} w_i X_{h_i} \neq 0$ ). Hence  $M_n \subseteq X_n \mathbb{A} X_n$  by the representation (6). Notice that the natural map  $\mathbb{A} \rightarrow X_n \mathbb{A} X_n$  is a  $*$ -isomorphism. By (6) we have  $z = \sum_{i=1}^\nu \lambda_i X_{g_i} w_i X_{h_i} = \sum_{a,b \in G} X_a y_{a,b} X_b$  for certain  $y_{a,b} \in \text{lin}(W_{b-a})$  such that  $X_a y_{a,b} X_b \in M$ . Let  $I$  be the unit of  $M$ . Since  $I X_a y_{a,b} X_b I = X_a y_{a,b} X_b$  we get  $X_a y_{a,b} X_b = X_a I X_a y_{a,b} X_b I X_b = (X_a I X_a) z (X_b I X_b) = (X_a I X_a) (X_b I X_b) z = 0$  for  $a \neq b$ . Hence  $z = \sum_{a \in G} X_a y_{a,a} X_a$ . Since  $z$  commutes with  $M_g = X_g M X_g$ ,  $X_g y_{g,g} X_g$  is in the center of  $M_g$ . It is clear that  $X_g y_{g,g} X_g = \sum_{k \in \gamma_g} 1_{M_{g,k}}$  for some subset  $\gamma_g \subseteq \{1, \dots, \tau_g\}$ , where we use the notations in (c) of the lemma. Hence  $z$  is the finite sum

$$z = \sum_{a \in G} \sum_{k \in \gamma_a} 1_{M_{a,k}}.$$

Let  $P_{n,k} = \{p_{n,k,1}, \dots, p_{n,k,\ell(n,k)}\} \subseteq M_{n,k}$  be a finite set of minimal mutually orthogonal projections in  $M_{n,k}$  such that  $\text{lin}(P_{n,k})$  is a maximal abelian subalgebra of  $M_{n,k}$ . (That is,  $P_{n,k,j}$  corresponds to the diagonal entry  $e_{j,j}$  of  $M_{\ell(n,k)}(\mathbb{C})$ .) Each  $p \in P_{n,k}$  is a minimal projection in  $M$  as  $q \in M \setminus \{0\}$  and  $q \leq p \leq X_n$  imply  $q = X_n q X_n \in M_n$ , and so  $q = p$  as  $p$  is minimal in  $M_n$ . So we can write  $z$  as the orthogonal sum of minimal projections as follows.

$$(7) \quad z = \sum_{a \in G} \sum_{k \in \gamma_a} 1_{M_{a,k}} = \sum_{a \in G} \sum_{k \in \gamma_a} \sum_{s=1}^{\ell(n,k)} p_{a,k,s}.$$

Since  $I$  is in the center, it follows from this formula for  $z = I$  that  $I$  is the sum of the minimal projections  $\mathcal{P} = \{p_{a,k,s} \mid a \in G, 1 \leq k \leq \tau_a, 1 \leq s \leq \ell(a,k)\}$  in  $M$ . The linear span  $\text{lin}(\mathcal{P})$  is thus a maximal abelian subalgebra of  $M$ .

It remains to get a description of all minimal projections  $C_1, \dots, C_K$  in  $\mathcal{C}(M)$ . Choose for  $C_i$  a representation like in (7). Then we claim that  $|\gamma_a| \leq 1$ , which proves that the  $n_t$  stated in point (e) of the lemma are really distinct. Indeed, otherwise, since  $C_i M$  is a simple matrix algebra, there would exist a partial isometry  $s \in C_i M$  connecting  $p_{a,m_1,1} = ss^*$  with  $p_{a,m_2,1} = s^*s$  for some  $m_1, m_2 \in \gamma_a$ . But since  $s = X_a s X_a \in M_a$ , this contradicts the fact that  $p_{a,m_1,1}$  and  $p_{a,m_2,1}$  are not connectable in  $M_a$ . The claim (e) is thus proved.  $\square$

**Corollary 4.4.** *One has  $K_0(A) = \text{lin}_{\mathbb{Z}}\{ [X_n d X_n] \in K_0(A) \mid n \in \mathbb{Z}^d, d \in D \}$ .*

*Proof.* By the structure result of Lemma 4.3,

$$K_0(A) = \text{lin}_{\mathbb{Z}}\{ [p] \mid n \in \mathbb{Z}^d, p \in X_n \mathbb{A} X_n \text{ is a projection} \}$$

(notice that  $X_n A_0 X_n = X_n \mathbb{A} X_n$ ). On the other hand,  $K_0(X_n A X_n) = \text{lin}_{\mathbb{Z}}\{ [X_n q X_n] \mid q \in D \}$  by property (B). To get the claim just notice that  $[p] = [j_n(p)] = K_0(j_n)([p])$  for  $p \in X_n \mathbb{A} X_n$  and the identity embedding  $j_n : X_n \mathbb{A} X_n \rightarrow A$ .  $\square$

**Lemma 4.5.** *Let  $B \subseteq B(H)$  be a unital  $C^*$ -algebra satisfying the cancelation property,  $p \in B(H)$  a projection with  $pB, Bp \subseteq B$ , and  $\varphi : pBp \rightarrow B$  the identical embedding. Then  $K_0(\varphi)$  is injective.*

*Proof.* Let  $x \in K_0(pBp)$ . Then there exist  $a, b \in M_n(B) \cong M_n \otimes B$  such that  $x = [p_n a p_n] - [p_n b p_n]$ , where  $p_n = 1_n \otimes p$ . If  $K_0(\varphi)(x) = 0$  then there exists  $t \in M_n(B)$  such that  $p_n a p_n = t t^* \sim t^* t = p_n b p_n$  by the cancelation property of  $B$ . Hence  $t t^*, t^* t \leq p_n$  and thus  $t = p_n t p_n \in M_n(pBp)$ , and so  $x = 0$ .  $\square$

**Corollary 4.6.** *Let  $\varphi_0 : X_0 A X_0 \rightarrow A$  be the identical embedding. Then  $K_0(\varphi_0)$  is injective.*

*Proof.* We write  $A$  as the inductive limit of a family  $(M)_{M \in \Omega}$  of finite dimensional  $C^*$ -algebras  $M$  as described in Lemma 4.3. Then  $X_0 A X_0$  is the inductive limit of the family  $(X_0 M X_0)_{M \in \Omega}$ . By Lemma 4.5 the maps  $K_0(\varphi_M)$  are injective for the identical embeddings  $\varphi_M : X_0 M X_0 \rightarrow M$ . It is now evident that  $K_0(\varphi_0)$  is injective.  $\square$

Our next aim is to apply Proposition 3.5 to the crossed product  $A \rtimes_{\alpha} \mathbb{Z}^d$ , where  $\alpha = K_0(\hat{\Gamma})$ . To this end we have to define subgroups  $(T_n)_{n \in \mathbb{Z}^d}$  of  $T = K_0(A)$  which satisfy the conditions (2). By Corollary 4.4,  $D \subseteq P$ , and the fact that  $\{ w w^* \mid w \in \mathcal{S} \}$  consists of commuting projections,

$$T = \text{lin}_{\mathbb{Z}}\{ [X_n w w^* X_n] \in T \mid n \in \mathbb{Z}^d, w \in \mathcal{S} \}.$$

Since  $[X_n w w^* X_n] = [w^* X_n X_n w] = [X_{n+\text{bal}(w)} w^* w X_{n+\text{bal}(w)}]$  for all  $w \in \mathcal{S}$ ,

$$T = \text{lin}_{\mathbb{Z}} \{ [X_n w^* w X_n] \in T \mid n \in \mathbb{Z}^d, w \in \mathcal{S} \}.$$

Define

$$T_n = \text{lin}_{\mathbb{Z}} \{ [X_n q X_n] \in T \mid q \in Q, q \text{ is a projection} \}.$$

Then we have  $T = \sum_{n \in \mathbb{Z}^d} T_n$  and (see (4))

$$\phi_i([X_n q X_n]) = K_0(\hat{\Gamma}_i)([X_n q X_n]) = [\hat{\Gamma}_i(X_n q X_n)] = [X_{n+e_i} q X_{n+e_i}],$$

whence  $\phi_i(T_n) = T_{n+e_i}$ . It remains to show the following.

**Proposition 4.7.** *We have  $T_{e_\xi}^\infty \cap T_0^\infty(\xi) = \{0\}$  for all  $1 \leq \xi \leq d$ .*

*Proof.* Let

$$A'_0 = \text{Alg}^* \{ X_n w X_m \in A \mid n, m \in \mathbb{Z}_+^d, w \in W \}$$

and  $A'$  its norm closure in  $A$ . Let  $(M)_{M \in \Omega}$  be as in Lemma 4.3. Then  $A'_0$  is the union of the family  $(Y_n M Y_n)_{n \in \mathbb{Z}_+^d, M \in \Omega}$  of finite dimensional subalgebras  $Y_n M Y_n \subseteq A'_0$ , where  $Y_n = \sum_{0 \leq k \leq n} X_k$ . Hence, by a similar argument as in Corollary 4.6 we can prove that the identical embedding  $\varphi : A' \rightarrow A$  yields an injection  $K_0(\varphi)$ . Therefore we can and will identify  $K_0(A')$  with a subset of  $T$ . Let  $q \in T_0^\infty(\xi) \subseteq K_0(A')$  and  $p \in T_{e_\xi}^\infty \subseteq K_0(A')$  such that  $q = p$  holds in  $T$ . In particular,  $p = q$  also holds in  $K_0(A')$ . To prove the proposition we must show that  $q = 0$ .

We write  $q = [q_1] - [q_2]$  and  $p = [p_1] - [p_2]$  for projections  $q_1, q_2, p_1, p_2 \in M_\infty(A')$ . By the definitions of  $T_{e_\xi}^\infty$ ,  $T_0^\infty(\xi)$  and  $T_n$  we can choose  $q_i$  and  $p_i$  in such a way that  $q_i$  and  $p_i$  are only nonzero on the diagonal, such that the diagonal entries of  $q_i$  consist only of elements of the form  $X_n r X_n$  where  $r \in Q$  is a projection and  $n \geq 0$  and  $n_\xi = 0$ , and the diagonal entries of  $p_i$  consist only of elements of the form  $X_n r X_n$  where  $r \in Q$  is a projection and  $n \geq 0$  and  $n_\xi > 0$ .

Since  $p_i, q_i$  lie in  $M_\infty(F)$  for some finite dimensional  $C^*$ -subalgebra  $F = Y_\mu M Y_\mu \subseteq A'_0$ , which can be chosen large enough such that  $q = p$  not only holds in  $K_0(A')$  but also in  $K_0(F)$ , and since  $F$  has the cancelation property, there exists  $t \in M_\infty(F)$  such that

$$(8) \quad q_1 \oplus p_2 = t t^* \sim t^* t = p_1 \oplus q_2.$$

Let  $(e_{i,j})_{i,j \in \mathbb{Z}_+^d}$  be the canonical matrix units of  $M_\infty$ . We have a representation

$$(9) \quad t = \sum_{i,j \in \mathbb{Z}_+^d} \left( \sum_{n,m \in \mathbb{Z}_+^d} \sum_{\alpha \in \mathbb{N}} \lambda_{n,m,\alpha}^{i,j} X_n t_{n,m,\alpha}^{i,j} X_m \right) \otimes e_{i,j} \in F \otimes M_\infty,$$

where  $\lambda_{n,m,\alpha}^{i,j} \in \mathbb{C}$  (almost all of which are zero), and  $t_{n,m,\alpha}^{i,j} \in W'$  with  $\text{bal}(t_{n,m,\alpha}^{i,j}) = m - n$ . For the case that a word  $t_{n,m,\alpha}^{i,j}$  with balance  $m - n$  should not exist we put  $t_{n,m,\alpha}^{i,j} = 0$ . Then we get

$$\begin{aligned}
(10) \quad tt^* &= \sum_{i,j,n,m,\alpha} \sum_{a,b,f,g,\beta} \left( \lambda_{n,m,\alpha}^{i,j} X_n t_{n,m,\alpha}^{i,j} X_m \otimes e_{i,j} \right) \times \\
&\quad \times \left( \overline{\lambda_{f,g,\beta}^{a,b}} X_g (t_{f,g,\beta}^{a,b})^* X_f \otimes e_{b,a} \right) \\
&= \sum_{i,j,n,m,\alpha} \sum_{a,f,\beta} \lambda_{n,m,\alpha}^{i,j} \overline{\lambda_{f,m,\beta}^{a,j}} X_n t_{n,m,\alpha}^{i,j} (t_{f,m,\beta}^{a,j})^* X_f \otimes e_{i,a} \\
&= \sum_{(n,i),(f,a) \in (\mathbb{Z}_+^d)^2} \zeta_{(n,i),(f,a)},
\end{aligned}$$

where

$$\begin{aligned}
(11) \quad \zeta_{(n,i),(f,a)} &= (X_n \otimes e_{i,i}) tt^* (X_f \otimes e_{a,a}) \\
&= \sum_{j,m,\alpha,\beta} \lambda_{n,m,\alpha}^{i,j} \overline{\lambda_{f,m,\beta}^{a,j}} X_n t_{n,m,\alpha}^{i,j} (t_{f,m,\beta}^{a,j})^* X_f \otimes e_{i,a}.
\end{aligned}$$

Since  $\zeta_{(n,i),(f,a)} = 0$  for  $(n,i) \neq (f,a)$  by (8), we can restrict the sum (10) to the constraints  $i = a$  and  $n = f$  and obtain

$$(12) \quad tt^* = \sum_{i,j,n,m,\alpha,\beta} \lambda_{n,m,\alpha}^{i,j} \overline{\lambda_{n,m,\beta}^{i,j}} X_n t_{n,m,\alpha}^{i,j} (t_{n,m,\beta}^{i,j})^* X_n \otimes e_{i,i}.$$

Since  $tt^* = q_1 \oplus p_2$  we get

$$(13) \quad q_1 = \sum_{i,j,n,m,\alpha,\beta} 1_{\{n_\xi=0\}} \lambda_{n,m,\alpha}^{i,j} \overline{\lambda_{n,m,\beta}^{i,j}} X_n t_{n,m,\alpha}^{i,j} (t_{n,m,\beta}^{i,j})^* X_n \otimes e_{i,i}.$$

Notice that either  $t_{n,m,\alpha}^{i,j} (t_{n,m,\beta}^{i,j})^* = 0$  or  $\text{bal}(t_{n,m,\alpha}^{i,j})_\xi = m_\xi \geq 0$  everywhere in the sum (13). Hence, by considering those partial sums in (13) where  $n$  and  $i$  are fixed, we get

$$\begin{aligned}
(14) \quad q_1 &= \sum_{i,j,n,m,\alpha,\beta} 1_{\{n_\xi=m_\xi=0\}} R(t_{n,m,\alpha}^{i,j}) \overline{R(t_{n,m,\beta}^{i,j})} \\
&\quad \lambda_{n,m,\alpha}^{i,j} \overline{\lambda_{n,m,\beta}^{i,j}} X_n t_{n,m,\alpha}^{i,j} (t_{n,m,\beta}^{i,j})^* X_n \otimes e_{i,i}
\end{aligned}$$

by condition (a) for  $c = 0$ . We define  $\tilde{t}$  exactly like  $t$  in (9) with the only difference that we replace  $\lambda_{n,m,\alpha}^{i,j}$  by

$$\tilde{\lambda}_{n,m,\alpha}^{i,j} = 1_{\{n_\xi=m_\xi=0\}} R(t_{n,m,\alpha}^{i,j}) \lambda_{n,m,\alpha}^{i,j}.$$

We want to analyze

$$\tilde{\zeta}_{(n,i),(f,a)} = (X_n \otimes e_{i,i}) \tilde{t} \tilde{t}^* (X_f \otimes e_{a,a}).$$

By the definition of  $\tilde{\lambda}$  we get  $\tilde{\zeta}_{(n,i),(f,a)} = 0$  (confer (11)) in case that  $n_\xi > 0$  or  $f_\xi > 0$ . If  $(n,i) \neq (f,a)$  and  $n_\xi = f_\xi = 0$ , then we have  $0 = \zeta_{(n,i),(f,a)}$ , and we obtain from the formula (11) and the fact that  $\text{bal}(t_{n,m,\alpha}^{i,j})_\xi = m_\xi \geq 0$ , that  $0 = \zeta_{(n,i),(f,a)} = \tilde{\zeta}_{(n,i),(f,a)}$  by an application of property (a) for  $c = f - n$ . Recalling (14) we observe that we have proved that

$$q_1 = \sum_{(n,i),(f,a) \in (\mathbb{Z}_+^d)^2} \tilde{\zeta}_{(n,i),(f,a)} = \tilde{t}\tilde{t}^*.$$

Repeating the above computations with  $t' := t^*$  rather than  $t$  (adjoining equation (9) we see that it is enough to replace  $\lambda_{n,m,\alpha}^{i,j}$  by  $\lambda_{m,n,\alpha}^{j,i}$  and  $t_{n,m,\alpha}^{i,j}$  by  $(t_{m,n,\alpha}^{j,i})^*$  in the definition of  $t$ ) it turns out that  $q_2 = \tilde{t}'\tilde{t}'^* = \tilde{t}^*\tilde{t}$  since  $\tilde{t}' = \tilde{t}^*$  (everything passes as above, since the only essential property we required for  $\lambda_{n,m,\alpha}^{i,j}$  and  $t_{n,m,\alpha}^{i,j} \in W'$ , namely  $\text{bal}(t_{n,m,\alpha}^{i,j}) = m - n$ , does also hold for the new coefficients  $\lambda_{m,n,\alpha}^{j,i}$  and  $(t_{m,n,\alpha}^{j,i})^* \in W'$ , namely  $\text{bal}((t_{m,n,\alpha}^{j,i})^*) = m - n$ ). This proves that  $[q_1] = [q_2]$ , and thus  $q = 0$ .  $\square$

**Proposition 4.8.**  $K_0(\varphi_Q)$  is injective for the embedding  $\varphi_Q : C^*(Q) \rightarrow X_0AX_0$  given by  $\varphi_Q(q) = X_0qX_0$ .

*Proof.* Since  $Q \subseteq \mathbb{A}$ , and  $\mathbb{A}$  is the inductively ordered union of finite dimensional  $C^*$ -subalgebras, the same holds for  $Q$  and  $X_0\mathbb{A}X_0$ . Hence any element of  $K_0(C^*(Q))$  allows a representation  $q = [q_1] - [q_2]$  for projections  $q_1, q_2 \in M_\infty(Q)$ . Assume that  $K_0(\varphi_Q)(q) = 0$ . Notice that  $(\varphi_Q)_\infty(q_i) \in M_\infty(X_0\mathbb{A}X_0)$ , and therefore there exists a finite dimensional  $C^*$ -subalgebra  $F \subseteq X_0\mathbb{A}X_0$  and some  $t \in M_\infty(F)$  such that  $(\varphi_Q)_\infty(q_1) = tt^* \sim t^*t = (\varphi_Q)_\infty(q_2)$ .

Since we will next have similar computations as in the proof of Proposition 4.7, we will save space and refer to some formulas appearing there. We choose for  $t$  a representation as in (9) (notice that  $F \subseteq A'_0$ ). In particular we have  $n = m = 0$  everywhere in the representation (9) of  $t$ , and we have  $\text{bal}(t_{n,m,\alpha}^{i,j}) = m - n = 0$ . In the representation (10) (fixing  $i$  and  $a$ , whereas  $n = m = f = 0$  anyway) we can replace  $\lambda_{n,m,\alpha}^{i,j}$  by  $\tilde{\lambda}_{n,m,\alpha}^{i,j} = 1_{\{t_{n,m,\alpha}^{i,j} \in Q\}} \lambda_{n,m,\alpha}^{i,j}$  by property (b). Thus we get  $(\varphi_Q)_\infty(q_1) = tt^* = \tilde{t}\tilde{t}^*$  for  $\tilde{t}$  being defined like  $t$  with the only difference that  $\lambda_{n,m,\alpha}^{i,j}$  is replaced by  $\tilde{\lambda}_{n,m,\alpha}^{i,j}$ . Similarly we get  $(\varphi_Q)_\infty(q_2) = t^*t = \tilde{t}^*\tilde{t}$ . Since  $\tilde{t} \in M_\infty(X_0QX_0)$  we obtain  $[q_1] = [q_2]$ .  $\square$

*Proof of Theorem 2.2.* Clearly,  $\mathbb{A}$  and  $Q \subseteq \mathbb{A}$  are the inductively ordered union of their finite dimensional subalgebras. Thus  $\{[q] \mid q \in Q \text{ is a projection}\}$  generates  $K_0(C^*(Q))$ . By Proposition 4.8 and Corollary 4.6,  $K_0(\varphi_0\varphi_Q)$  is injective and has image  $T_0$ . By Proposition 3.5 (justified by Proposition 4.1 and Proposition 4.7),  $K_0(\theta')$  is an isomorphism for  $\theta' =$



$\varphi_A \varphi_0 \varphi_Q$ , and  $K_1(A \rtimes_{\hat{\Gamma}} \mathbb{Z}^d) = 0$ . A slight analysis of the Takai duality map (exploiting that  $Q$  is invariant under  $\Gamma$ ) shows that  $K_0(\theta)$  is also an isomorphism.  $\square$

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